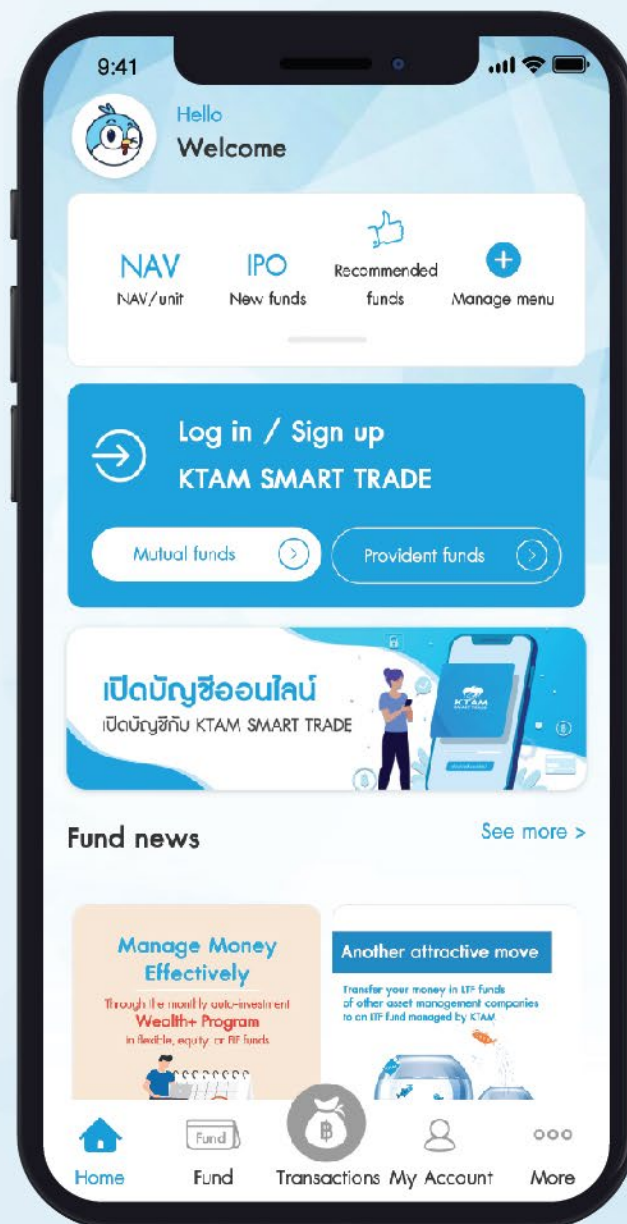


User Manual

KTAM Smart Trade Application



Download KTAM Smart Trade (Mutual Fund) Application
At App Store OR Google Play



Update 30/06/2565

Table of Contents

1. Login.....	1
2. Forgot Password	2
3. Forgot Pin Code	3
4. Open a fund account online.....	6
4.1. New customer who does not yet have a KTAM fund account.....	6
4.2. An existing KTAM fund account holder who wants to open another fund account	20
5. Getting Started KTAM Smart Trade	32
5.1. Registering for the first time	32
5.2. In case you have never previously provided your mobile phone number or e-mail to KTAM	36
6. Funds.....	41
6.1. Fund Information.....	41
6.2. Fund schedule	43
6.2.1. Settlement period.....	43
6.2.2. Fund holidays.....	44
6.2.3. Fund switch date.....	45
6.2.4. Calculate redemption settlement date.....	46
7. Transactions	47
7.1. Purchase	47
7.2. Redeem.....	50
7.3. Switch.....	53
7.4. Transactions pending allocation	56
7.5. Past transactions.....	57
7.6. Auto-redemptions	58
7.7 Dividends received	59

8. Auto Investment Plan	60
8.1. Set Auto Investment Plan	60
8.1.1. Set up your fund purchase plan	60
8.1.2. Set up your fund redemption plan	64
8.1.3. Set up your fund switching plan	68
8.2. My Auto Investment Plans	72
9. My Account	73
9.1. My port	73
9.2. Edit personal data	74
9.3. Update KYC Data	80
9.4. Manage favorite funds	82
9.5. Manage bank accounts	83
9.6. Manage unitholder accounts	85
9.7. Reports	88
9.7.1. Unitholder statement	88
9.7.2. Tax certificate for RMF/LTF/SSF/SSF Extra	88
9.7.3. Dividend income report	89
9.7.4. Summary of investments in RMF/LTF/SSF/SSF Extra	89
9.8. My risk level	90
9.9. personal data protection policy	91
9.10. settings	91
9.10.1 Change password	91
9.10.2. Change Pin Code	92
9.10.3. Set Touch ID/Face ID	94
10. More	95
10.1. Settings	95
10.1.1. Notification	95

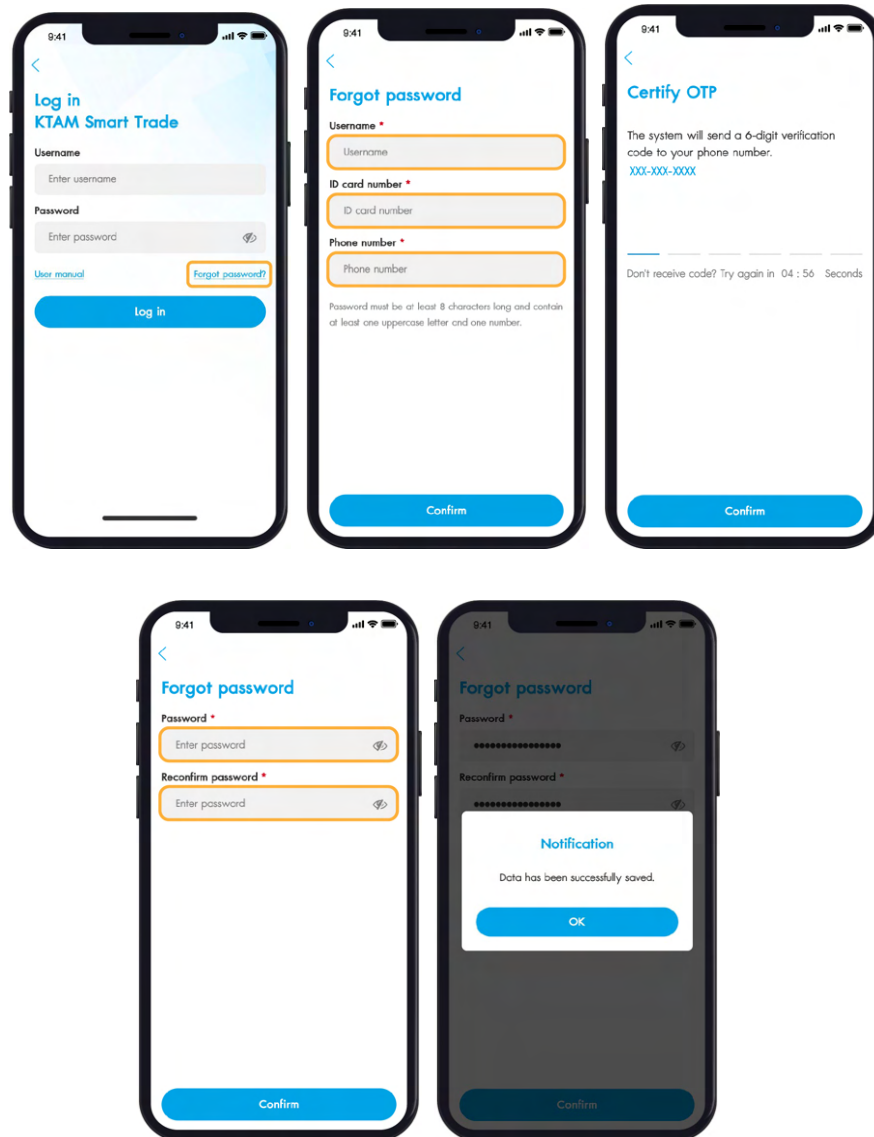
10.1.2. Change language	95
10.1.3 Manage connected devices	96
10.2 Help.....	97
10.2.1. Usage tips	97
10.2.2. Terms of usage	97
10.2.3. FAQ	98
10.3. Contact channels	99
10.3.1. Contact us	99
10.3.2. Online media channels	99
10.3.3. Search selling agents	100
11. Shortcut menu	101

1. Login

1. Tap Login/Register
2. Fill in your Username and Password to Login



2. Forgot Password

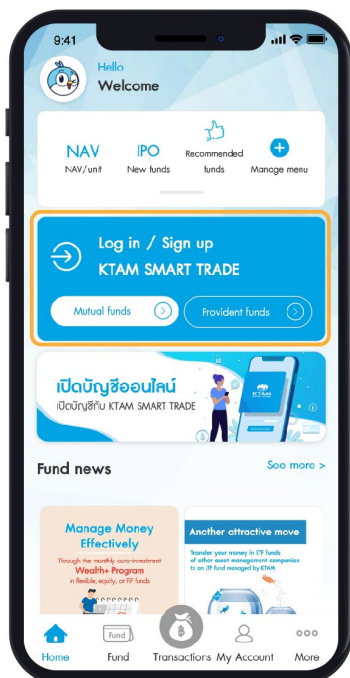


1. Tap forgot password
2. Fill in
 - Username
 - ID card number
 - Mobile phone number previously registered with KTAM
3. Fill in 6-digit OTP code sent to you via SMS
4. Set new password

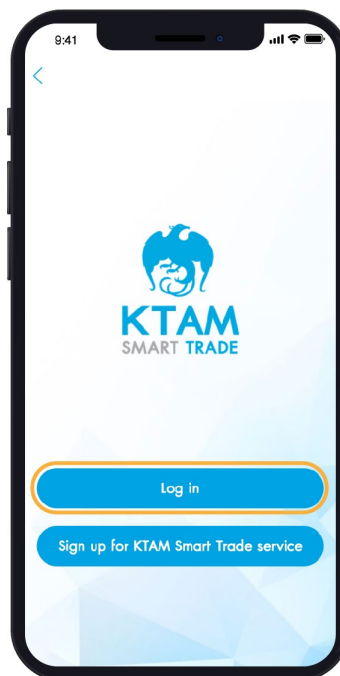
Note : Password must be at least 8 characters long and contain at least one uppercase letter and one number

3. Forgot Pin Code

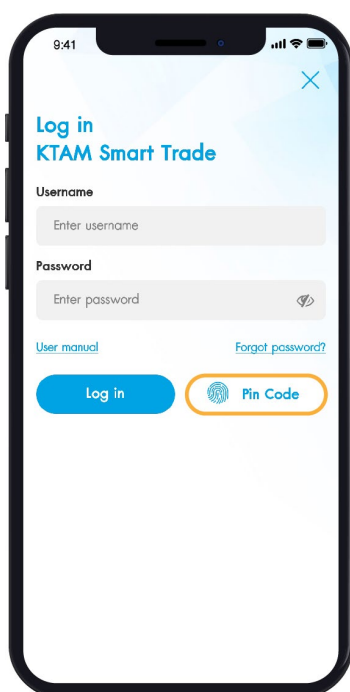
1. Tap menu Login/Sign up



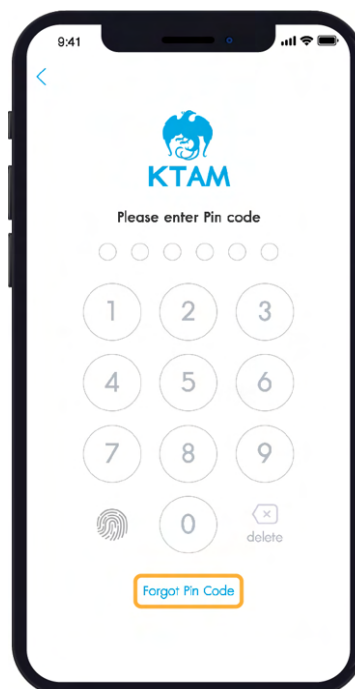
2. Select menu Login



3. Tap Pin Code



4. Select Forgot Pin Code



5. Enter Username and your password
and press continue

9:41

<

Forgot Pin Code

Username *

Enter Username

Password *

Enter password

[Forgot password?](#)

Confirm

6. Enter the 6-digit OTP received by SMS

9:41

<

Certify OTP

The system will send a 6-digit verification code to your phone number.

XXX-XXX-XXXX

Don't receive code? Try again in 04 : 56 Seconds

Confirm

9:41

<

Reset Pin Code

1 2 3

4 5 6

7 8 9

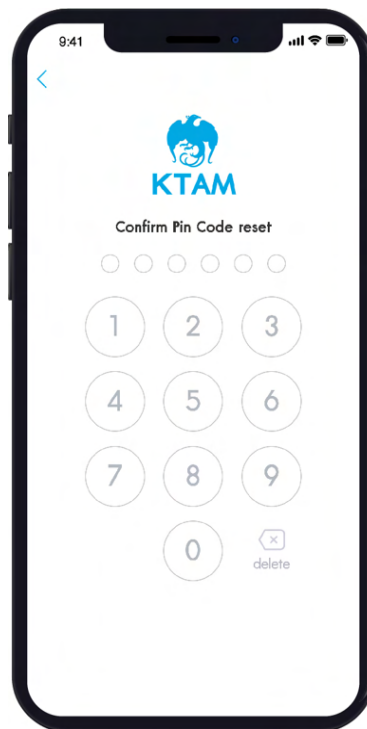
0 delete

7. Enter a new Pin Code

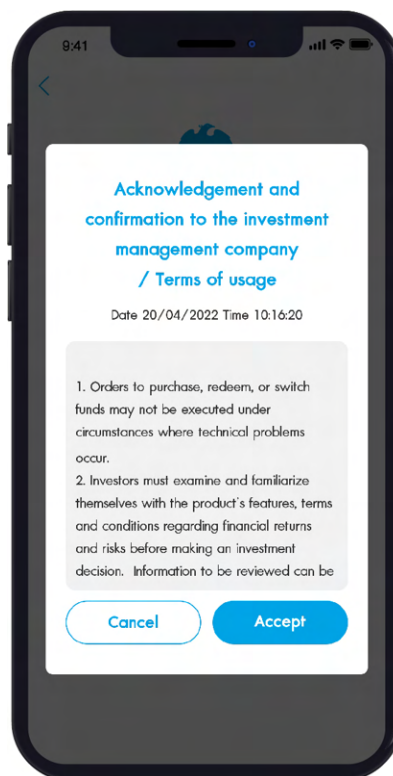
Note : For security purposes, when selecting a Pin Code, please avoid

- Codes which can be easily guessed, such as your birthdate
- Consecutive numbers to form the 6-digit
- Patterns of duplicate numbers, such as 111222

8. Confirm the new Pin Code again



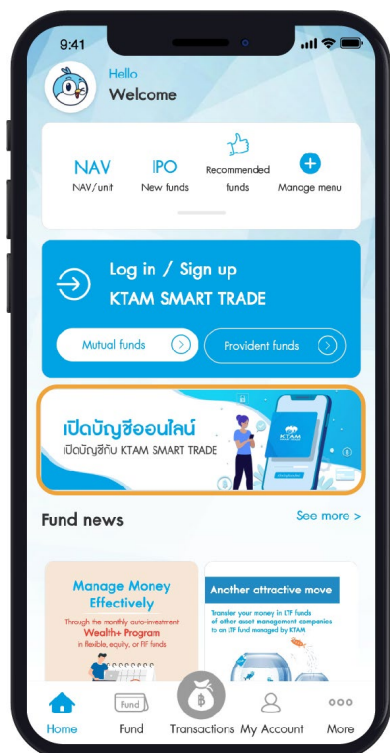
9. The system will pop up a page of confirmation and confirmation to the management company / service agreement and press OK.



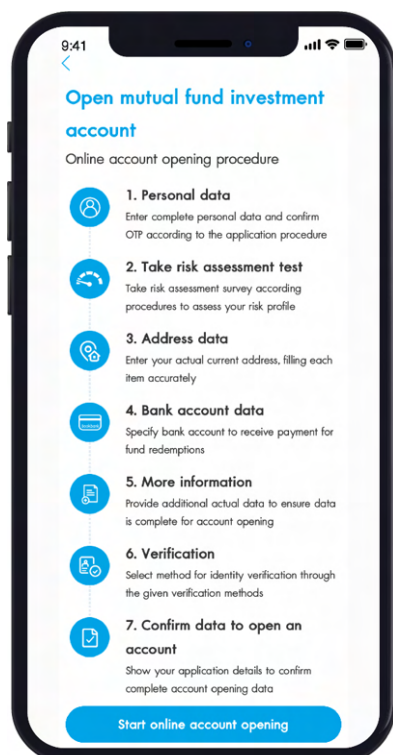
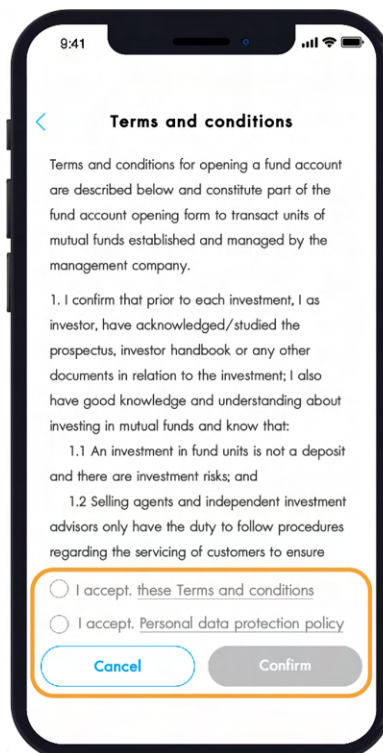
4. Open a fund account online

4.1. New customer who does not yet have a KTAM fund account

1. Tap Open Fund Account online



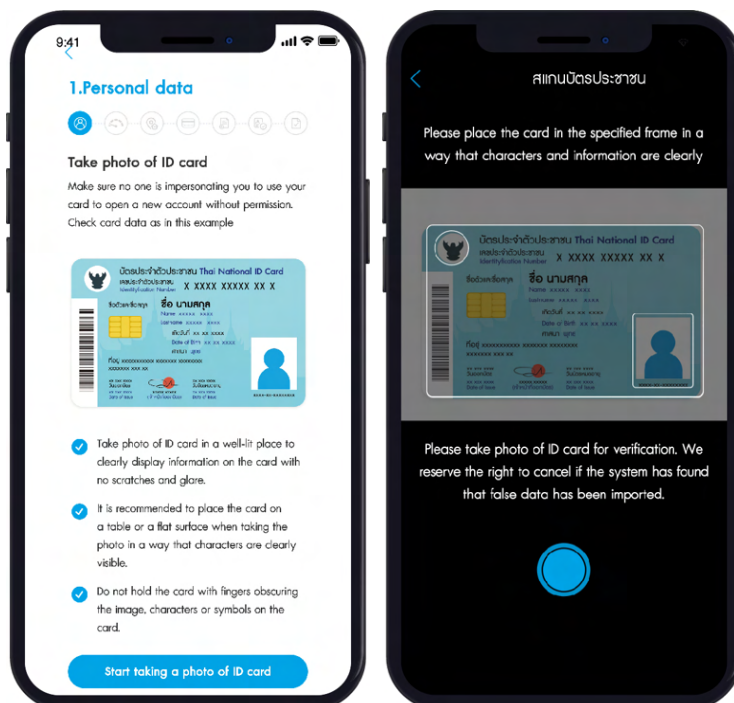
2. Accept terms and conditions



3. Overview of steps on how to open a fund account online

STEP 1 Provide your personal information

Take a photo of your ID card and fill out details shown on your ID card



The image shows a smartphone screen displaying the '1. Personal data' form. The form includes the following fields:

- ID card number ***: A text input field labeled 'ID card number'.
- 12-digit Laser Code ***: A text input field labeled '12-digit Laser Code' with a small Thai ID card icon to the right. Below the field, there is a note: 'Enter 12-digit number behind ID card, starting with 2 letters, followed by 10-digits number (without -)'.
- Name title ***: A dropdown menu labeled 'Name title'.
- Name (Thai) ***: A text input field labeled 'Name (Thai)'.
- Surname (Thai) ***: A text input field labeled 'Surname (Thai)'.
- Name (English) ***: A text input field labeled 'Name (English)'.

