



Order for transfer of Provident Fund money to Retirement Mutual Fund

Date:.....

Unit holder No.

Unit holder name:..... Contact telephone No.

I wish to transfer investment units (please specify one fund only, if you wish to transfer more than one fund, please divide the order)

From Provident Fund:..... Asset Management Company:.....

To the fund:..... Asset Management Company:.....

Information for Origin Management Company

Cheque payable: "Krung Thai Asset Management PCL.- Fund Subscription Account"

Bank cheque:....., Dated:....., Cheque No., Account No., Amount:.....

Terms and conditions of investment unit investor

- 1) I agree and acknowledge the conditions that in case member of Provident Fund has full 55 years and has period of member of Provident Fund for not less than 5 years, will not receive right and benefit for tax in addition from the money transfer from Provident Fund to Retirement Mutual Fund.
- 2) I agree and acknowledge that transfer of investment money from Provident Fund to Retirement Mutual Fund, there must be transfer the investment money from Provident Fund in the whole amount , as well as transfer of my investment money in the investment units of the Retirement Mutual Fund, to the Retirement Mutual Fund of other Asset Management Co., Ltd. there must be transfer of the whole amount.
- 3) I agree and acknowledge that investment unit holder who transfers the investment money from Provident Fund money to Retirement Mutual Fund will not have right to vote in the part which is more than one-third of number of investment units which can be sold in the whole number of Retirement Mutual Fund.
- 4) I agree and acknowledge that the income from the case of redemption in type of wrong conditions of Retirement Mutual Fund supporting Provident Fund, will be deemed as income of natural person under Section 40 (1) which must be calculated of tax rate in type of Progressive Tax.

- 5) In case there is cause for Retirement Mutual Fund (supporting Provident Fund), there must be dissolving of the fund, I wish to have the Asset Management Co., Ltd. to transfer my investment units to Retirement Mutual Fund (supporting other Provident Fund).
- 6) I agree and acknowledge that Provident Fund transferred to Retirement Mutual Fund cannot be held as new money; it cannot be used for reduction of tax and it does not be in the condition of continuous investment, like Retirement Mutual Fund, generally, as well as investment in Retirement Mutual Fund may have cost which is different from general mutual fund.
- 7) I agree and acknowledge that the money transferred from Provident Fund to retirement Mutual Fund will not be able to transfer money back to the Provident Fund.
- 8) I've already received Fund Fact Sheet that the investor should know and acknowledge the provisions, conditions and risk of this fund already and agree to practice and bind oneself according to such terms and conditions in all respects.
- 9) I agree and acknowledge the conditions obligations relating to performing under the investment condition and tax right and benefit of the Retirement Mutual Fund that has received transfer from the Provident Fund as well.

Signature of investment unit holder:.....
Signed to confirm making of transactions and accepting of the conditions

For investment in the Fund having higher risk than acceptable risk

I acknowledge and accept risk of the fund and risk from exchange rate in the following cases:

- 1) In case I wish to invest in the fund having higher risk than the risk that I can invest.
- 2) In case I wish to invest in the fund having risk from exchange rate which is higher than the risk that I can accept.

In this investment, I receive recommendation for appropriate investment and receive explanation about risk of the fund and risk from exchange rate of the fund, from the person doing the duty for selling and buying the investment units of fund distributors, or Krungthai Asset Management Public Co., Ltd. that I receive service in this time already.

I acknowledge risk from exchange rate that may occur from investment of the fund. In case the fund does not prevent risk from exchange rate in the whole amount or prevent risk in some part, the fund may have loss, or receive profit from exchange rate, or receive money back which is lower than original investment money. The investor contacts have notified me of the details of this risk before investing.

Signature of investment unit holder:.....
Signed to acknowledge the risk

For Fund distributor/branch:

Fund distributor/branch:..... Selling agent/Branch code:..... IC License No./Sales code :.....Signature:.....

For Krungthai Asset Management Public Co., Ltd.:

Transaction maker by: Verified signature by:..... Authorized by:.....



Procedures of transferring the Provident Fund to Retirement Mutual Fund

1. Client contacts KTAM to ask about the detail of RMF Fund.
2. Client opens account of Retirement Mutual Fund (RMF) for PVD at Krungthai Asset Management Co., Ltd. (KTAM), by completing Form for opening of account for natural person and making Form for acceptable Risk Profile of investors and Form for notifying about the status of American Citizen/Not the American person and person having tax residence in other country (FATCA/CRS Self-Certification Form) and acknowledging the conditions of investment to receive tax right and benefit, having documents for opening of the account, as follows:
 - Copy of identification card and signature to certify the copy.
 - Copy of bank book for receiving of redemption money of investment units and signature to certify that it is true copy.
3. Client brings document of order for receiving of transfer of Provident Fund to the Retirement Mutual Fund from KTAM (completely filling out information) and evidence of opening a fund account from KTAM, to submit to the asset management which manage the Provident Fund.
4. The assets management manages the Provident Fund to contact the destination assets management (KTAM) to send PVD cheque and the document sent to the destination asset management (KTAM) within the specified period, for example:
 - Order for transfer of Provident fund money to Retirement mutual fund.
 - Membership termination notification form.
 - Application to Transfer Provident Fund into RMF for PVD Fund.
 - Termination Statement of Provident Fund Account

Notes: For the money transferred to RMF, the employees cannot invest additionally, so that the fund manager manages the available money. The amount can increase or decrease, it depends on the type of the fund which is selected the investment is in shares, bond, or mixed fund, etc.