Fill out the 4-digit Agent code (if any) and Investment Consultant code (IC License code) (if any)

1. Personal data

ID card number *

ID card number

12-digit Laser Code *

12-digit Laser Code

Enter 12-digit number behind ID card, starting with 2 letters, followed by 10-digits number (without -)

Name title *

Name title

Name (Thai) *

Name (Thai)

Surname (Thai) *

Surname (Thai)

Name (English) *

Name (English)

Surname (English) *

Surname (English)

Day/month/year *

03 / 03 / 1996

Enter mobile phone number *

Enter mobile phone number

Email *

Enter email address

Agent Code (If any)

Enter agent code

Continue

Agent Code

Enter agent code

Confirm

Surname (Thai) *

Surname (Thai)

Name (English) *

Name (English)

Surname (English) *

Surname (English)

Day/month/year *

xx / xx / xxxx

Enter mobile phone number *

Enter mobile phone number

Email *

Enter email address

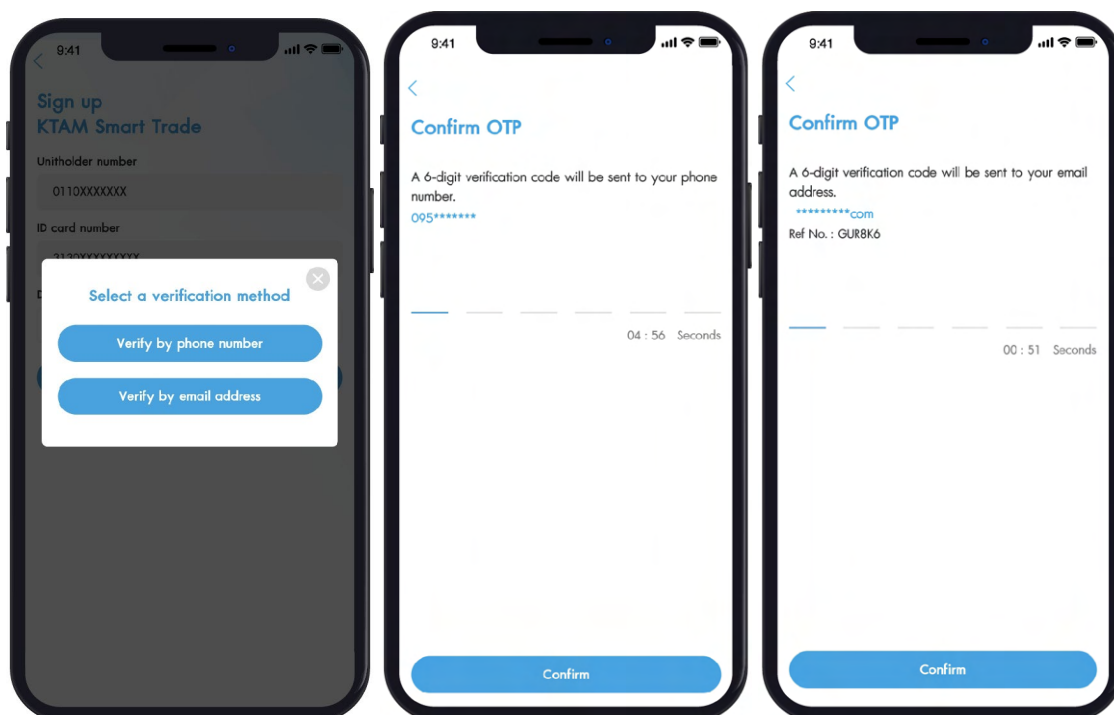
Agent Code (If any)

Enter agent code

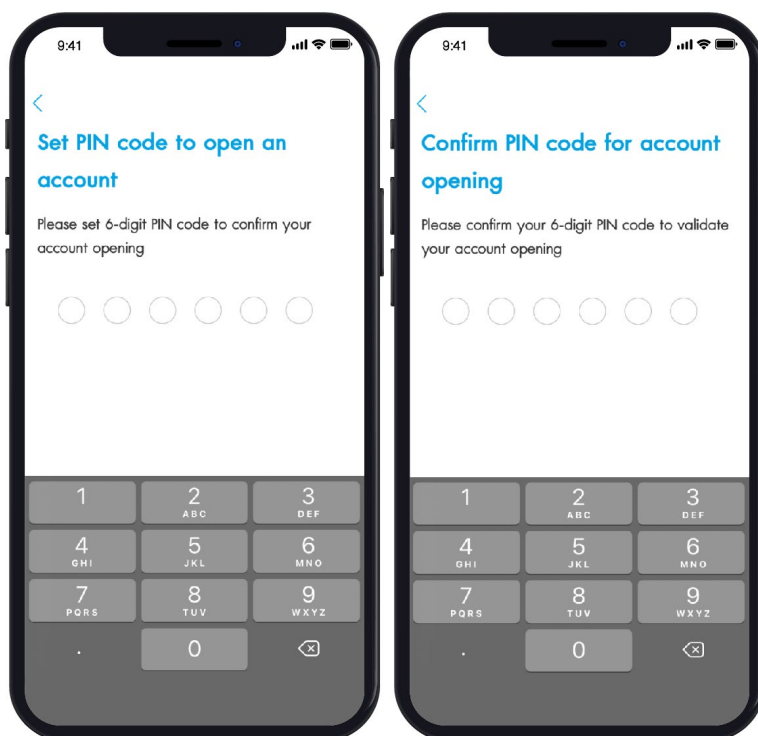
Continue

Note : If the customer opens a fund account online based on advice provided by the selling agent, there will be an Agent code and Investment Consultant code (IC License code). The account manager will provide you with such codes

Choose a verification method. Choose either telephone number or e-mail or 6-digit OTP code.



Set 6-digit Pin Code



Note : For security purposes, when selecting a Pin Code, please avoid

- Codes which can be easily guessed, such as your birthdate
- Consecutive numbers to form the 6-digit
- Patterns of duplicate numbers, such as 111222

STEP 2 Complete a Suitability Test

Fill out questionnaire to determine whether FATCA applies to you

FATCA form
Questionnaire to determine the status of an American person applicable for individual customers

1. Are you a U.S. Citizen?
☐ Yes ☒ No
2. Are you a U.S. Green Card Holder?
☐ Yes ☒ No
3. Are you a U.S. resident for U.S. tax purposes?
☐ Yes ☒ No
4. Were you born in the U.S. (or U.S. Territory)?
☐ Yes ☒ No
5. Do you have (or will you have) a current U.S. residence address or U.S. mailing address for the account opened or held with the AMC?
☐ Yes ☒ No
6. Do you have U.S. telephone number for contacting you or another person in relation to the account opened or held with the AMC?
☐ Yes ☒ No

FATCA form
Questionnaire to determine the status of an American person applicable for individual customers

1. Are you a U.S. Citizen?
☐ Yes ☒ No
2. Are you a U.S. Green Card Holder?
☐ Yes ☒ No
3. Are you a U.S. resident for U.S. tax purposes?
☐ Yes ☒ No
4. Were you born in the U.S. (or U.S. Territory)?
☐ Yes ☒ No
5. Do you have (or will you have) a current U.S. residence address or U.S. mailing address for the account opened or held with the AMC?
☐ Yes ☒ No
6. Do you have U.S. telephone number for contacting you or another person in relation to the account opened or held with the AMC?
☐ Yes ☒ No
7. Do you have (or will you have) a hold mail or in care of address as the sole address for the account opened or held with the AMC?
☐ Yes ☒ No
8. Do you (or will you have) a standing instruction to transfer money from the account opened with the AMC or any of its affiliates to any accounts in the US?
☐ Yes ☒ No
9. Do you (or will you) empower or authorize signing rights for this account to a person with a US residential address for transactions related to this account or any accounts opened or held with the AMC?
☐ Yes ☒ No

Notification
You are a person of another nationality/ related to the United States. Please contact the staff

OK

Confirm

Note : For foreign or US nationals, please contact KTAM

Take the Suitability Test. The system will determine your investment risk tolerance level and also provide advice on how you may structure your investments.

9:41

Take risk assessment test

1. How old are you?

☐ 60 years old or older
 ☐ 45-59 years
 ☐ 35-44 years
 ☐ Under 35 years

2. What is the proportion of your total expenses at present such as mortgage, cars, personal and family expenses?

☐ More than 75% of total income
 ☐ Between 50% to 75% of total income
 ☐ Between 25% to 50% of total income
 ☐ Less than 25% of total income

3. What is your current financial status?

☐ Asset less than liability
 ☐ Asset equal liability
 ☐ Asset more than liability

Take risk assessment test

1. How old are you?

☐ 60 years old or older
 ☐ 45-59 years
 ☐ 35-44 years
 ☐ Under 35 years

2. What is the proportion of your total expenses at present such as mortgage, cars, personal and family expenses?

☐ More than 75% of total income
 ☐ Between 50% to 75% of total income
 ☐ Between 25% to 50% of total income
 ☐ Less than 25% of total income

3. What is your current financial status?

☐ Asset less than liability
 ☐ Asset equal liability
 ☐ Asset more than liability

4. Do you have any investment experience or knowledge in the following types of investment products?

☐ Bank Deposit
 ☐ Government Bond or Government Bond Fund
 ☐ Debenture or Fixed Income Fund
 ☐ Stock, Equity Fund or High Risk Asset

5. What is your investment period target?

☐ less than 1 year
 ☐ 1 to less than 3 years
 ☐ More than 5 years

6. What is your risk tolerance?

☐ Focus on opportunity in preserving original investment safely and receiving small consistent return
 ☐ Focus on opportunity in receiving consistent return but may take risk of losing some original investment
 ☐ Focus on opportunity in receiving higher return but may take risk of losing more original investment
 ☐ Focus on the highest long-term return but may take risk of losing most of the original investment

7. When considering sample picture below showing the potential returns of different investment portfolio, which investment portfolio are you most willing to invest in?

Group	Potential Gain	Potential Loss
Group 1	2.5%	No Loss
Group 2	7%	Loss up to 1%
Group 3	15%	Loss up to 5%
Group 4	25%	Loss up to 15%

☐ Group 1 Gain 2.5% No Loss
 ☐ Group 2 Gain 7% Loss up to 1%
 ☐ Group 3 Gain 15% Loss up to 5%
 ☐ Group 4 Gain 25% Loss up to 15%

9:41

Summarize your investment plan

Your risk level

7

High Risk

Score : 32

A high risk investment portfolio is suitable for you since you are categorized as an investor with a high risk tolerance level.

Recommended portfolio allocation by asset type

Cash deposits + short-term fixed income

10%

Gov't or corporate fixed income

40%

Equities

40%

Sector-specific

15%

Start over

Confirm

8. If you invest in assets that have chances to receive high return but also have chances to receive high loss, how would you feel?

☐ Nervous Panic Fear of Losing Money
 ☐ Uncomfortable but understandable
 ☐ Understand and accept certain level of volatility
 ☐ Not worry and hope for higher return

9. In which proportion will you be anxious or unacceptable when the value of your investment has decreased?

☐ 5% or less
 ☐ More than 5%-10%
 ☐ More than 10%-20%
 ☐ More than 20%

10. Last year, you invest 100,000 Baht. This year, the value of your investment decreased to 85,000 Baht. What will you do?

☐ Panic and want to cut loss
 ☐ Worry may move some investment to lower risk investment
 ☐ Holding and wait for rebound
 ☐ Still confident may invest more to get lower cost

Question 11-14

used as additional information for advisory purposes (not calculated in the score)

11. Successful derivatives and structure notes investment has high return. On the other hand, investors can lose all of their investment and must increase more capital. Are you able to accept this?

☐ No
 ☐ Yes

12. In addition to investment risk, are you able to accept foreign exchange rate risk?

☐ No
 ☐ Yes

13. The experience of investment in you securities (securities mean investment unit, or debenture, or share or government bond, or derivatives)

☐ less than 1 years
 ☐ More than 1 years

14. You have limitation in communication, or decide by yourself, such as deaf person, bling person

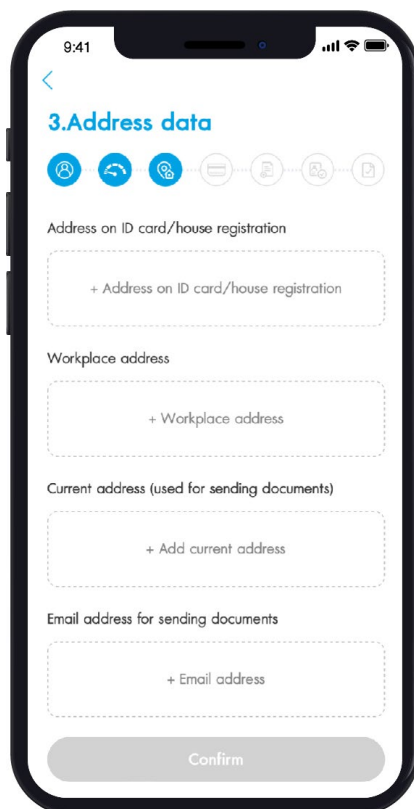
☐ No, I do not have.
 ☐ Yes I have.

Confirm

STEP 3 Provide contact details

Fill out address information

- Address shown on ID card / House Registration
- Work address
- Current address (for document deliveries)
- E-mail address for document deliveries



The screenshot shows a mobile application interface for '3.Address data'. At the top, there is a status bar with the time '9:41' and signal indicators. Below the status bar is a navigation bar with a back arrow and the title '3.Address data'. A horizontal row of seven circular icons follows: a person, a location pin, a house, a document, a mail envelope, a smartphone, and a document with a checkmark. The main content area contains four sections, each with a title and a dashed border box for input:

- Address on ID card/house registration**: A dashed box containing the text '+ Address on ID card/house registration'.
- Workplace address**: A dashed box containing the text '+ Workplace address'.
- Current address (used for sending documents)**: A dashed box containing the text '+ Add current address'.
- Email address for sending documents**: A dashed box containing the text '+ Email address'.

At the bottom of the screen is a grey button labeled 'Confirm'.

STEP 4 Provide bank account details

Specify bank account to receive settlement of redemption orders / dividends. Also attach photo of bank book

The image displays three sequential mobile app screens for adding bank account information.

- Screen 1:** Titled "4. Bank account data". It features a progress bar with icons for steps 1 through 7. Below the progress bar, it says "Please select account for redemption and dividends (if any)". There is a dashed box containing "+ Add account for redemption settlement". At the bottom is a grey "Confirm" button.
- Screen 2:** Titled "Add bank account information". It has the same progress bar. It contains several input fields: "Select bank account data", "Select bank account information", "Enter account number", and "Account type". Below these is a section titled "Take photo of bank passbook page / proof of ownership" with a note: "Please click to view sample to ensure proper image required. Recommendation: Photo should be taken with a mobile phone." There is a dashed box for the photo and a small "Bank passbook page" label at the bottom.
- Screen 3:** Titled "4. Bank account data". It shows the selected account details: "519XXXXXX", "ธนาคารไทยพาณิชย์ จำกัด (มหาชน)", and "บัญชีออมทรัพย์". There is a blue checkmark icon next to the account name. Below this is a dashed box with "+ Add account for redemption settlement". At the bottom is a blue "Confirm" button.

Note : this bank account will not be ready until approval is received

STEP 5 Additional information

Provide additional details needed to open the fund account

- Personal data
- Job details

9:41

5. More information

Nationality *

Thailand

Status

☒ Single ☐ Married

Work occupation *

Work occupation

Investment objectives *

☐ For Investment

☐ Retirement Investment

☐ For Tax Benefits

☐ Other (Please specify)

Country of source of income/investment *

Thailand

5. More information

Nationality *

Thailand

Status

☒ Single ☐ Married

Work occupation *

Work occupation

Investment objectives *

☐ For Investment

☐ Retirement Investment

☐ For Tax Benefits

☐ Other (Please specify)

Country of source of income/investment *

Thailand

Source of income (more than 1 item can be selected) *

☐ Salary ☐ Savings

☐ Own Business ☐ Investment

☐ Retirement Fund ☐ Inheritance

☐ Other

Monthly income (Baht) *

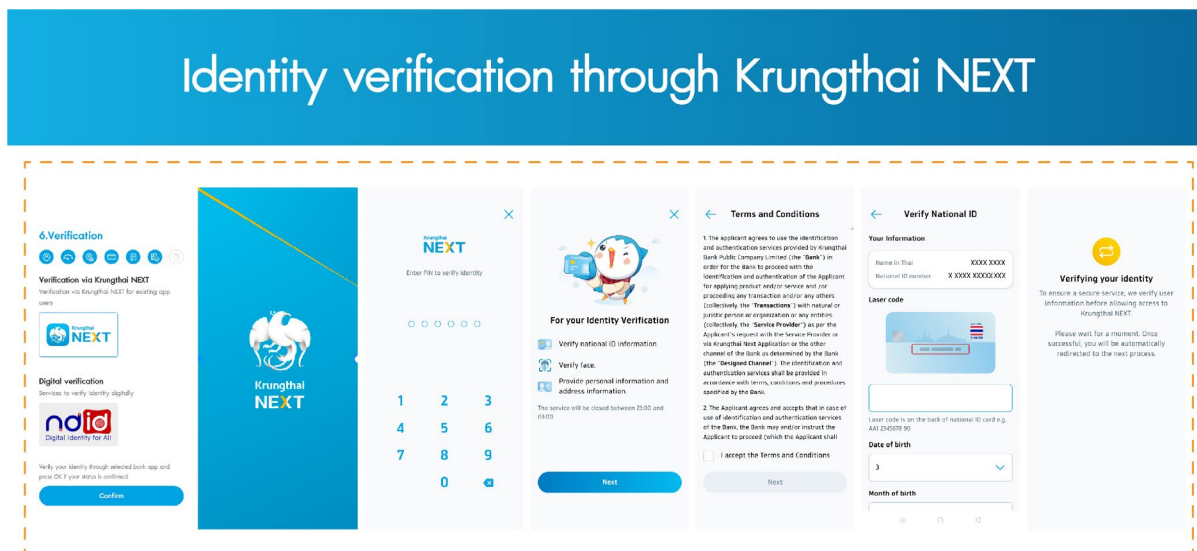
Monthly income (Baht)

Confirm

STEP 6 Identity verification

Select an identity verification method through the platforms available

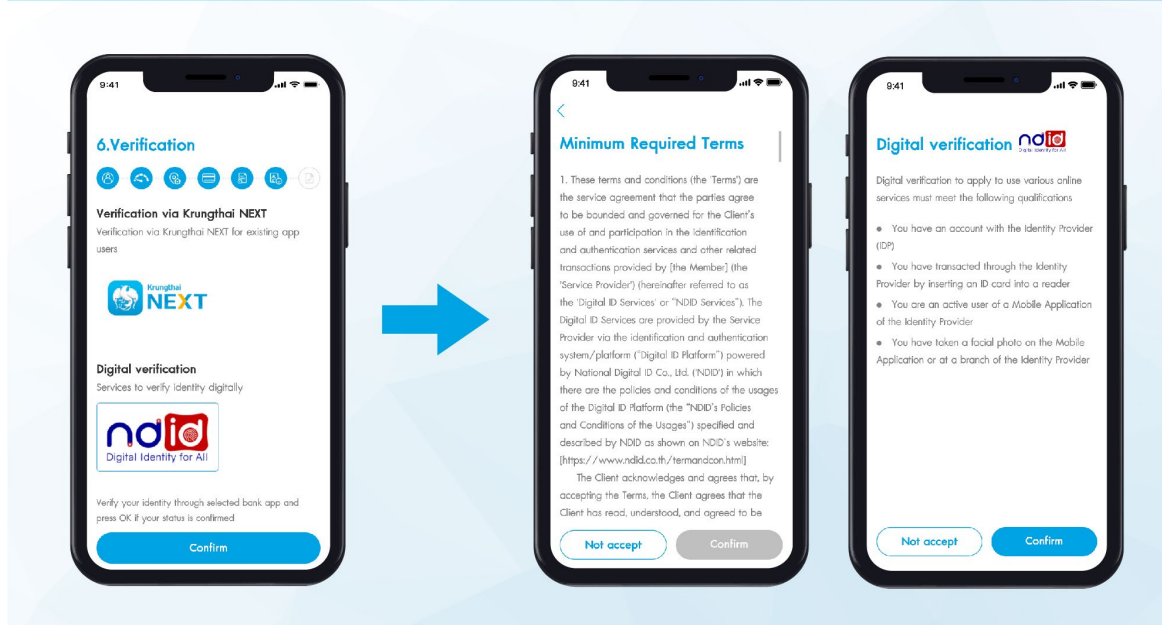
- Identity verification through Krungthai NEXT



- Identity verification through the NDID service provided by your mobile banking app which supports NDID verification

1. Tap to select identity verification through NDID
2. Accept terms and conditions for verification through NDID

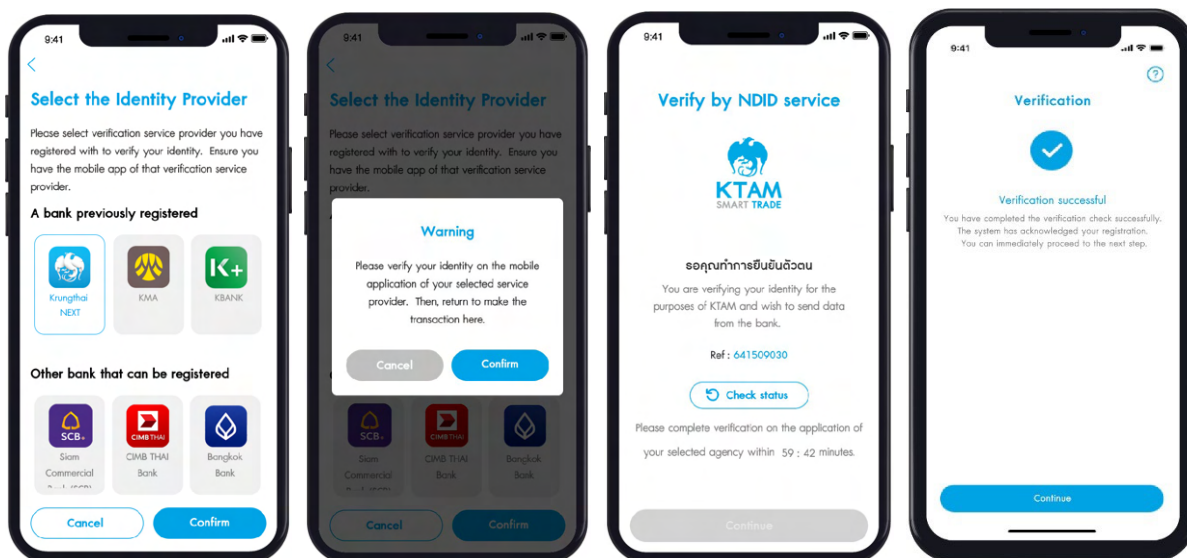
Identity verification through the NDID service provided by your mobile banking app which supports NDID verification



3. Select bank (IdP) where you have previously registered your identity.

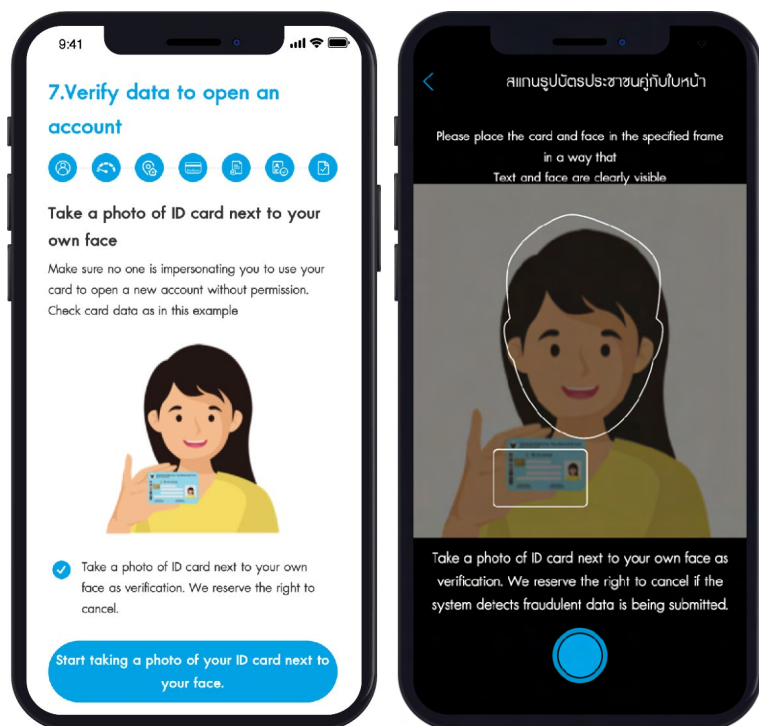
You will need to verify your identity through that bank's mobile app within 60 minutes

- If you tap Reject Request from your chosen bank (IdP), the screen will notify that the verification was not successful.
- If there is a Request Timeout, the screen will notify that no action was performed within the time period needed, and will permit 3 more attempts.



STEP 7 Provide confirmation of account opening data

After tapping Confirm, you will perform the confirmation process by taking a photo of your ID card held near your face



Review the account opening details you have provided, and tap Confirm.

7. Confirm data to open an account

Personal data

Name-surname	วิทย์ ธรรม	xxxxxx
ID card/passport number		xxxxxxxxxxxx
Laser Code number		xxxxxxxxxxxx
Email address		xxxxxxxxxxxx
Phone number		xxxxxxxxxxxx

Address data

Address on ID card/house registration	xxxxxxxxxxxx	xxxxxx
	xxxxxxxxxxxx	xxxxxx
	xxxxxxxxxxxx	xxxxxx
Workplace address	xxxxxxxxxxxx	xxxxxx
	xxxxxxxxxxxx	xxxxxx
	xxxxxxxxxxxx	xxxxxx
Current address (used for sending documents)	xxxxxxxxxxxx	xxxxxx
	xxxxxxxxxxxx	xxxxxx
	xxxxxxxxxxxx	xxxxxx
Email address for sending documents		xxxxxxxxxxxx

Bank account data

Account for redemption	519XXXXXXX	Main account
	บัญชีออมทรัพย์	

More information

Nationality	Thailand
Status	Single
Work occupation	พนักงานบริษัท (Corporate Employee)
Investment objectives	เพื่อการลงทุน
Country of source of income/investment	Thailand
Monthly income (Baht)	1
Source of investment	เงินเดือน

☐ I accept: [Personal data protection policy](#)

☐ I accept: [Terms and agreements](#)

Confirm

519XXXXXXX Main account
บัญชีออมทรัพย์

More information

Nationality Thailand

Confirm data

You want to confirm that all of your data is accurate and complete.

Confirm data

Source of investment เงินเดือน

☒ I accept: [Personal data protection policy](#)

☒ I accept: [Terms and agreements](#)

Confirm

After the account opening is successful, there will be a confirmation SMS / e-mail sent to you, along with a PDF copy of the account opening details

[illegible]

Set Username and Password. Then tap Confirm

9:41

KTAM Smart Trade

<

Set up login

Username *

Enter username

Password *

Enter password

Reconfirm password *

Enter password

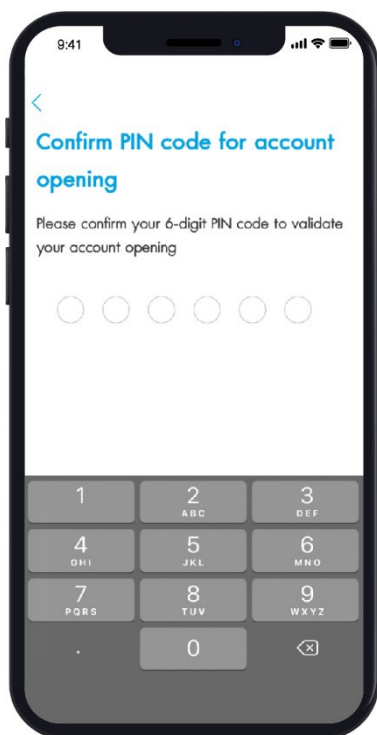
Remarks

Username must be 3-20 characters in length, consisting only of English alphabet letters or numbers (a-z, 0-9). The first character must be an English alphabet letter.
Password must be at least 8 characters long and contain at least one uppercase letter and one number.

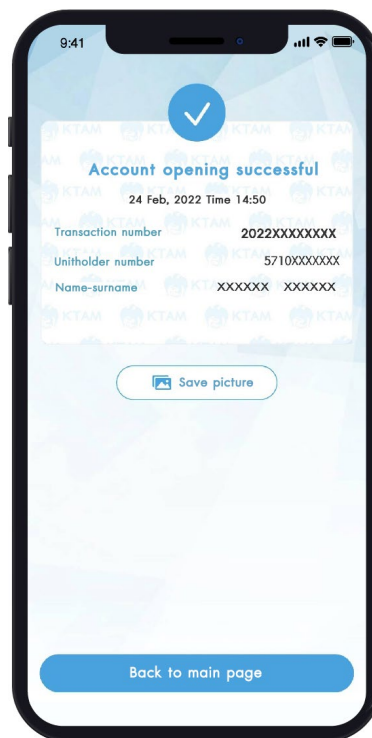
Confirm

Note : Password must be at least 8 characters long and contain at least one uppercase letter and one number

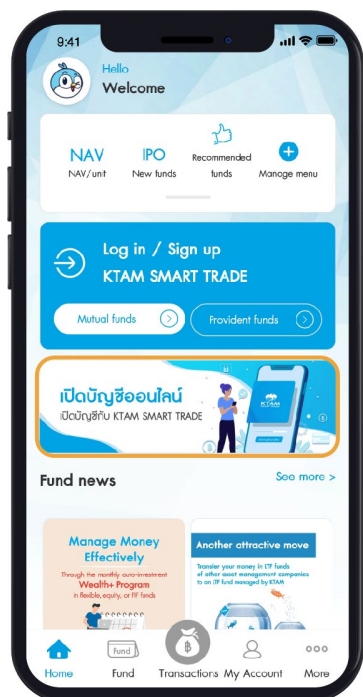
19. Confirm Username and Password,
by entering your 6-digit Pin code



20. Account opening successful



4.2. An existing KTAM fund account holder who wants to open another fund account



1. Tap Open Fund Account online



2. Accept terms and conditions

Details on how to open a fund account online, for an existing KTAM fund account holder who wants to open another fund account, is explained in 6 steps below

STEP 1 Provide your personal information

Take a photo of your ID card and Fill out details shown on your ID card

Fill out the 4-digit Agent code (if any) and Investment Consultant code (IC License code) (if any)

1. Personal data

ID card number *

ID card number

12-digit Laser Code *

12-digit Laser Code

Enter 12-digit number behind ID card, starting with 2 letters, followed by 10-digits number (without -)

Name title *

Name title

Name (Thai) *

Name (Thai)

Surname (Thai) *

Surname (Thai)

Name (English) *

Name (English)

Surname (English) *

Surname (English)

Day/month/year *

03 / 11 / 1996

Enter mobile phone number *

Enter mobile phone number

Email *

Enter email address

Agent Code (If any)

Enter agent code

Continue

Agent Code

Enter agent code

Confirm

Surname (Thai) *

Surname (Thai)

Name (English) *

Name (English)

Surname (English) *

Surname (English)

Day/month/year *

xx / xx / xxxx

Enter mobile phone number *

Enter mobile phone number

Email *

Enter email address

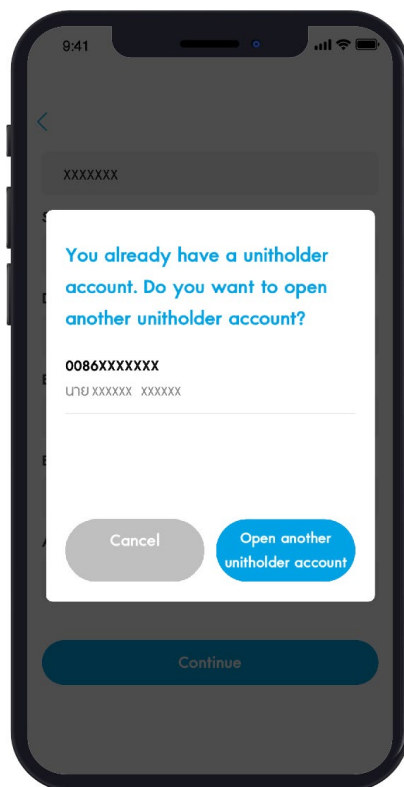
Agent Code (If any)

Enter agent code

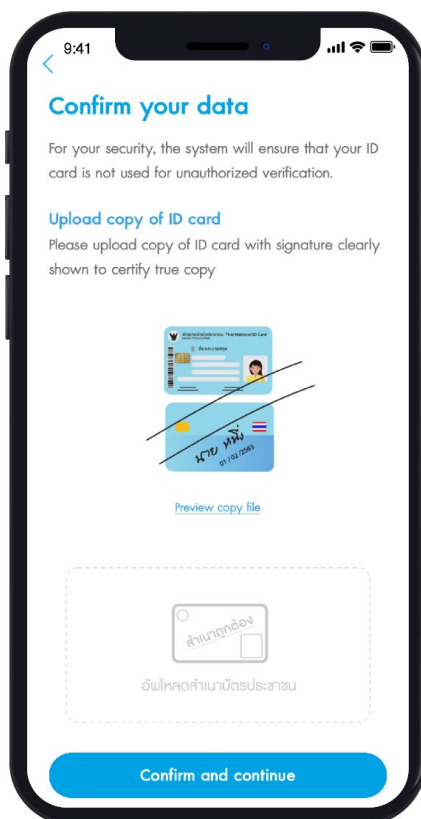
Continue

Note : If the customer opens a fund account online based on advice provided by the selling agent, there will be an Agent code and Investment Consultant code (IC License code). The account manager will provide you with such codes

The system checks if you already have an existing fund account. If you wish to open another fund account, tap “Open Another Account” to proceed.



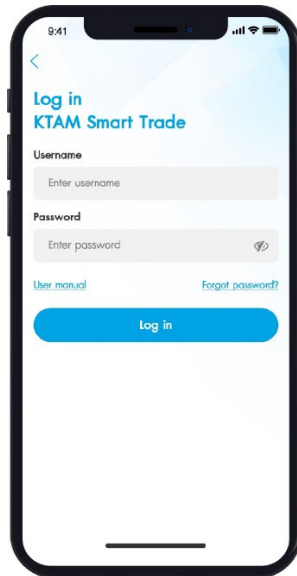
Provide confirmation by uploading a copy of your ID card that is signed to ensure authenticity.



The system will check whether you are already a user of KTAM Smart Trade

3. Already a user of KTAM Smart Trade

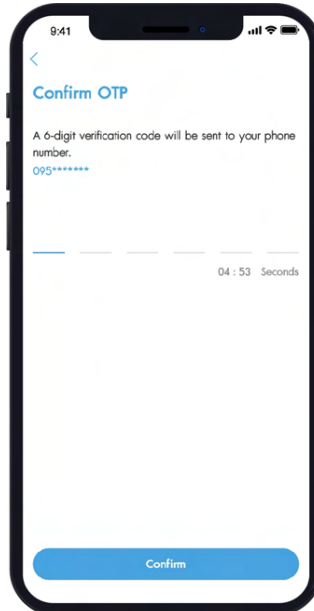
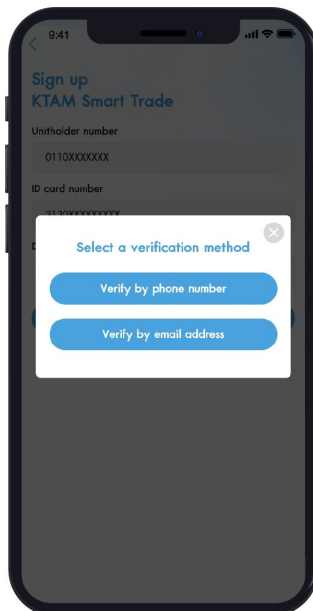
1. Fill in your Username and Password to Login



Note : If you are already registered on KTAM Smart Trade, you will be asked to Log In

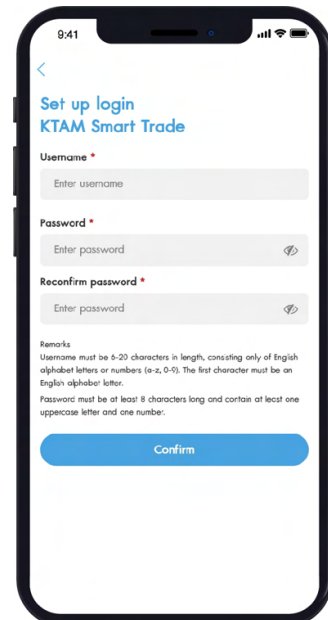
• Not yet a user of KTAM Smart Trade

1. Choose a verification method. Choose either telephone number or e-mail or 6-digit OTP code.



2. Set Username and Password.

Then tap Confirm



Note : Password must be at least 8 characters long and contain at least one uppercase letter and one number

STEP 2 Complete a Suitability Test

Fill out questionnaire to determine whether FATCA applies to you

FATCA form
Questionnaire to determine the status of an American person applicable for individual customers

- Are you a U.S. Citizen?
☐ Yes ☒ No
- Are you a U.S. Green Card Holder?
☐ Yes ☒ No
- Are you a U.S. resident for U.S. tax purposes?
☐ Yes ☒ No
- Were you born in the U.S. (or U.S. Territory)?
☐ Yes ☒ No
- Do you have (or will you have) a current U.S. residence address or U.S. mailing address for the account opened or held with the AMC?
☐ Yes ☒ No
- Do you have U.S. telephone number for contacting you or another person in relation to the account opened or held with the AMC?
☐ Yes ☒ No

FATCA form
Questionnaire to determine the status of an American person applicable for individual customers

- Are you a U.S. Citizen?
☐ Yes ☒ No
- Are you a U.S. Green Card Holder?
☐ Yes ☒ No
- Are you a U.S. resident for U.S. tax purposes?
☐ Yes ☒ No
- Were you born in the U.S. (or U.S. Territory)?
☐ Yes ☒ No
- Do you have (or will you have) a current U.S. residence address or U.S. mailing address for the account opened or held with the AMC?
☐ Yes ☒ No
- Do you have U.S. telephone number for contacting you or another person in relation to the account opened or held with the AMC?
☐ Yes ☒ No
- Do you have (or will you have) a hold mail or in care of address as the sole address for the account opened or held with the AMC?
☐ Yes ☒ No
- Do you have (or will you have) a standing instruction to transfer money from the account opened with the AMC or any of its affiliates to any accounts in the US?
☐ Yes ☒ No
- Do you (or will you) empower or authorize signing rights for this account to a person with a US residential address for transactions related to this account or any accounts opened or held with the AMC?
☐ Yes ☒ No

Notification
You are a person of another nationality/ related to the United States. Please contact the staff

OK

Confirm

Note : For foreign or US nationals, please contact KTAM

Take the Suitability Test. The system will determine your investment risk tolerance level

and also provide advice on how you may structure your investments.

9:41

Take risk assessment test

1. How old are you?

☐ 60 years old or older
 ☐ 45-59 years
 ☐ 35-44 years
 ☐ Under 35 years

2. What is the proportion of your total expenses at present such as mortgage, cars, personal and family expenses?

☐ More than 75% of total income
 ☐ Between 50% to 75% of total income
 ☐ Between 25% to 50% of total income
 ☐ Less than 25% of total income

3. What is your current financial status?

☐ Asset less than Liability
 ☐ Asset equal liability
 ☐ Asset more than liability

Take risk assessment test

1. How old are you?

☐ 60 years old or older
 ☐ 45-59 years
 ☐ 35-44 years
 ☐ Under 35 years

2. What is the proportion of your total expenses at present such as mortgage, cars, personal and family expenses?

☐ More than 75% of total income
 ☐ Between 50% to 75% of total income
 ☐ Between 25% to 50% of total income
 ☐ Less than 25% of total income

3. What is your current financial status?

☐ Asset less than Liability
 ☐ Asset equal liability
 ☐ Asset more than liability

4. Do you have any investment experience or knowledge in the following types of investment products?

☐ Bank Deposit
 ☐ Government Bond or Government Bond Fund
 ☐ Debenture or Fixed Income Fund
 ☐ Stock, Equity Fund or High Risk Asset

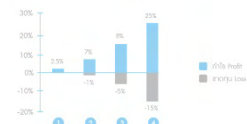
5. What is your investment period target?

☐ Less than 1 year
 ☐ 1 to less than 3 years
 ☐ More than 5 years

6. What is your risk tolerance?

☐ Focus on opportunity in preserving original investment safely and receiving small consistent return
 ☐ Focus on opportunity in receiving consistent return but may take risk of losing some original investment
 ☐ Focus on opportunity in receiving higher return but may take risk of losing more original investment
 ☐ Focus on the highest long-term return but may take risk of losing most of the original investment

7. When considering sample picture below showing the potential returns of different investment portfolio, which investment portfolio are you most willing to invest in?



Group	Gain	Loss
Group 1	2.5%	No Loss
Group 2	7%	Loss up to 1%
Group 3	15%	Loss up to 5%
Group 4	25%	Loss up to 15%

☐ Group 1 Gain 2.5% No Loss
 ☐ Group 2 Gain 7% Loss up to 1%
 ☐ Group 3 Gain 15% Loss up to 5%
 ☐ Group 4 Gain 25% Loss up to 15%

8. If you invest in assets that have chances to receive high return but also have chances to receive high loss, how would you feel?

☐ Nervous Panic Fear of Losing Money
 ☐ Uncomfortable but understandable
 ☐ Understand and accept certain level of volatility
 ☐ Not worry and hope for higher return

9. In which proportion will you be anxious or unacceptable when the value of your investment has decreased?

☐ 5% or less
 ☐ More than 5%-10%
 ☐ More than 10%-20%
 ☐ More than 20%

10. Last year, you invest 100,000 Baht. This year, the value of your investment decreased to 85,000 Baht. What will you do?

☐ Panic and want to cut loss
 ☐ Worry may move some investment to lower risk investment
 ☐ Holding and wait for rebound
 ☐ Still confident may invest more to get lower cost

Question 11-14 used as additional information for advisory purposes (not calculated in the score)

11. Successful derivatives and structure notes investment has high return. On the other hand, investors can lose all of their investment and must increase more capital. Are you able to accept this?

☐ No
 ☐ Yes

12. In addition to investment risk, are you able to accept foreign exchange rate risk?

☐ No
 ☐ Yes

13. The experience of investment in you securities (securities mean investment unit, or debenture, or share or government bond, or derivatives)

☐ Less than 1 years
 ☐ More than 1 years

14. You have limitation in communication, or decide by yourself, such as deaf person, blind person

☐ No, I do not have.
 ☐ Yes I have.

Confirm

9:41

Summarize your investment plan

Your risk level

7

High Risk

Score : 32

A high risk investment portfolio is suitable for you since you are categorized as an investor with a high risk tolerance level.

Recommended portfolio allocation by asset type

Cash deposits + short-term fixed income	10%
Gov't or corporate fixed income	40%
Equities	40%
Sector-specific	15%

Start over Confirm

STEP 3 Provide contact details

Fill out address information

- Address shown on ID card / House Registration
- Work address
- Current address (for document deliveries)
- E-mail address for document deliveries

The screenshot shows a mobile app interface for providing address information. At the top, the status bar shows the time 9:41 and signal strength. The app header is titled "3.Address data" in blue. Below the header is a horizontal row of eight circular icons: a person, a location pin, a document, a mail envelope, a calendar, a group of people, a document with a checkmark, and a document with a plus sign. The first four icons are highlighted in blue. The main content area contains four sections, each with a label and a dashed border box containing a plus sign and a label:

- Address on ID card/house registration**: + Address on ID card/house registration
- Workplace address**: + Workplace address
- Current address (used for sending documents)**: + Add current address
- Email address for sending documents**: + Email address

At the bottom of the screen is a grey button labeled "Confirm".

STEP 4 Provide bank account details

Specify bank account to receive settlement of redemption orders / dividends. Also attach photo of bank book

The image displays three mobile app screens for adding bank account information.

Top Left Screen: Titled "4. Bank account data". It features a header with icons and a sub-header "Please select account for redemption and dividends (if any)". Below this is a dashed box with the text "+ Add account for redemption settlement". At the bottom is a grey "Confirm" button.

Top Right Screen: Titled "Add bank account information". It contains several form fields: "Select bank account data" (dropdown), "Select bank account information" (dropdown), "Enter account number" (text input), and "Account type" (dropdown). Below these is a section for "Take photo of bank passbook page/ proof of ownership" with a question mark icon and instructions: "Please click to view sample to ensure proper image required. Recommendation: Photo should be taken with a mobile phone." At the bottom is a dashed box for the photo and a small "Bank passbook page" label.

Bottom Screen: Titled "4. Bank account data". It shows the same header and sub-header as the top-left screen. Below the dashed box, a selection is made: "519XXXXXX ธนาคารไทยพาณิชย์ จำกัด (มหาชน) บัญชีออมทรัพย์" with a blue checkmark. Below this is another dashed box with "+ Add account for redemption settlement". At the bottom is a blue "Confirm" button.

Note : this bank account will not be ready until approval is received

STEP 5 Additional information

Provide additional details needed to open the fund account

- Personal data
- Job details

9:41

5. More information

Nationality *

Thailand

Status

☒ Single ☐ Married

Work occupation *

Work occupation

Investment objectives *

☐ For Investment

☐ Retirement Investment

☐ For Tax Benefits

☐ Other (Please specify)

Country of source of income/investment *

Thailand

5. More information

Nationality *

Thailand

Status

☒ Single ☐ Married

Work occupation *

Work occupation

Investment objectives *

☐ For Investment

☐ Retirement Investment

☐ For Tax Benefits

☐ Other (Please specify)

Country of source of income/investment *

Thailand

Source of income (more than 1 item can be selected) *

☐ Salary ☐ Savings

☐ Own Business ☐ Investment

☐ Retirement Fund ☐ Inheritance

☐ Other

Monthly income (Baht) *

Monthly income (Baht)

Confirm

STEP 6 Provide confirmation of account opening data

Provide confirmation of account opening data by taking a facial photo together with your ID card



Review the account opening details you have provided and tap Confirm.

<

7. Confirm data to open an account

Personal data

Name-surname	นาม xxxxx xxxxx
ID card/passport number	xxxxxxxxxxxx
Laser Code number	xxxxxxxxxxxx
Email address	xxxxxxxxxxxx
Phone number	xxxxxxxxxxxx

Address data

Address on ID card/house registration	xxxxxxxxxxxx xxxxx xxxxxxxxxxxx xxxxx xxxxxx
Workplace address	xxxxxxxxxxxx xxxxx xxxxxxxxxxxx xxxxx xxxxxx
Current address (used for sending documents)	xxxxxxxxxxxx xxxxx xxxxxxxxxxxx xxxxx xxxxxx
Email address for sending documents	xxxxxxxxxxxx

Bank account data

Account for redemption	519XXXXXXX บัญชีออมทรัพย์
------------------------	------------------------------

More information

Nationality	Thailand
Status	Single
Work occupation	พนักงานบริษัท (Corporate Employee)
Investment objectives	เพื่อการลงทุน
Country of source of income/investment	Thailand
Monthly income (Baht)	1
Source of investment	เงินเดือน

☐ I accept. [Personal data protection policy](#)
☐ I accept. [Terms and agreements](#)

Confirm

<

519XXXXXXX
บัญชีออมทรัพย์

Main account

More information

Nationality Thailand

Confirm data

You want to confirm that all of your data is accurate and complete.

Confirm data

Source of investment เงินเดือน

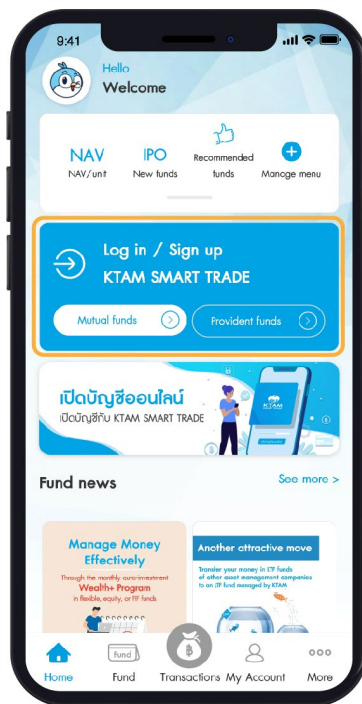
☒ I accept. [Personal data protection policy](#)
☒ I accept. [Terms and agreements](#)

Confirm

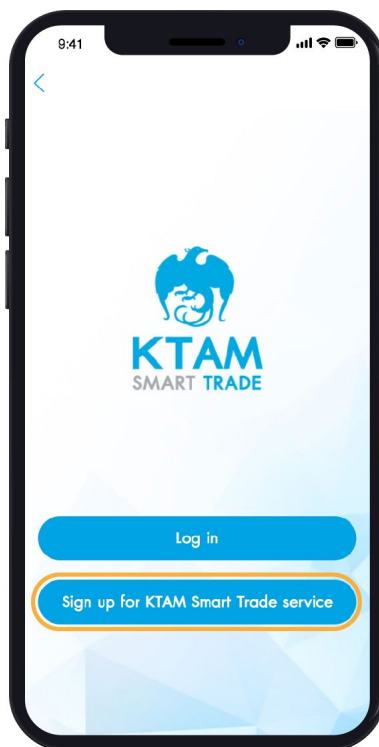
5. Getting Started KTAM Smart Trade

5.1. Registering for the first time

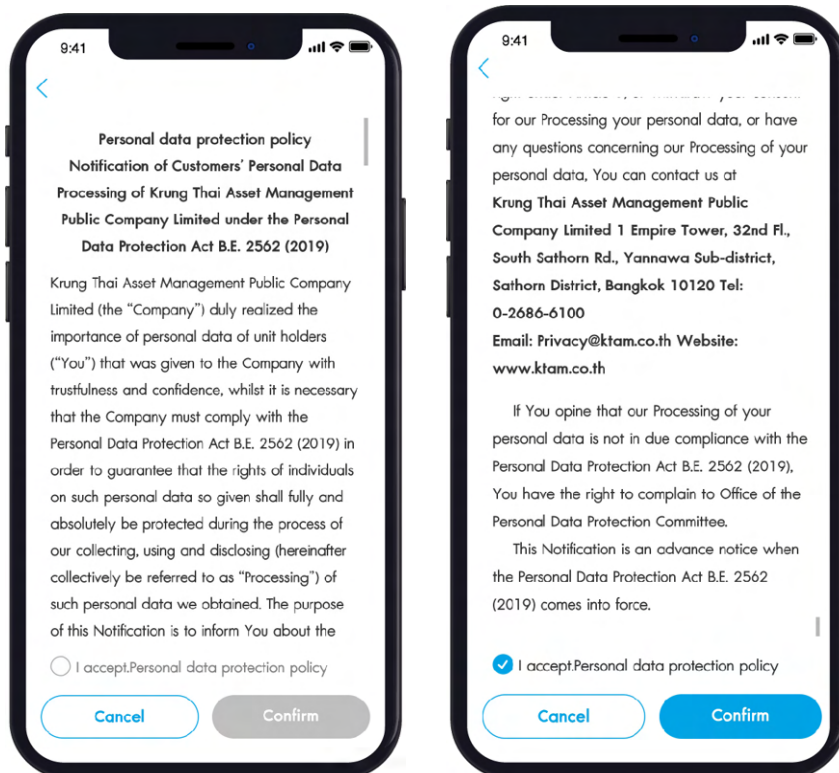
1. Tap Login/Register



2. Tap KTAM Smart Trade Registration



3. Review the "Personal data protection policy" and tap Confirm

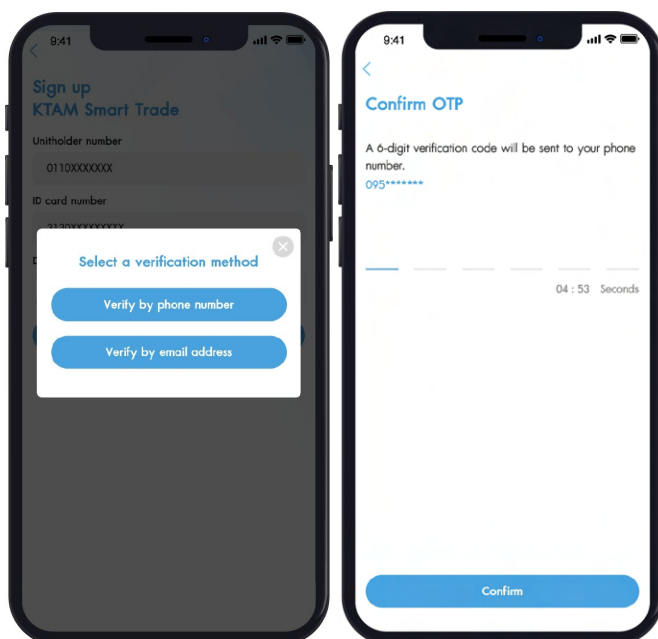


The image shows a smartphone screen with the 'Sign up KTAM Smart Trade' form. The form has three input fields: 'Unitholder number' with a placeholder 'Enter unitholder number', 'ID card number' with a placeholder 'Enter ID card number', and 'Date of birth (A.D.)' with a placeholder 'Date of birth' and a calendar icon. Below the fields is a blue button labeled 'Check'.

4. Fill in verification information

- Unitholder number
- ID number
- Date of birth

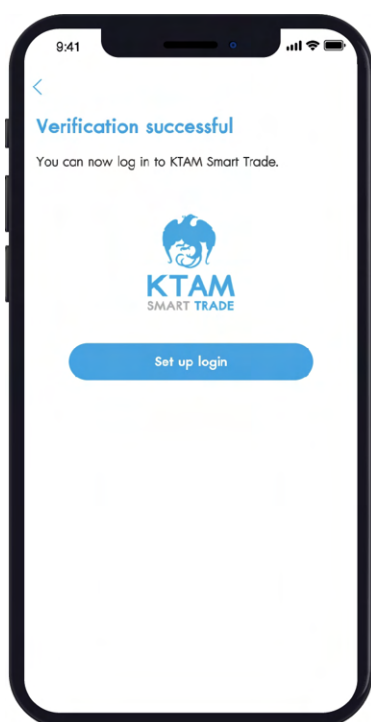
5. Verify using 6-digit OTP code through e-mail or mobile phone number registered with KTAM



Note : If you have never registered your mobile phone number or e-mail with KTAM, the system will alert you so you can update your personal data. See details on how to do so under heading [“In case you have never previously provided your mobile phone number or e-mail to KTAM”](#)

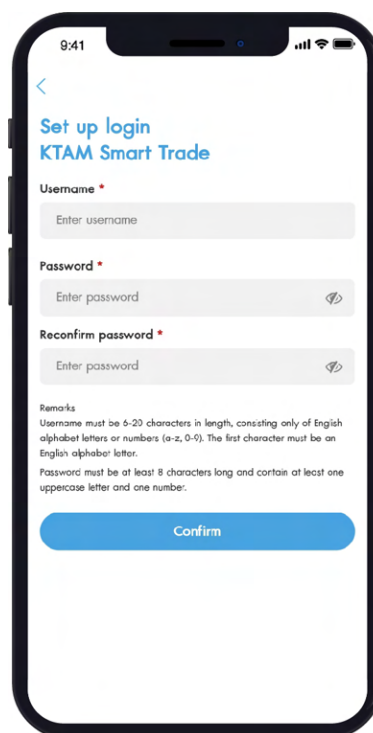
6. Verification is finished.

Tap Set up login.



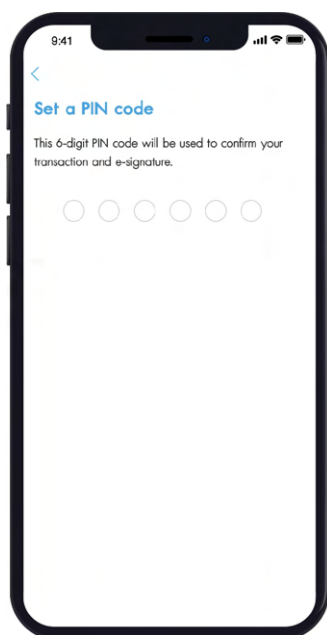
7. Set Username and Password.

Then tap to confirm.



Note : Password must be at least 8 characters long and contain at least one uppercase letter and one number

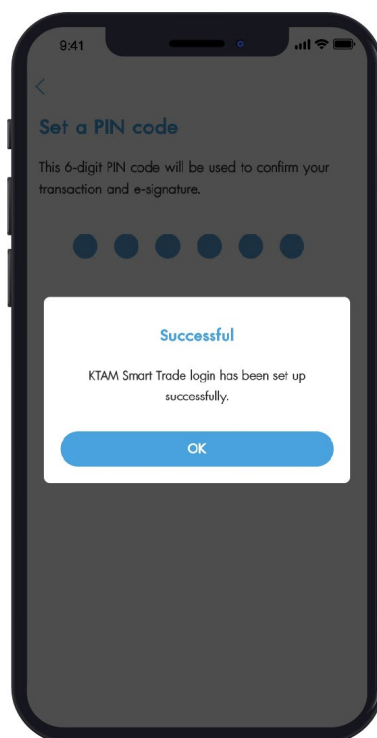
7. Set Pin Code.



Note : For security purposes, when selecting a Pin Code, please avoid

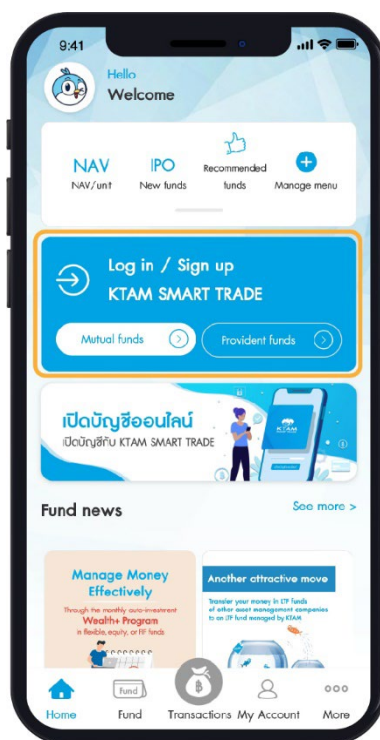
- Codes which can be easily guessed, such as your birthdate
- Consecutive numbers to form the 6-digit
- Patterns of duplicate numbers, such as 111222

8. You are successfully registered on KTAM Smart Trade

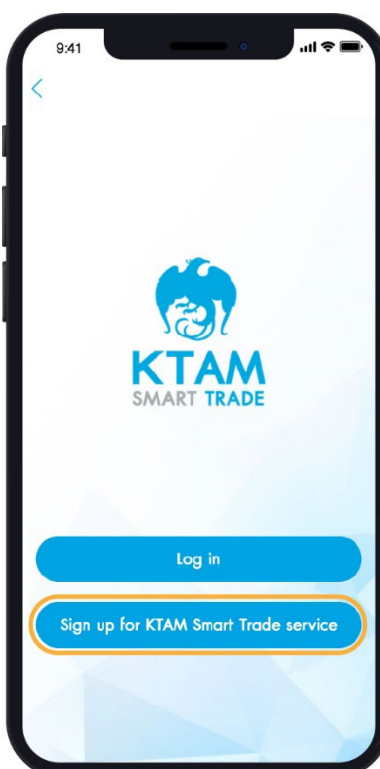


5.2. In case you have never previously provided your mobile phone number or e-mail to KTAM

1. Tap Login/Register



2. Tap KTAM Smart Trade Registration



3. Review the "Personal data protection policy" and tap Confirm

Personal data protection policy
Notification of Customers' Personal Data
Processing of Krung Thai Asset Management
Public Company Limited under the Personal
Data Protection Act B.E. 2562 (2019)

Krung Thai Asset Management Public Company Limited (the "Company") duly realized the importance of personal data of unit holders ("You") that was given to the Company with trustfulness and confidence, whilst it is necessary that the Company must comply with the Personal Data Protection Act B.E. 2562 (2019) in order to guarantee that the rights of individuals on such personal data so given shall fully and absolutely be protected during the process of our collecting, using and disclosing (hereinafter collectively be referred to as "Processing") of such personal data we obtained. The purpose of this Notification is to inform You about the

☐ I accept Personal data protection policy

☒ I accept Personal data protection policy

Cancel Confirm

for our Processing your personal data, or have any questions concerning our Processing of your personal data, You can contact us at
Krung Thai Asset Management Public Company Limited 1 Empire Tower, 32nd Fl., South Sathorn Rd., Yannawa Sub-district, Sathorn District, Bangkok 10120 Tel: 0-2686-6100
Email: Privacy@ktam.co.th Website: www.ktam.co.th

If You opine that our Processing of your personal data is not in due compliance with the Personal Data Protection Act B.E. 2562 (2019), You have the right to complain to Office of the Personal Data Protection Committee.

This Notification is an advance notice when the Personal Data Protection Act B.E. 2562 (2019) comes into force.

Sign up
KTAM Smart Trade

Unitholder number
 Enter unitholder number

ID card number
 Enter ID card number

Date of birth (A.D.)
 Date of birth

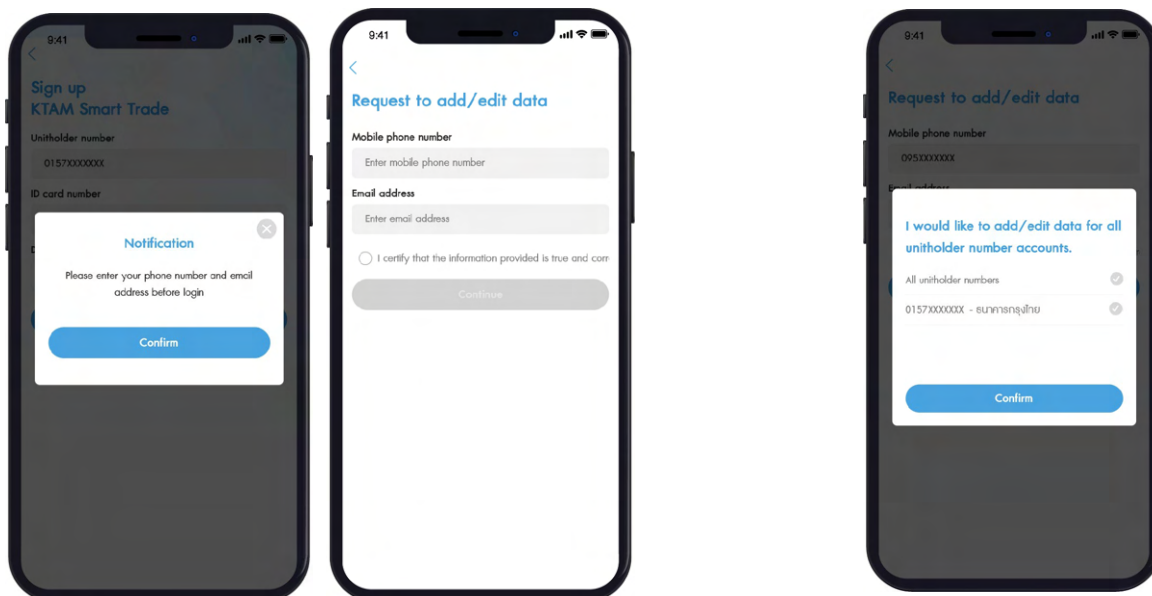
Check

4. Fill in verification information

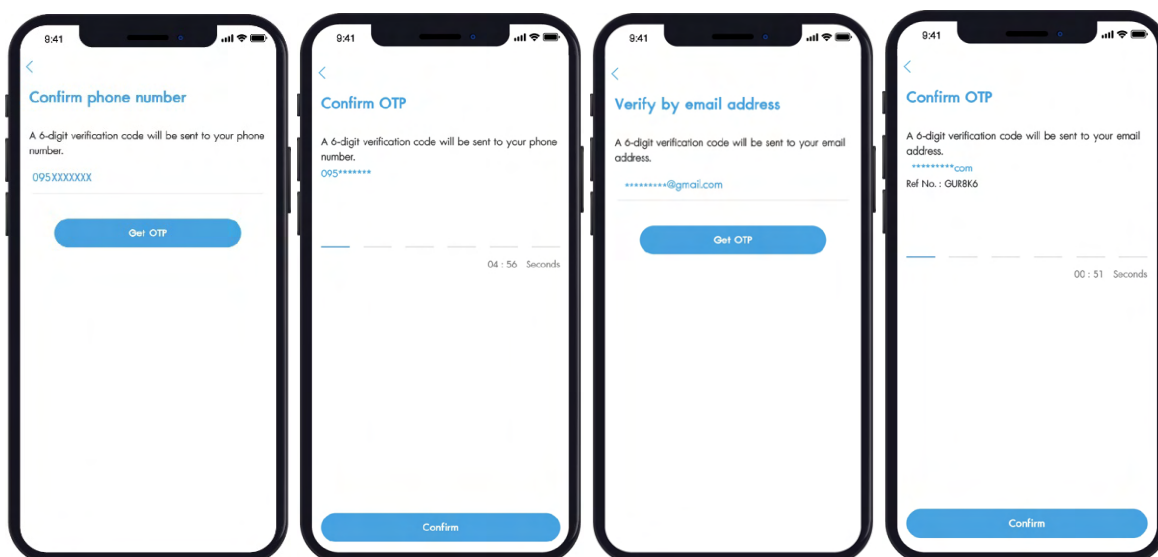
- Unitholder number
- ID number
- Date of birth

5. If you have never previously provided your mobile phone number and e-mail to KTAM. The system will alert and request that you add/amend your mobile phone number and e-mail

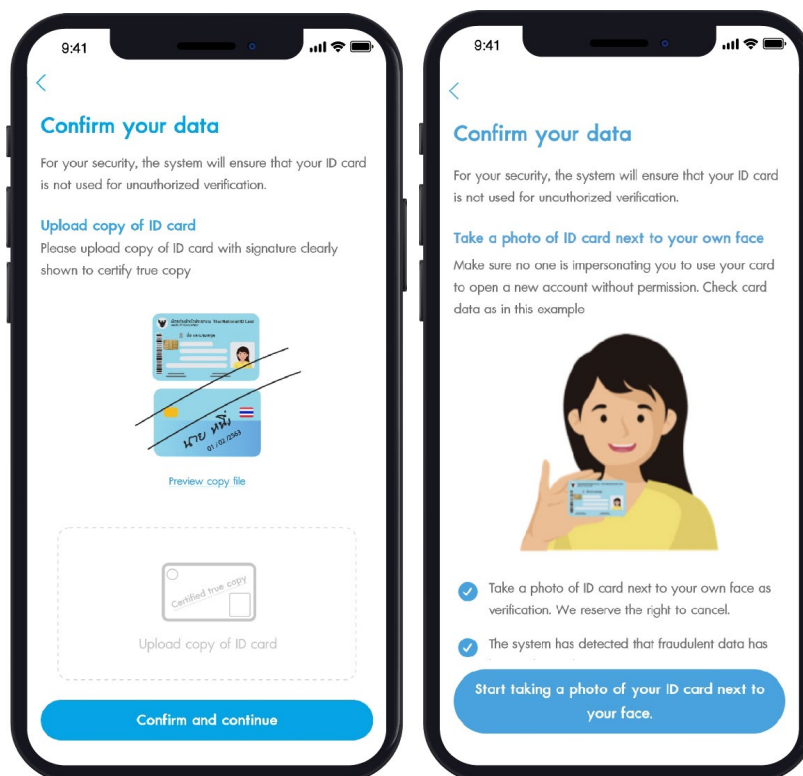
6. If you have more than 1 fund account, you may add/amend your mobile phone number and e-mail on other fund accounts as well



7. Verify your mobile phone number and e-mail with the 6-digit OTP code



8. Provide confirmation by taking a facial photo together with your ID card



9. Set Username and Password

Set up login
KTAM Smart Trade

Username *
Enter username

Password *
Enter password

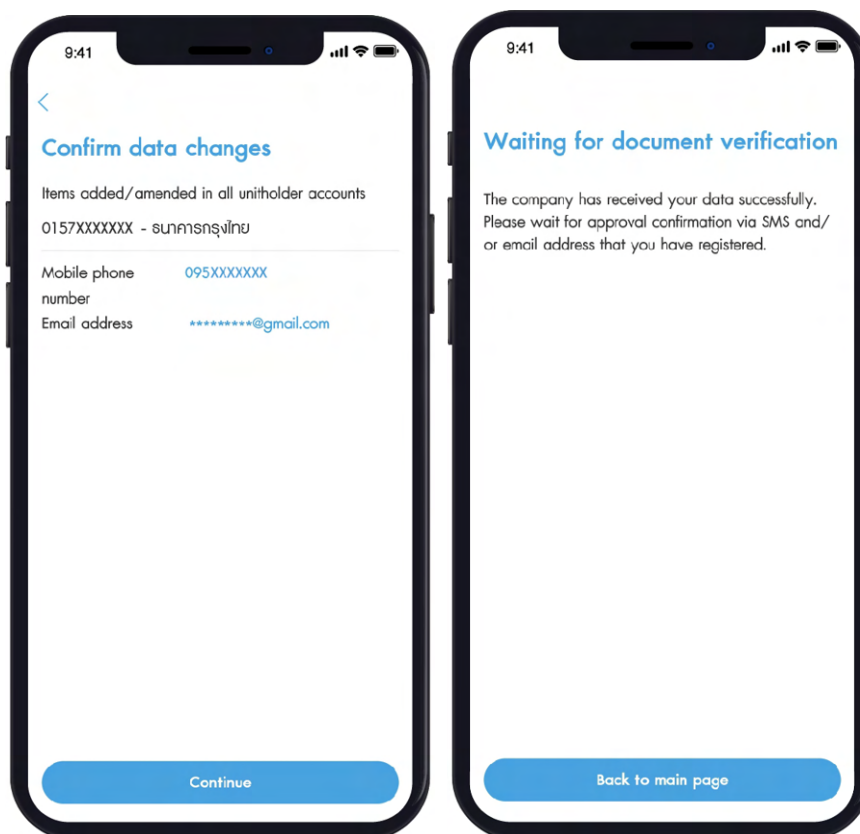
Reconfirm password *
Enter password

Remarks
Username must be 6-20 characters in length, consisting only of English alphabet letters or numbers (a-z, 0-9). The first character must be an English alphabet letter.
Password must be at least 8 characters long and contain at least one uppercase letter and one number.

Confirm

Note : Password must be at least 8 characters long and contain at least one uppercase letter and one number

10. Confirm changes to your mobile phone number and email.

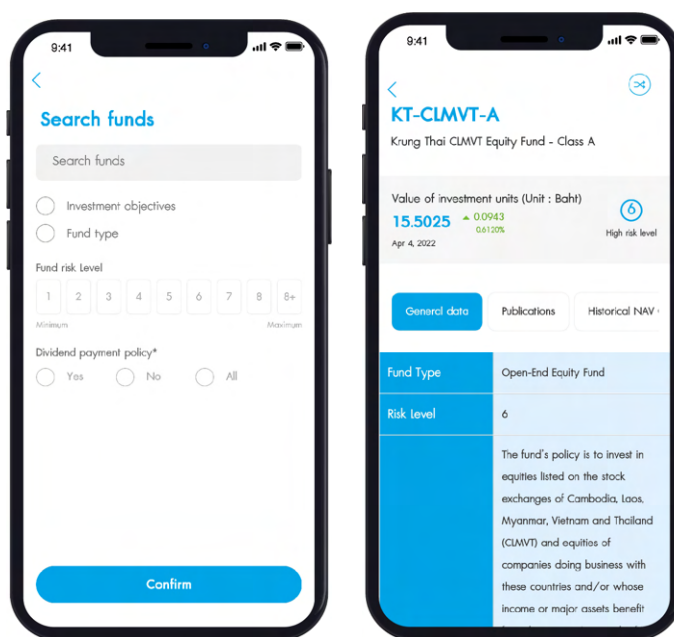


Note : KTAM will notify you the results to the designated e-mail within 1-3 business days after we have reviewed your supporting documents.

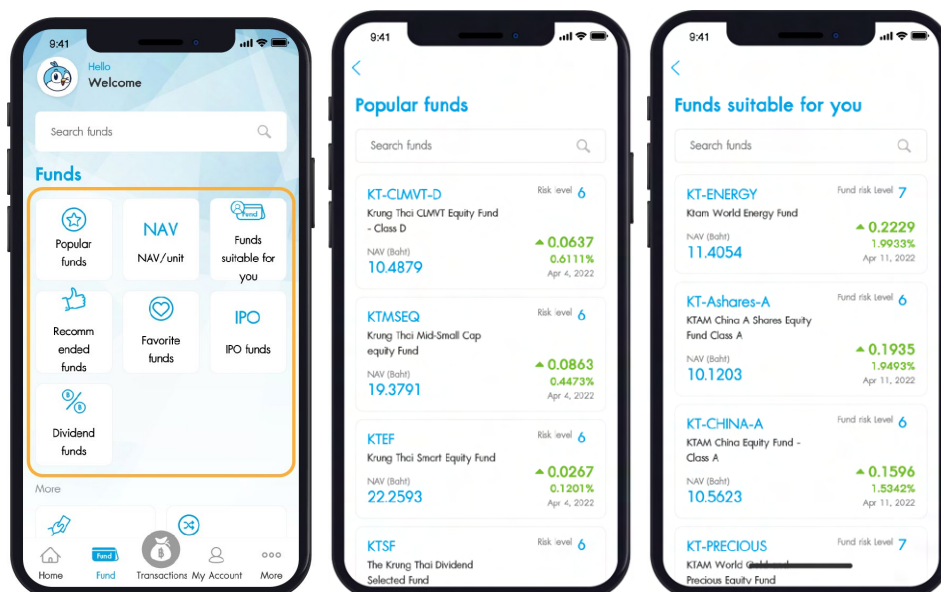
6. Funds

6.1. Fund Information

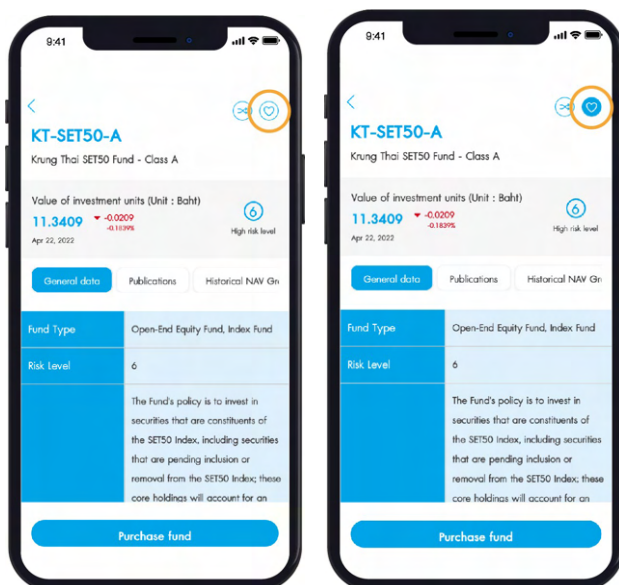
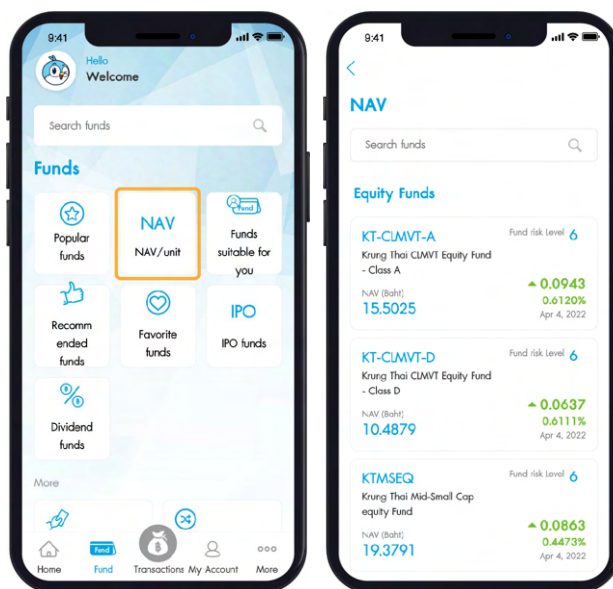
- Select fund by searching funds according to investment objective, type, risk level, or dividend payment policy. Tap the one you are interested in to display more information about that fund such as fund's investment policy, fund fact sheet, historical NAV graph, historical performance charts, etc.



- Select funds by listing most popular funds, recommended funds, funds suitable for you, or IPO funds. Tap the one you are interested in to display more information about that fund such as fund's investment policy, fund fact sheet, historical NAV graph, historical performance charts, etc.



- Obtain NAV data by tapping NAV (Net Asset Value) and set favorite funds so you can monitor them easily.



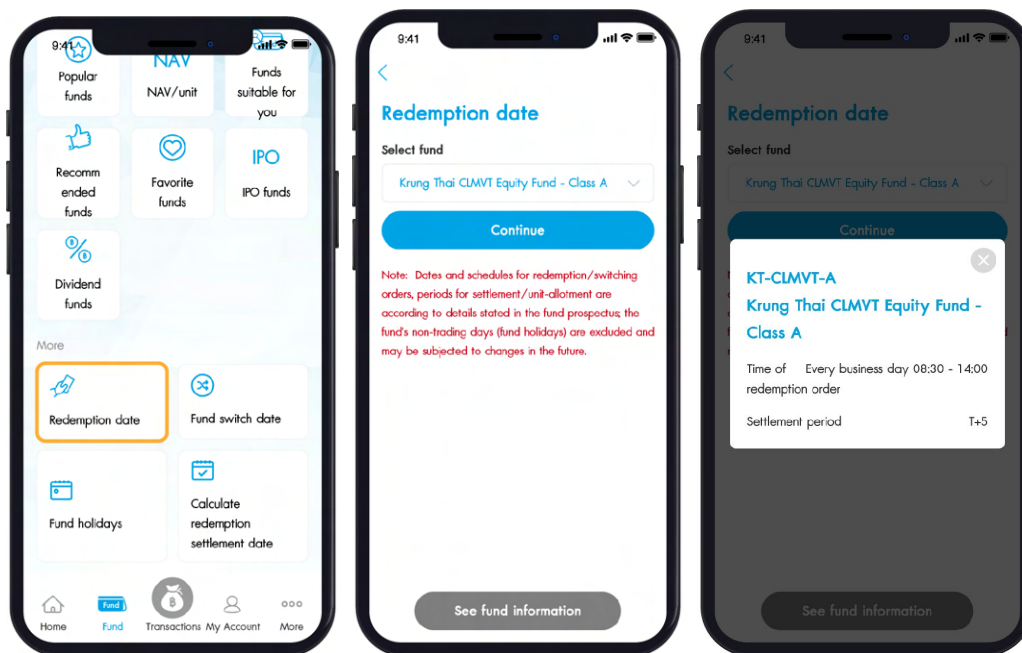
Set favorite funds to monitor them easily by following these steps:

- Choose a fund to set as a favorite
- Screen will display highlight details of that fund
- Tap the heart icon on the top right-hand corner so that it turns blue
- You can check that this fund has been saved by tapping the Favorite Funds menu

6.2. Fund schedule

6.2.1. **Settlement period** screen displays the settlement period to process payment for a redemption order.

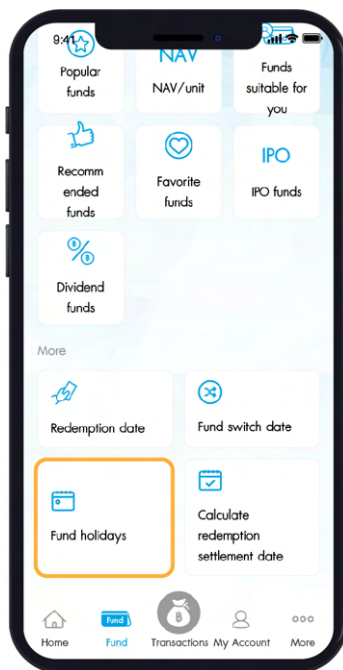
1. Tap menu Settlement period
2. Specify fund you are interested in. Then tap Continue.
3. The screen will display the number of days needed to process payment for a redemption.



Note : Settlement period for a redemption / switch-out order shows number of days as prescribed in the fund prospectus, but does not yet include any holidays affecting that fund. Fund holidays may be subjected to changes.

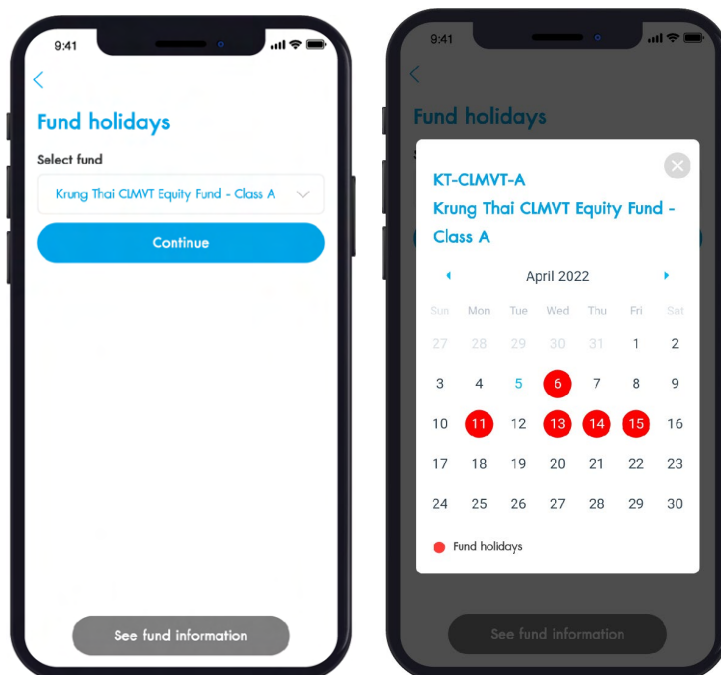
6.2.2. Fund holidays displays the fund holiday calendar

1. Tap Fund Holidays



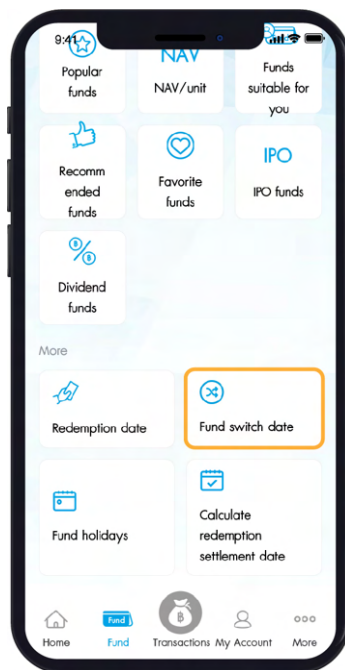
2. Specify fund you are interested in. Then tap Continue.

3. The screen will display the fund holiday calendar for the month. Other months can also be viewed.



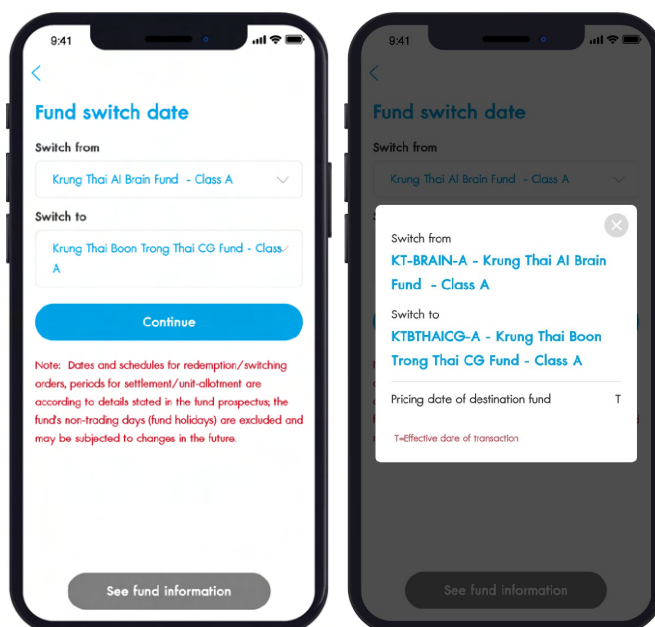
6.2.3. Fund switch date displays details of switching dates

1. Tap menu Fund Switch Date



2. Select the fund to switch-out and fund to switch-in. Then tap Continue.

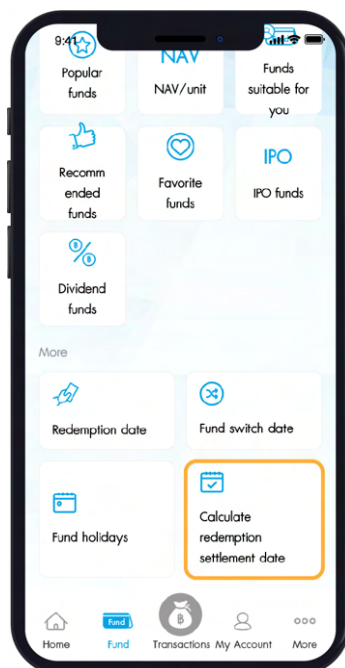
3. The screen will display timing details about switch.



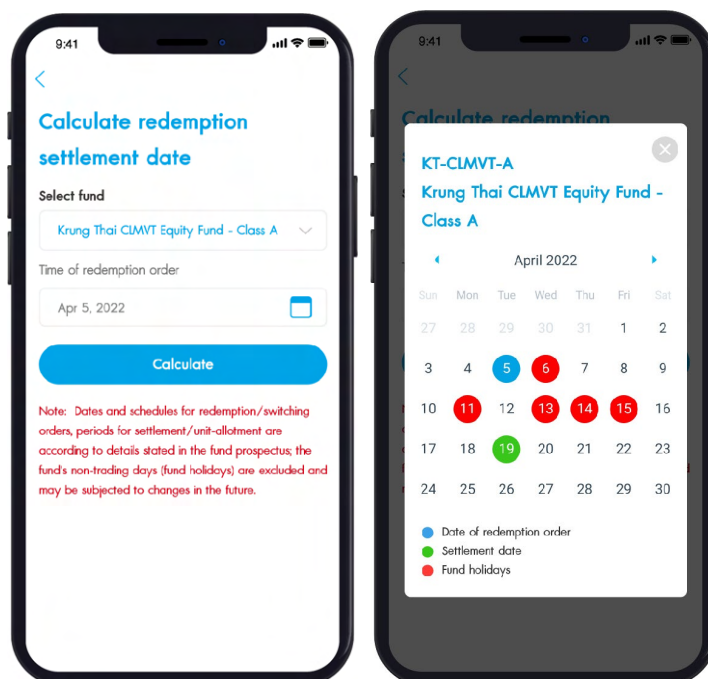
Note : Settlement period for a redemption / switch-out order shows number of days as prescribed in the fund prospectus, but does not yet include any holidays affecting that fund. Fund holidays may be subjected to changes.

6.2.4. Calculate redemption settlement date easily by following these steps

1. Tap menu Calculate Redemption Settlement Date



2. Select fund you are interested in.
3. Select the date you wish to place the redemption order. Then tap Calculate.
4. The screen will display a calendar showing details about the settlement process and when payment will be received.

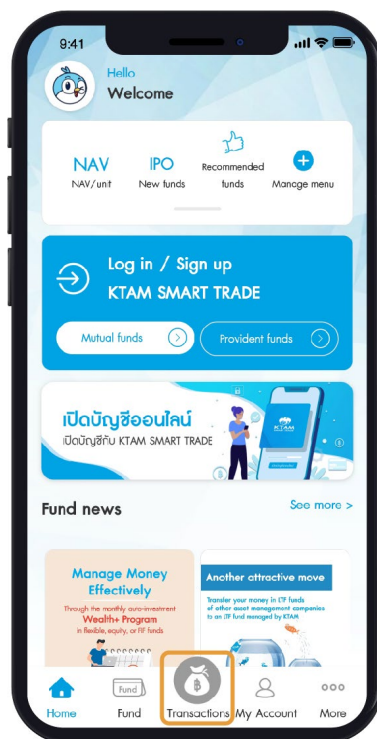


Note : Settlement period for a redemption / switch-out order shows number of days as prescribed in the fund prospectus, but does not yet include any holidays affecting that fund. Fund holidays may be subjected to changes.

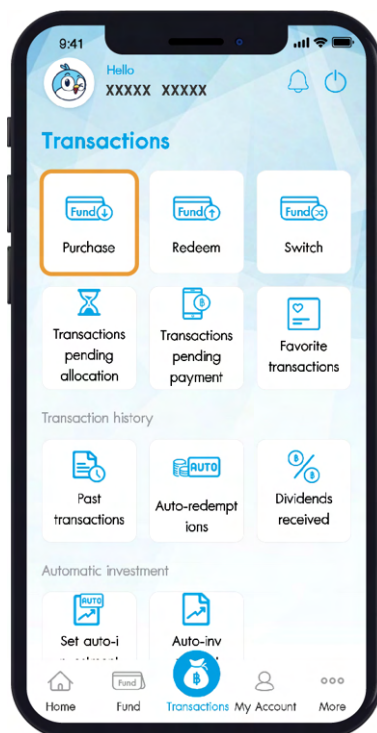
7. Transactions

7.1. Purchase

1. Tap menu for Transactions



2. Tap Purchase



The screenshot shows a mobile app interface for creating a purchase transaction. The title is "Create purchase transaction". The form includes the following sections:

- Unitholder number:** A dropdown menu with "Unitholder number" and a chevron icon.
- Select fund:** A dropdown menu with "Select fund" and a chevron icon.
- Fund information:** A section with a red checkmark icon, the text "Please read and acknowledge fund information", and a blue button labeled "Fund information".
- Purchase amount (Baht):** A text input field with the placeholder "Specify value amount (Bt)".
- Transaction type:** Two radio buttons: "Purchase now" (selected) and "Advance order to purchase". Below them is a date picker showing "Apr 27, 2022" and a calendar icon.
- Select payment method:** A dropdown menu with a card icon, the text "Select payment method", and a chevron icon.
- Note:** A paragraph stating: "If transaction as selected cannot be processed due to a public holiday, the company will process the transaction on the following day."
- Accept terms and conditions:** A radio button next to the text "Accept [these terms and agreement](#)".
- Purchase fund:** A large grey button at the bottom.

Yellow callout lines connect the following elements to the numbered instructions on the right:

- Unitholder number dropdown (3)
- Select fund dropdown (4)
- Fund information button (5)
- Purchase amount input field (6)
- Transaction type radio buttons (7)
- Select payment method dropdown (8)
- Accept terms and conditions radio button (9)

3. Select Unitholder Number

4. Select fund desired

5. Tap Fund Information to review and acknowledge details about the fund

6. Specify value amount of the purchase

7. Select type of purchase

8. Select payment method

- Bank debit
- KTC credit card (only for RMF/SSF)
- Redeem KTC FOREVER points instead of using cash
- Online Banking

9. Accept these terms and conditions. Then tap Purchase.

10. Review details of your order to purchase. Then tap Confirm.

9:41

<

Confirm purchase of funds

Date transaction order submitted	Jun 14, 2022
Unit holder number	5839XXXXXX
Unit holder name	xxxxxx
Fund code	KTSS
Fund name	KRUNG THAI SS FUND
Effective date of transaction	Jun 14, 2022
Value	10 Baht
Payment method	Redeem KTC FOREVER points instead of cash

Memo

Memo

Note
If transaction as selected cannot be processed due to a public holiday, the company will process the transaction on the following day.

Confirm

11. Verify by entering the 6-digit Pin Code

12. When the transaction is successfully placed, the system will save an e-Slip image on your phone. We will also send a notification to the e-mail you have previously registered with KTAM.

9:41

<

KTAM

Please enter your Pin code

○ ○ ○ ○ ○ ○ ○ ○

1 2 3

4 5 6

7 8 9

0 delete

9:41

✓

Purchase transaction successfully completed

Transaction number	5839220XXXXX
Unit holder number	5839XXXXXX
Unit holder name	xxxxxx
Date transaction order submitted	Jun 14, 2022 Time 12:10:22
Fund code	KTSS
Fund name	KRUNG THAI SS FUND
Transaction type	Purchase now
Effective date of transaction	Jun 14, 2022
Value	10 Baht
Payment method	Redeem KTC FOREVER points instead of cash

Memo

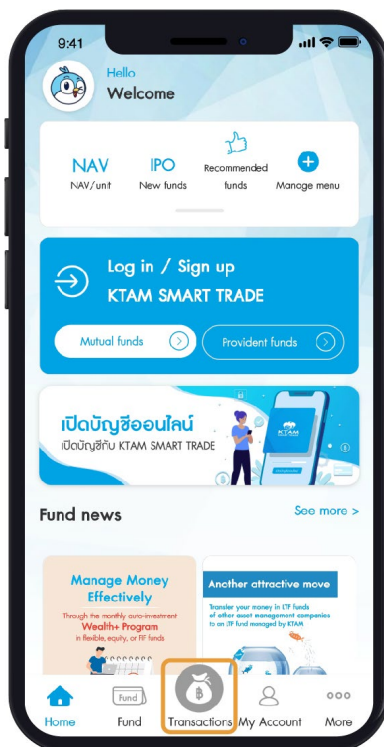
Memo

Save picture Save favorite transaction

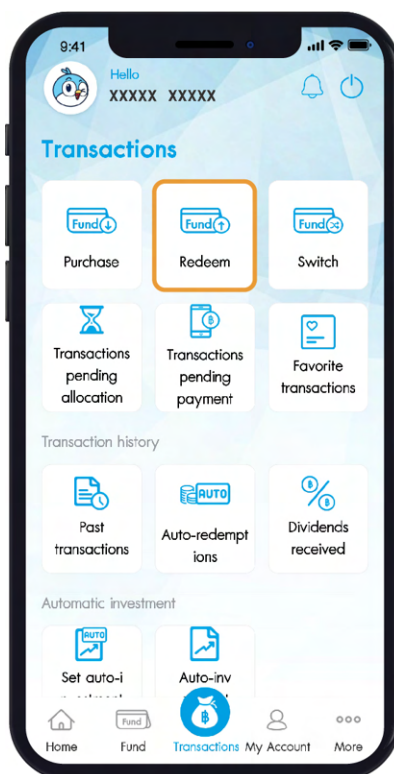
Back to main page

7.2. Redeem

1. Tap menu for Transactions



2. Tap Redeem



The screenshot shows a mobile app interface for redeeming funds. The title is "Redeem fund". The interface includes several input fields and options, each highlighted with a yellow box and a numbered callout:

- 3. Select Unitholder Number**: Points to the "Unitholder number" dropdown menu.
- 4. Select fund desired**: Points to the "Select fund" dropdown menu.
- 5. Tap Fund Information to learn more about the fund**: Points to the "Allocation Funds" icon in the "Transaction amount" section.
- 6. Select receiving bank**: Points to the "Credit bank account" dropdown menu.
- 7. Select transaction type**: Points to the "Redeem now" radio button in the "Transaction type" section.
- 8. Accept these terms and conditions. Then tap Redeem**: Points to the "Accept [these terms and agreement](#)" checkbox and the "Redeem funds" button.

The "Transaction amount" section also includes radio buttons for "Baht", "Units", and "All", and a text input field for "Specify value amount (Bt)". The "Transaction type" section includes a date picker set to "Apr 27, 2022". A note at the bottom states: "Note: If transaction as selected cannot be processed due to a public holiday, the company will process the transaction on the following day."

9. Review details of your order to redeem. Then tap Confirm.

Confirm redemption

Date transaction order submitted	Jun 10, 2022
Unitholder number	0086XXXXXX
Unitholder name	xxxxxx
Fund code	KTPLUS
Fund name	Krung Thai Thanasup Plus Fund
Effective date of transaction	Jun 10, 2022
Value	5.00 Baht
Credit bank account	086****775
KRUNG THAI BANK PUBLIC COMPANY LTD.	

Memo

Memo

Note
If transaction as selected cannot be processed due to a public holiday, the company will process the transaction on the following day.

Confirm

10. Verify by entering the 6-digit Pin Code.

11. When the transaction is successfully placed, the system will save an e-Slip image on your phone.

We will also send a notification to the e-mail you have previously registered with KTAM.

KTAM

Please enter your Pin code

1 2 3
4 5 6
7 8 9
0 delete

Redemption order successfully completed

Transaction number	0000220XXXXX
Unitholder number	0086XXXXXX
Unitholder name	xxxxxx
Date transaction order submitted	Jun 10, 2022
Time	10:01:24
Fund code	KTPLUS
Sell funds	Krung Thai Thanasup Plus Fund
Effective date of transaction	Jun 10, 2022
Payment date	Jun 13, 2022
Value	5 Baht
Credit bank account	086****775
KRUNG THAI BANK PUBLIC COMPANY LTD.	

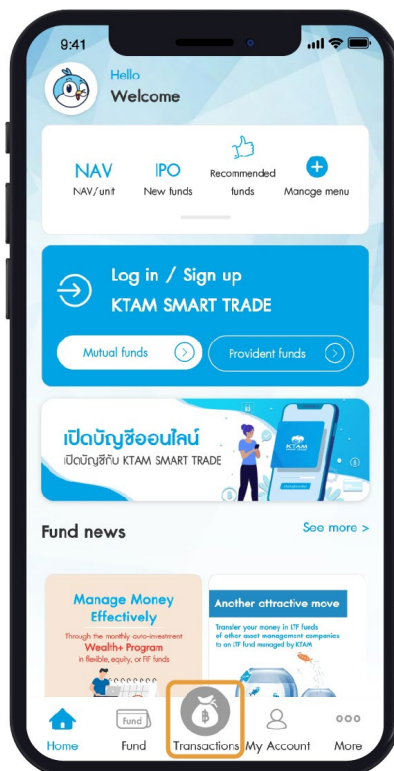
Memo

Save picture Save favorite transaction

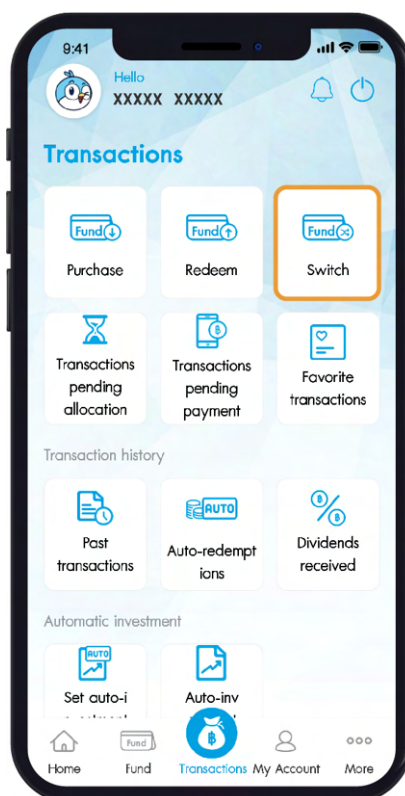
Back to main page

7.3. Switch

1. Tap menu for Transactions



2. Tap Switch



9:41

Switch funds

Unitholder number

Unitholder number

Switch from

Select fund

Value amount available for switching - Baht

Switch to

Select fund

☒ Please read and acknowledge fund information [Fund information](#)

Amount to switch

☒ Baht ☐ Units ☐ All

Amount to switch

Transaction type

☒ Switch now ☐ Advance order to switch

Apr 27, 2022

☐ Accept [these terms and agreement](#)

Switch funds

3. Select Unitholder Number

4. Select fund to switch-out

5. Select fund to switch-in

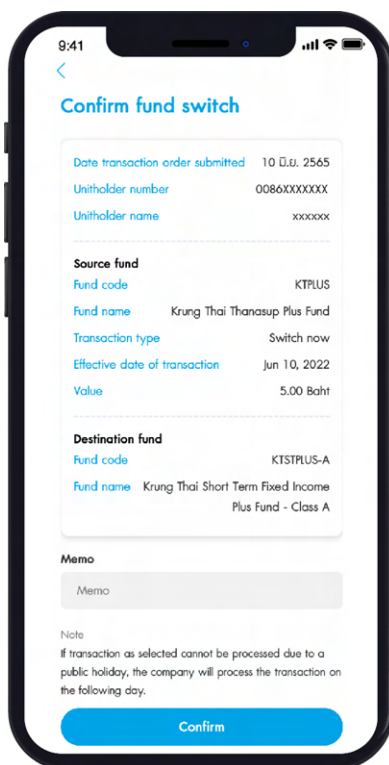
6. Tap Fund Information to learn more about the fund

7. Specify value amount or number of units to switch.

8. Select transaction type

9. Accept these terms and conditions.
Then tap Switch

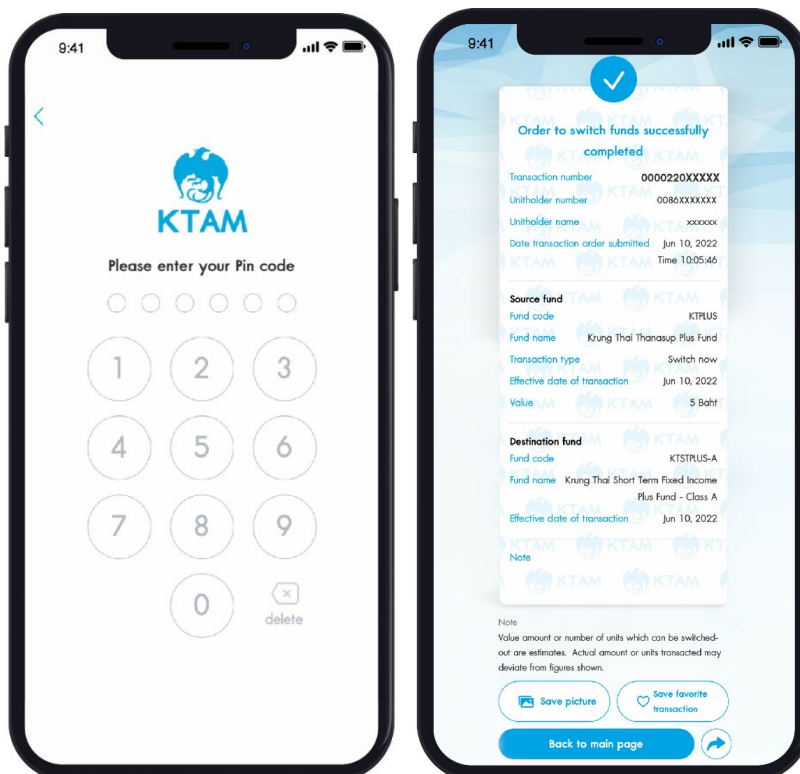
10. Review details of your order to switch. Then tap Confirm.



11. Verify by entering the 6-digit Pin Code.

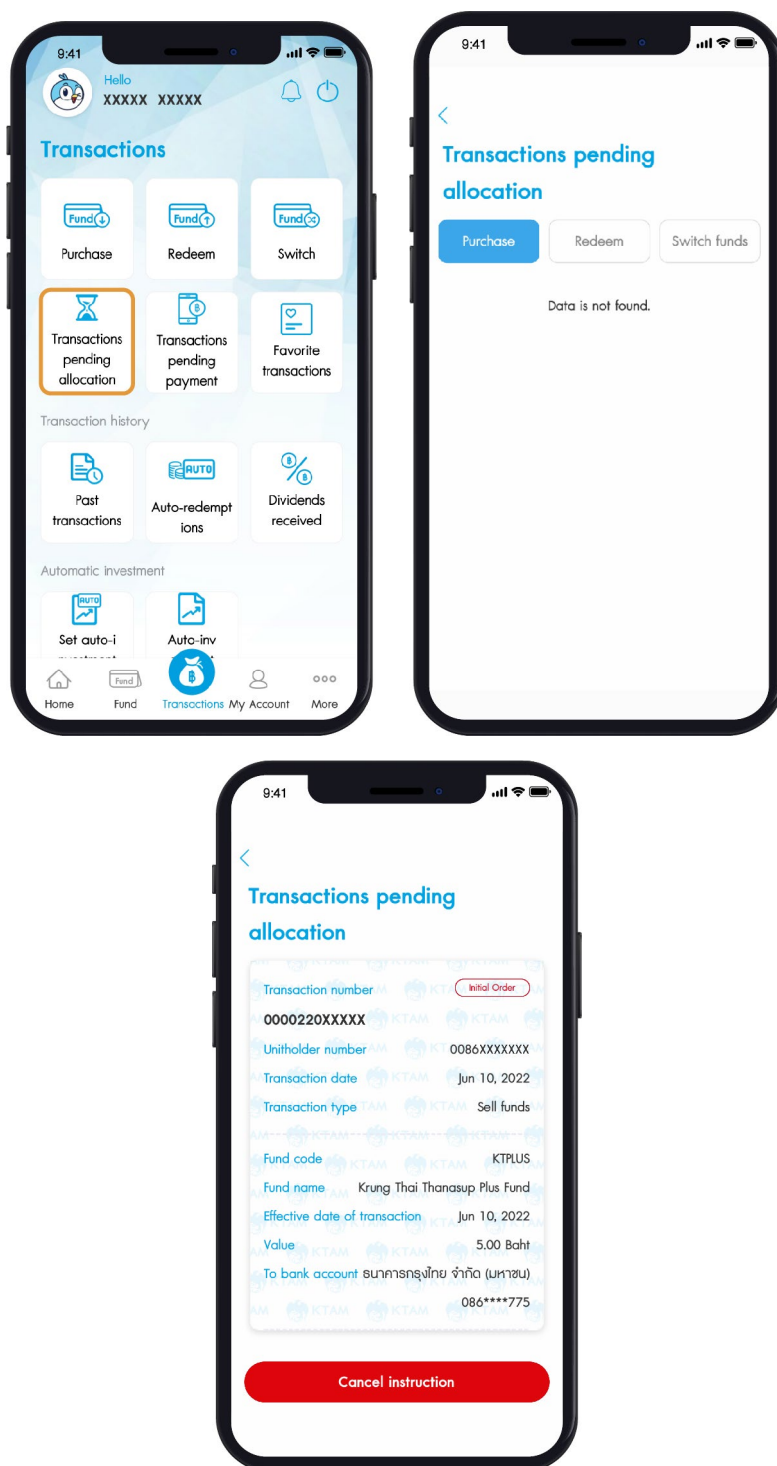
12. When the transaction is successfully placed, the system will save an e-Slip image on your phone.

We will also send a notification to the e-mail you have previously registered with KTAM.



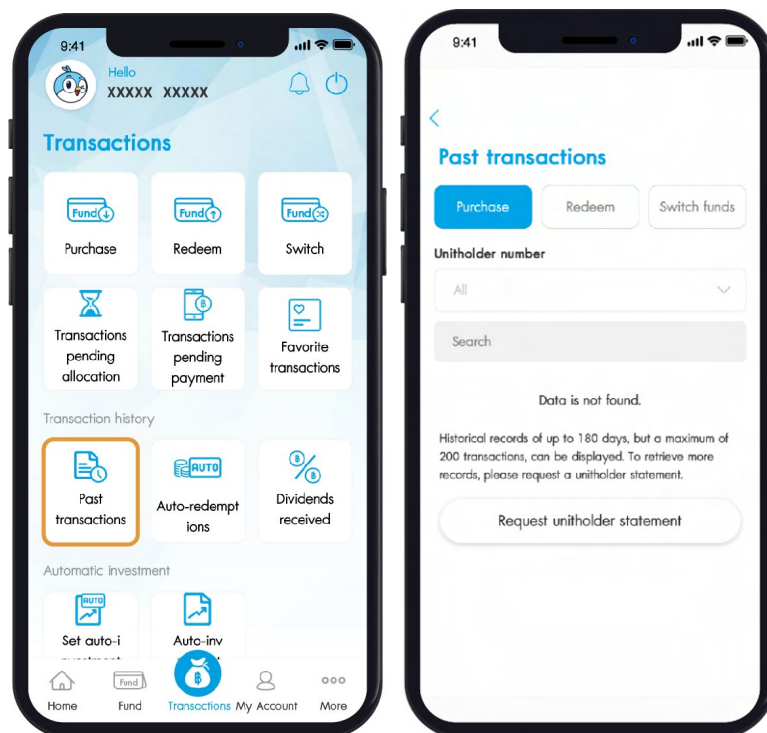
7.4. Transactions pending allocation

After you have placed your order successfully, you may review the status of your purchase, redemption, or switching order that is awaiting allocation by tapping Transactions Pending Allocation. You may view details of each individual transaction.



7.5. Past transactions

1. Display a list of past purchase, redemption, or switching transactions by tapping Past Transactions
2. You can only view past transactions belonging to this unitholder number (for cases where you have more than 1 unitholder number)
3. To see past transactions, select the fund type, transaction type, and period to display.

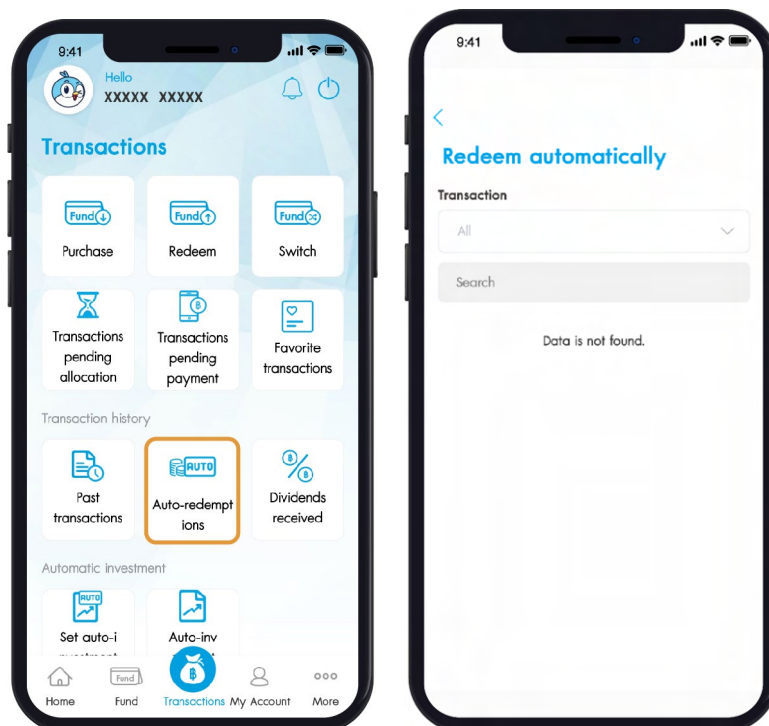


Note : You can only view transactions that have occurred over the past 180 days and up to no more than 200 transactions. For more data, please request to obtain a Statement.

7.6. Auto-redemptions

1. Display of list of auto-redemption payments received by tapping menu Auto Redemption
2. You can only view past transactions belonging to this unitholder number (for cases where you have more than 1 unitholder number)

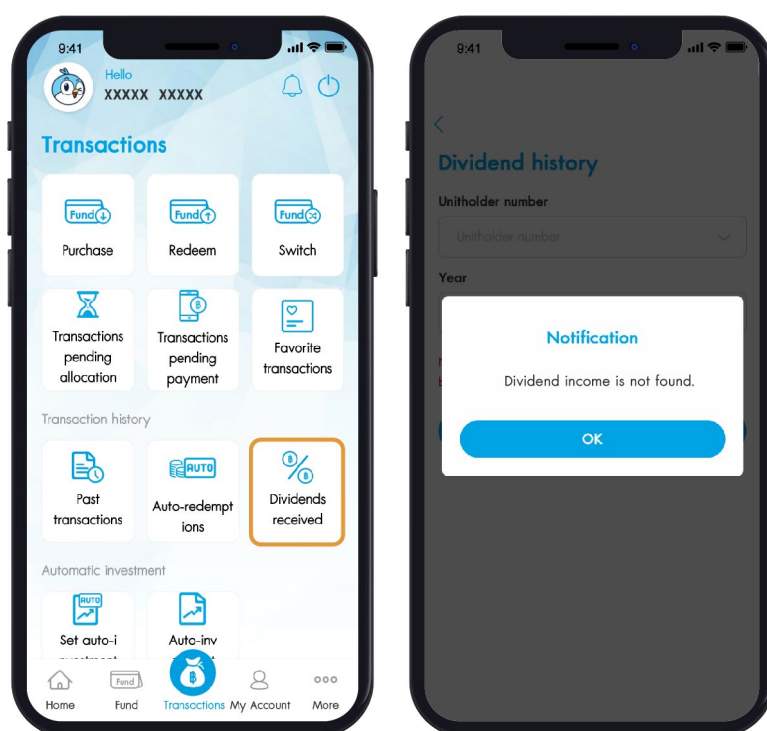
The system only displays transactions if the unitholder has Auto-Redemption transactions.



7.7 Dividends received

1. Tap Dividends Received
2. Specify unitholder number (you can only view past transactions belonging to this unitholder number (for cases where you have more than 1 unitholder number)
3. Select year. Then tap Search.
4. The screen will list past dividends you have received.

The system only displays information if the unitholder has received dividends before.



8. Auto Investment Plan

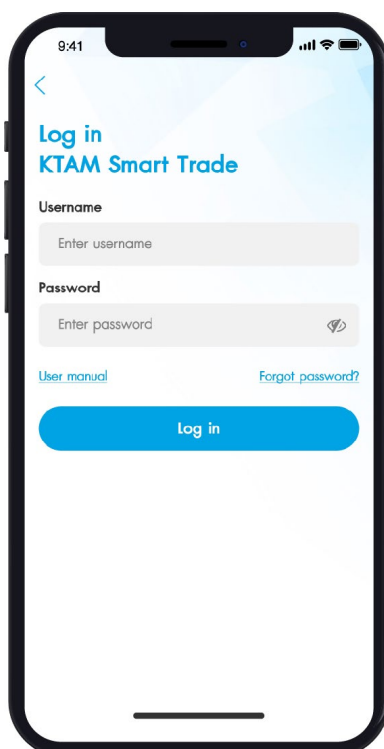
8.1. Set Auto Investment Plan

8.1.1. Set up your fund purchase plan

1. Tap Login / Register



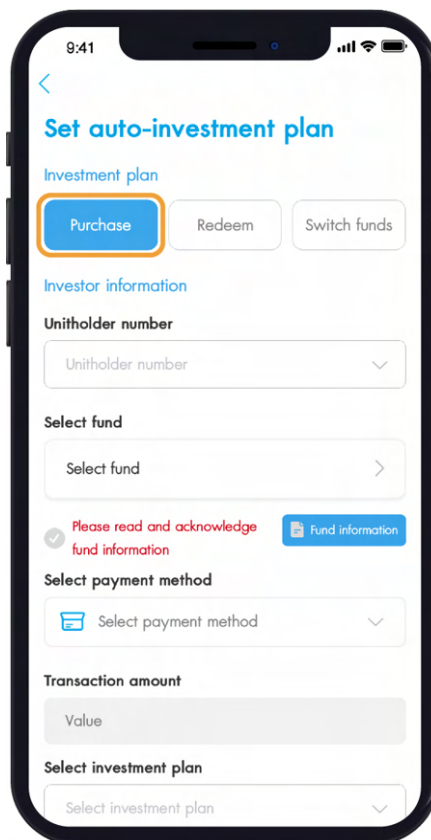
2. Enter your Username and Password to login



3. Tap menu Transaction and Set Auto Investment Plan



4. Tap Purchase



Set auto-investment plan

Investment plan

Purchase Redeem Switch funds

Investor information

Unitholder number

Unitholder number

Select fund

Select fund

☒ Please read and acknowledge fund information [Fund information](#)

Select payment method

Select payment method

Transaction amount

Value

Select investment plan

Select investment plan

Start auto-investment plan

Apr 26, 2022

End auto-investment plan

Apr 27, 2022

Note

If transaction as selected cannot be processed due to a public holiday, the company will process the transaction on the following day.

☐ Accept [these terms and agreement](#)

Transaction

5. Specify Unitholder Number

6. Specify Fund Name

7. Tap Fund Information to learn more about the fund

8. Select payment method

9. Specify value amount

10. Select Investment Plan

• Weekly | Monthly | Yearly

11. Set beginning and ending of period

- Auto Investment Plan may be configured to debit your bank account
- Auto Investment Plan configured to be paid through your KTC credit card is only available for RMF/SSF funds

12. Accept terms and conditions and confirm set

13. Review set up details. Then tap confirm.

Confirm auto-investment plan

Date of order: 19 Jan, 2022
 Unitholder number: 58390XXXXX

Fund code: KTPLUS
 Fund name: Krung Thai Thanap Plus Fund
 Transaction type: Purchase fund automatically
 Investment plan: Monthly - Every 5
 Auto Investment Period: 20 Jan, 2022 - 30 Jun, 2022
 Value: 1,000 Baht
 Payment method: Direct debit
 XXX****XXX
 KRUNG THAI BANK PUBLIC COMPANY LTD.

Memo
 Memo

Note
 If transaction as selected cannot be processed due to a public holiday, the company will process the transaction on the following day.

Confirm

14. Confirm by entering your 6-digit Pin Code

15. The Set Auto Investment Plan has been successfully created. You will receive a confirmation e-mail.

KTAM
 Please enter your Pin code

1 2 3
 4 5 6
 7 8 9
 0 delete

Auto-investment plan successfully created

Transaction number: 355xxx
 Unitholder number: 58390XXXXX
 Unitholder name: XXXX.XXXX
 Transaction date: 19 Jan, 2022
 Auto Investment Period: 20 Jan, 2022 - 30 Jun, 2022
 Fund code: KTPLUS
 Fund name: Krung Thai Thanap Plus Fund
 Transaction type: Purchase fund automatically
 Investment plan: Monthly - Every 5
 Value: 1,000 Baht
 Payment method: Direct debit
 XXX****XXX
 KRUNG THAI BANK PUBLIC COMPANY LTD.

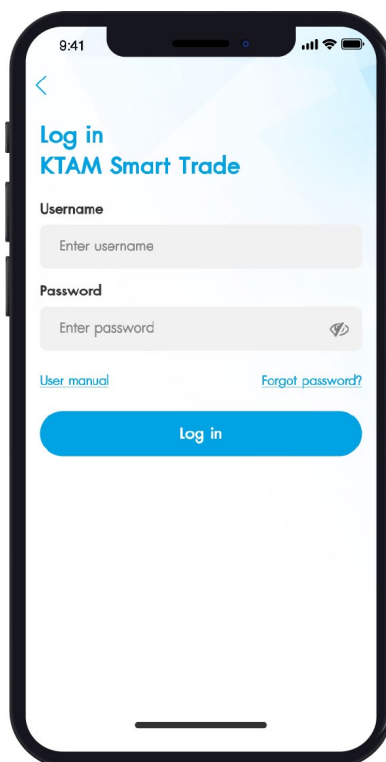
Save picture
 Back to main page

8.1.2. Set up your fund redemption plan

1. Tap Login / Register



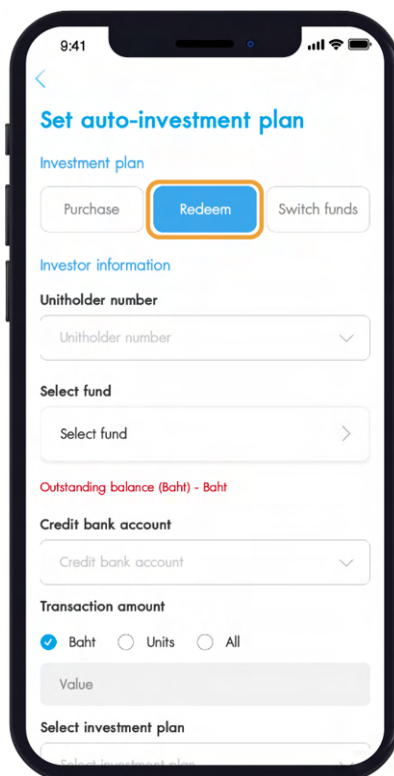
2. Enter your Username and Password to login



3. Tap menu Transaction and Set Auto Investment Plan



4. Tap Redeem



Set auto-investment plan

Investment plan

Purchase

Redeem

Switch funds

Investor information

Unitholder number

Unitholder number

Select fund

Select fund

Outstanding balance (Baht) - Baht

Credit bank account

Credit bank account

Transaction amount

☒ Baht ☐ Units ☐ All

Value

Select investment plan

Select investment plan

Start auto-investment plan

Apr 26, 2022

End auto-investment plan

Apr 27, 2022

Note

If transaction as selected cannot be processed due to a public holiday, the company will process the transaction on the following day.

☐ Accept [these terms and agreement](#)

Transaction

5. Specify Unitholder

6. Specify Fund Name

7. Select bank account for settlement

8. Specify value amount or number of units to redeem

9. Select Investment Plan

Weekly | Monthly | Yearly

10. Set beginning and ending of period

11. Accept terms and conditions and confirm

12. Review set up details. Then tap confirm.

9:41

<

Confirm auto-investment plan

Transaction date Jun 10, 2022

Unitholder number 0086XXXXXX

Fund code KTPUS

Fund name Krung Thai Thanap Plus Fund

Transaction type Redeem fund automatically

Investment plan Monthly - Every 1

Auto Investment Period Jun 13, 2022 - Jul 1, 2022

Value 10 Baht

Payment method Credit bank account 086***775

KRUNG THAI BANK PUBLIC COMPANY LTD.

Memo

Memo

Note

If transaction as selected cannot be processed due to a public holiday, the company will process the transaction on the following day.

Confirm

13. Confirm by entering your 6-digit Pin Code

14. The Set Auto Investment Plan has been successfully created. You will receive a confirmation e-mail.

9:41

<

KTAM

Please enter your Pin code

1 2 3

4 5 6

7 8 9

0 delete

9:41

<

Auto-investment plan successfully created

Transaction number 36779

Unitholder number 0086XXXXXX

Unitholder name xxxxxx

Transaction date Jun 10, 2022

Auto Investment Period Jun 13, 2022 - Jul 1, 2022

Fund code KTPUS

Fund name Krung Thai Thanap Plus Fund

Transaction type Redeem fund automatically

Investment plan Monthly - Every 1

Value 10 Baht

Payment method Credit bank account 086***775

KRUNG THAI BANK PUBLIC COMPANY LTD.

Save picture

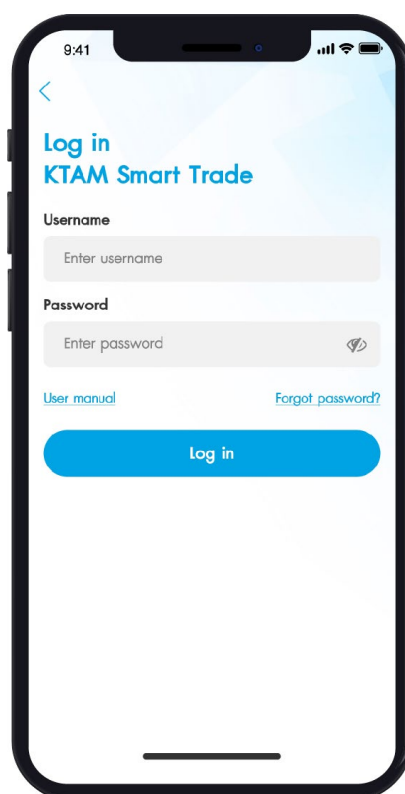
Back to main page

8.1.3. Set up your fund switching plan

1. Tap Login / Register



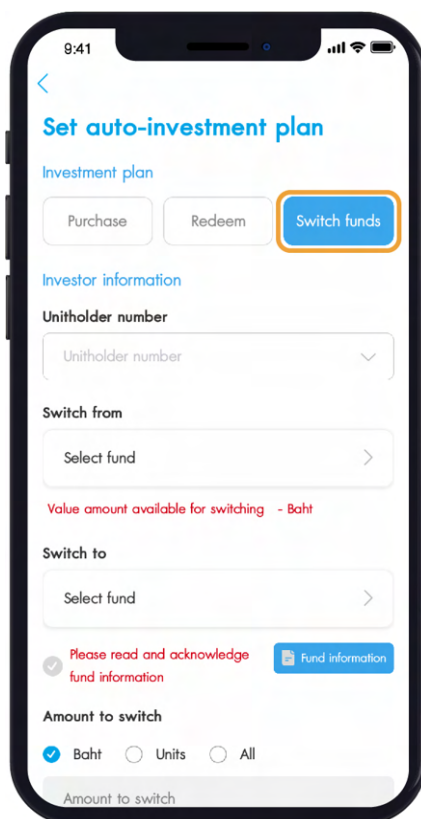
2. Enter your Username and Password to login



3. Tap menu Transaction and Set Auto Investment Plan



4. Tap Switch Funds



<

Set auto-investment plan

Investment plan

PurchaseRedeemSwitch funds

Investor information

Unitholder number

Unitholder number

5. Specify Unitholder

Switch from

Select fund

6. Specify Fund to Switch-Out

Value amount available for switching - Baht

Switch to

Select fund

7. Specify Fund to Switch-In

Please read and acknowledge fund information

Fund information

8. Tap Fund Information to learn more about the fund

Amount to switch

☒ Baht☐ Units☐ All

Amount to switch

9. Specify value amount or number of units to switch

Select investment plan

Select investment plan

10. Select Investment Plan

Start auto-investment plan

Apr 26, 2022

End auto-investment plan

Apr 27, 2022

11. Set beginning and ending of period

Note

If transaction as selected cannot be processed due to a public holiday, the company will process the transaction on the following day.

☐ Accept [these terms and agreement](#)

12. Accept terms and conditions and confirm

Transaction

13. Review set up details. Then tap confirm.

9:41

<

Confirm auto-investment plan

Transaction date Jun 10, 2022

Unitholder number 0086XXXXXXX

Switch from

Fund code KTRPLUS

Fund name Krung Thai Thanapup Plus Fund

Transaction type Switch funds automatically

Investment plan Monthly - Every 1

Auto Investment Period Jun 13, 2022 - Jul 1, 2022

Value 10 Baht

Switch to

Fund code KTSTPLUS-A

Fund name Krung Thai Short Term Fixed Income Plus Fund - Class A

Memo

Memo

Note

If transaction as selected cannot be processed due to a public holiday, the company will process the transaction on the following day.

Confirm

14. Confirm by entering your 6-digit Pin Code

15. The Set Auto Investment Plan has been successfully created. You will receive a confirmation e-mail.

9:41

<

KTAM

Please enter your Pin code

○ ○ ○ ○ ○ ○

1 2 3

4 5 6

7 8 9

0 delete

9:41

✓

Auto-investment plan successfully created

Transaction number 36780

Unitholder number 0086XXXXXXX

Unitholder name xxxxxx

Switch from

Transaction date Jun 10, 2022

Auto Investment Period Jun 13, 2022 - Jul 1, 2022

Fund code KTRPLUS

Fund name Krung Thai Thanapup Plus Fund

Transaction type Switch funds automatically

Investment plan Monthly - Every 1

Value 10 Baht

Switch to

Fund code KTSTPLUS-A

Fund name Krung Thai Short Term Fixed Income Plus Fund - Class A

Save picture

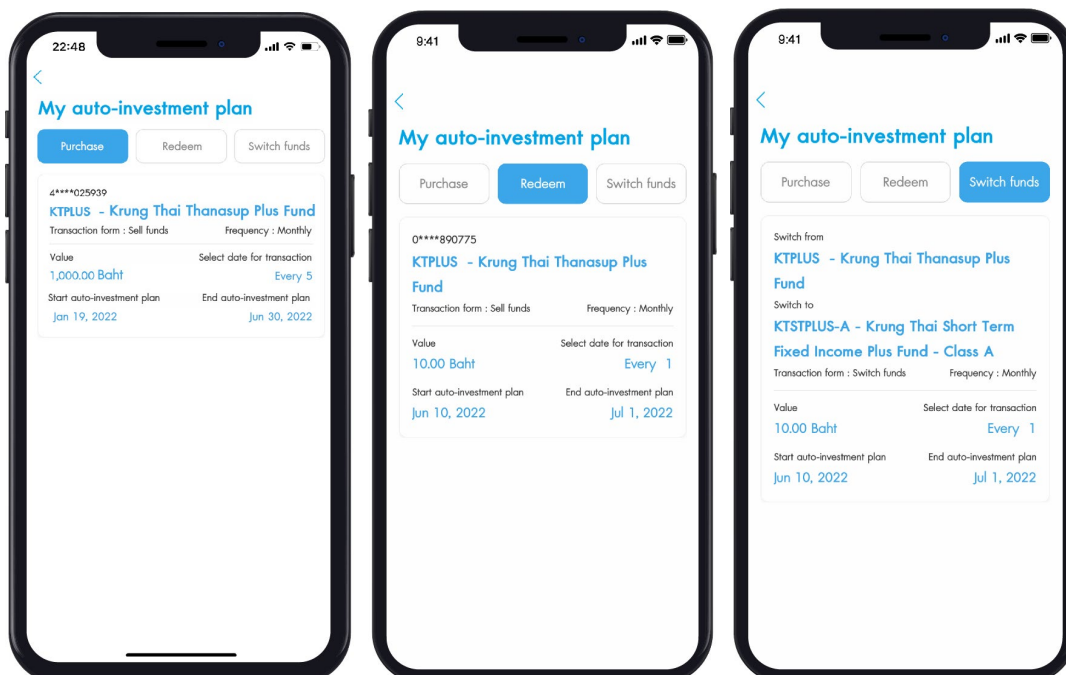
Back to main page

8.2. My Auto Investment Plans

1. Tap menu Transaction and Auto Investment Plan



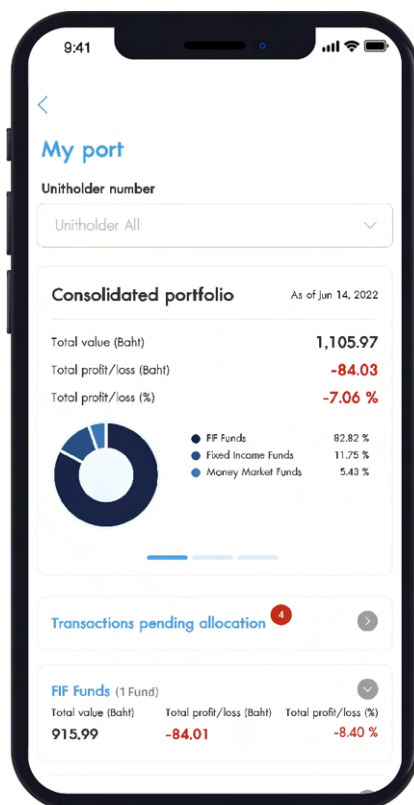
2. To display the Auto Investment Plans you have already set up, just tap the transaction type.



9. My Account

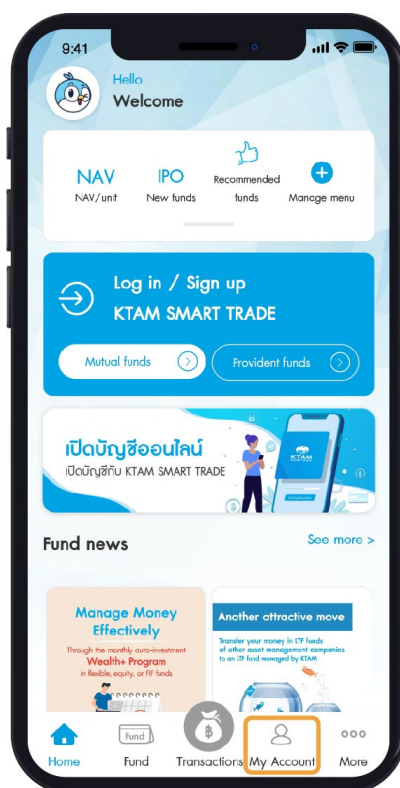
9.1. My port

1. You may view your overall investment portfolio, details, outstanding balance, or average return at any time.
 2. You can display your investment portfolio as a whole, or separated by unitholder number.
- See a summary of balances, gain/loss, and average returns at any time.

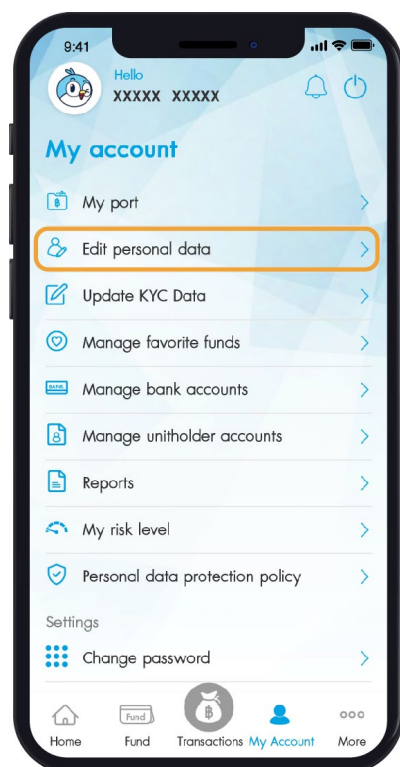


9.2. Edit personal data

1. Tap My Account



2. Tap Edit Personal Data



9:41

<

Edit personal data

Personal data Edit

Email address
XXXXXXX@gmail.com

Phone number
XXXXXXXXXX

General data Edit

Nationality
Thai

Status
Single

3. Select which of the 2 groups of data you wish to amend.

3.1. Personal data : e-mail, telephone

3.2. General data : nationality, marital status

9:41

<

Edit personal data

Personal data Edit

Email address
XXXXXXX@gmail.com

Phone number
XXXXXXXXXX

General data Edit

Nationality
Thai

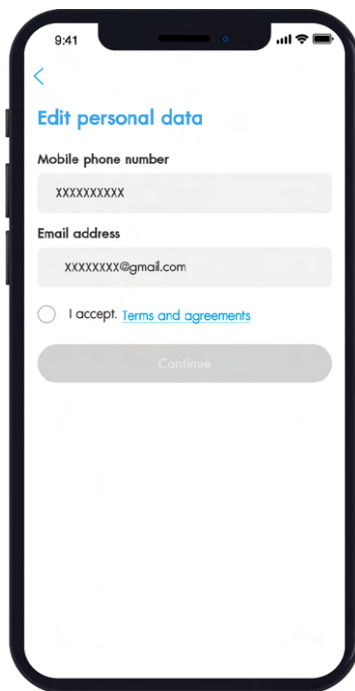
Status
Single

4. To edit personal data : e-mail, telephone

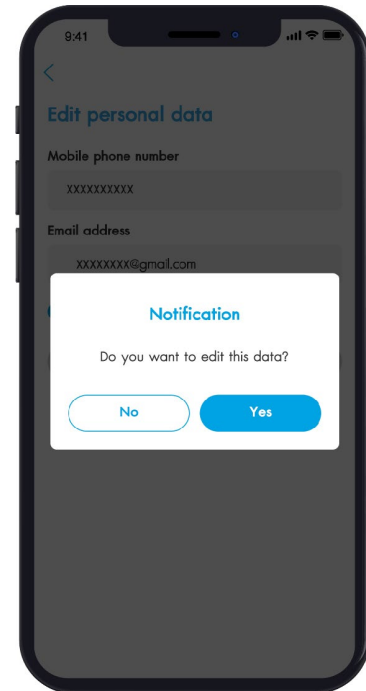
4.1. Tap Edit on the right-hand side of this section

4.2. Fill in new telephone number or e-mail

4.3. Accept terms and conditions. Tap Continue.



4.4. Pop-up notification will appear to ask for confirmation to amend data.



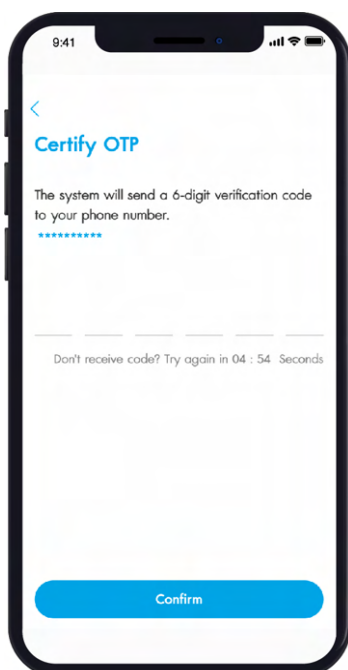
4.5. Then proceed to scan your ID card.



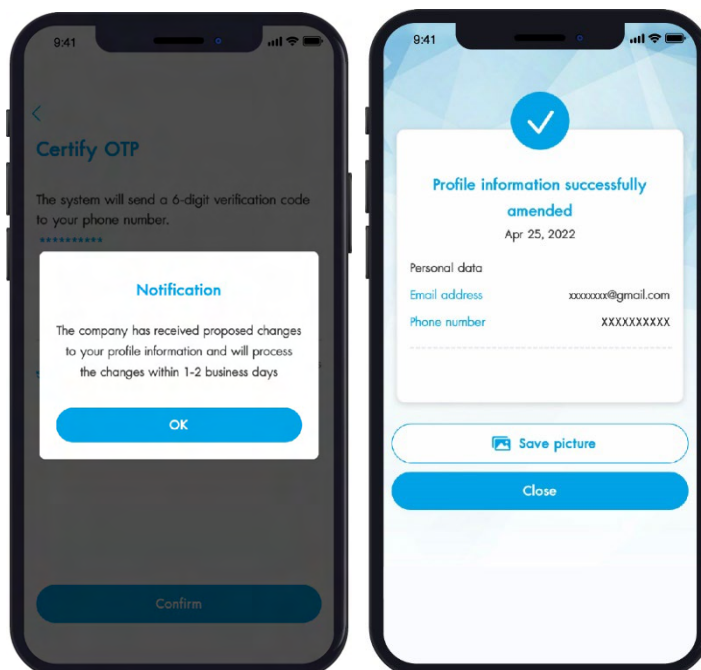
4.6. Take a photo of your ID card and your face.



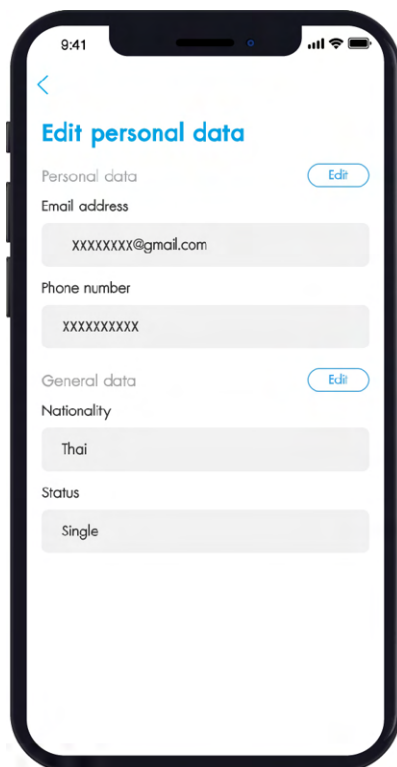
4.7. Verify using the 6-digit OTP code sent to your mobile phone



4.8. The company has received proposed changes to your profile information and will process the changes within 1-2 business days.



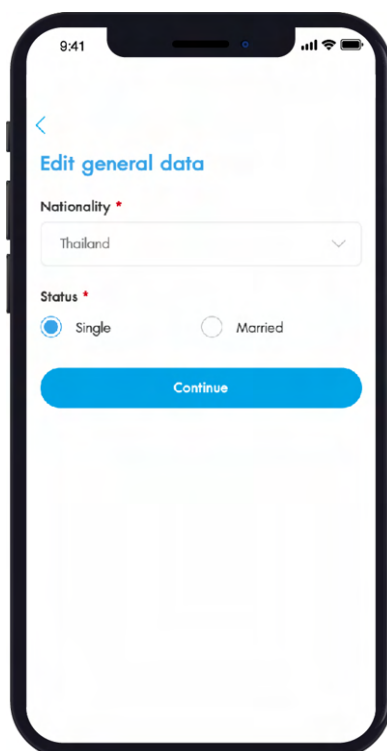
5. To edit general information: nationality, marital status



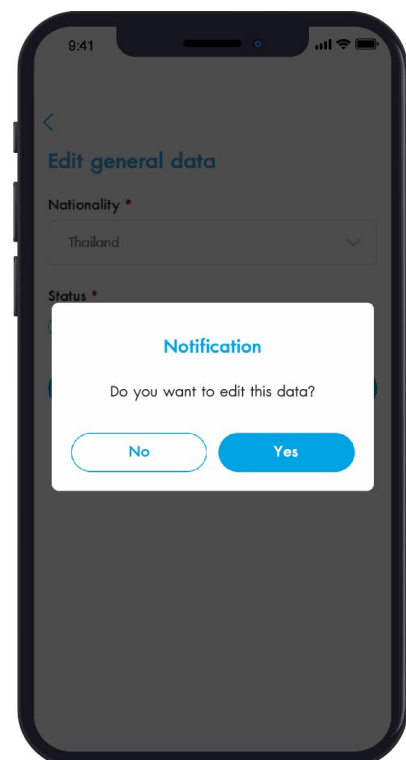
5.1. Tap Edit on the right-hand side of this section

5.2. Fill in nationality or marital status

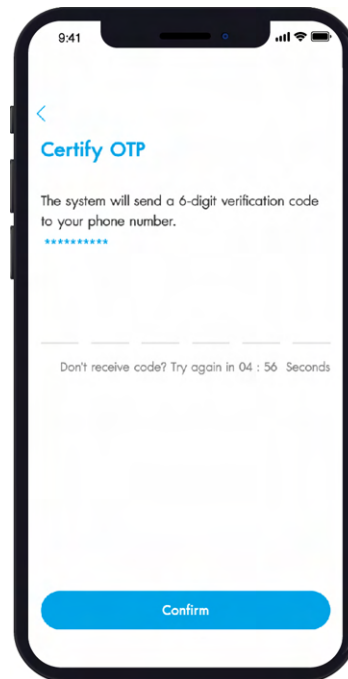
5.3. Tap Continue



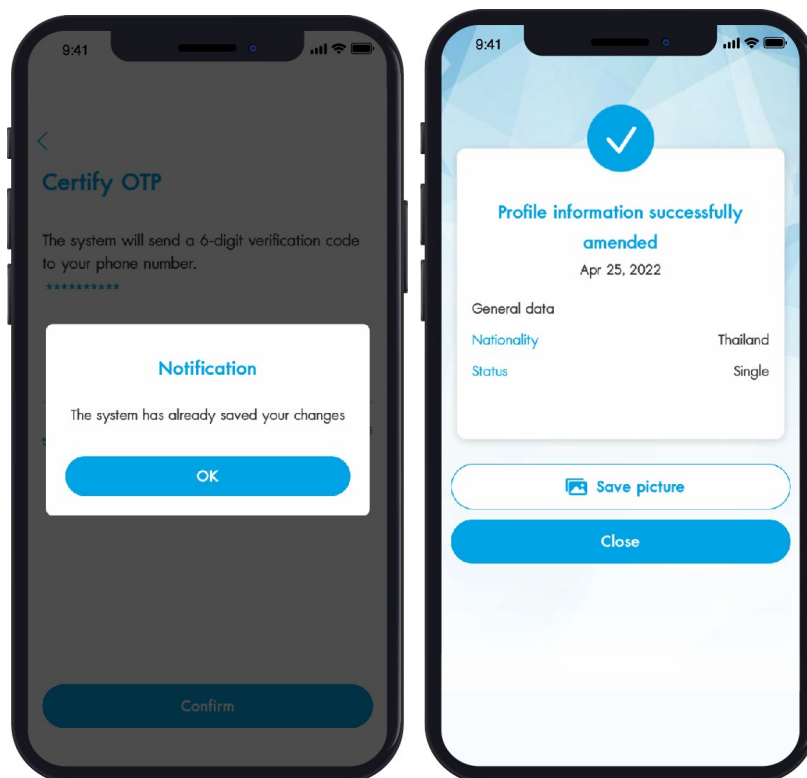
5.4. Pop-up notification will appear to ask for confirmation to amend data.



5.5. Verify using the 6-digit OTP code sent to your mobile phone

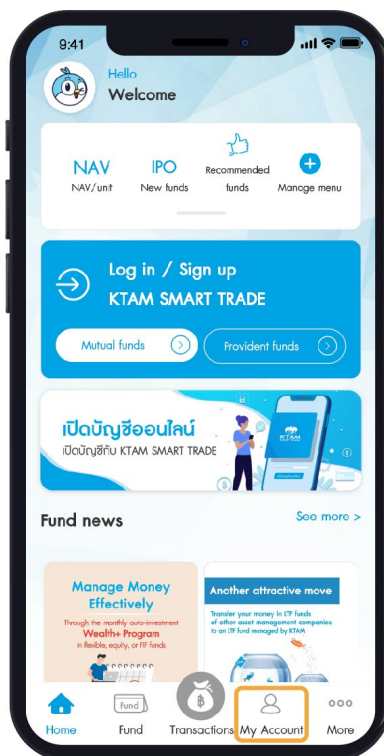


5.6. Data successfully updated.

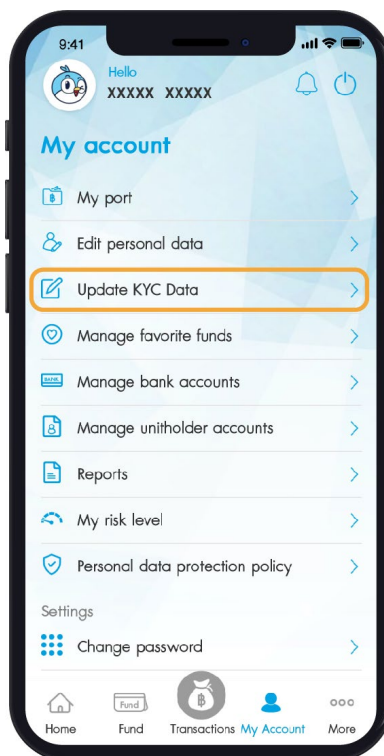


9.3. Update KYC Data

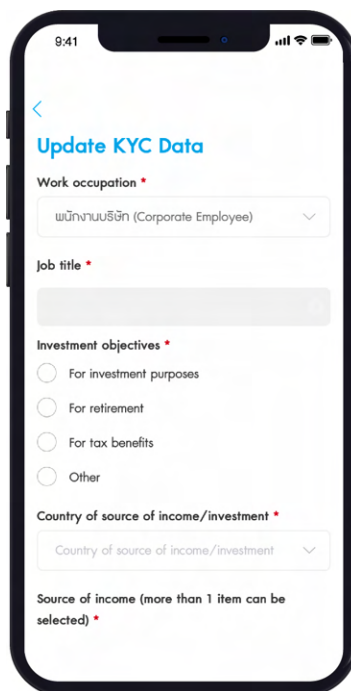
1. Tap My Account



2. Tap menu Update KYC Data

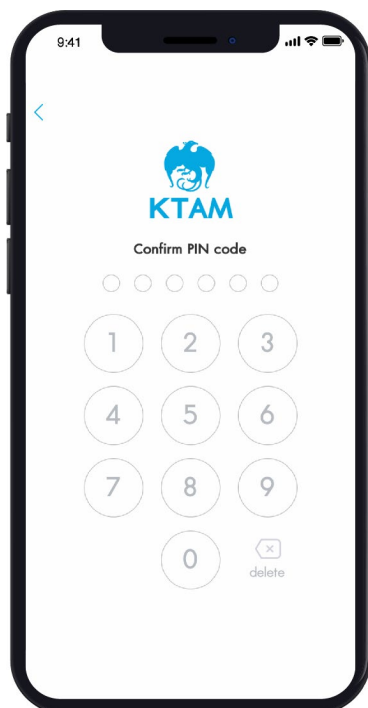


3. Make changes to the KYC data



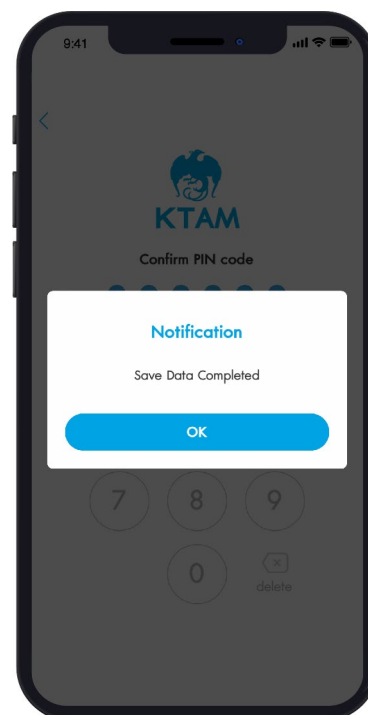
The screenshot shows the 'Update KYC Data' screen. At the top, there's a back arrow and the title 'Update KYC Data'. Below it, the 'Work occupation' field is a dropdown menu with 'พนักงานบริษัท (Corporate Employee)' selected. The 'Job title' field is a text input. The 'Investment objectives' section has four radio button options: 'For investment purposes', 'For retirement', 'For tax benefits', and 'Other'. The 'Country of source of income/investment' field is a dropdown menu. At the bottom, there's a note: 'Source of income (more than 1 item can be selected)'.

4. Verify using the 6-digit OTP code sent to your mobile phone



The screenshot shows the 'Confirm PIN code' screen. At the top, there's a back arrow and the KTAM logo. Below the logo, the text 'Confirm PIN code' is displayed. There are six empty circles for the PIN code. Below the circles is a numeric keypad with digits 1 through 9, 0, and a 'delete' button (represented by an 'x' in a circle).

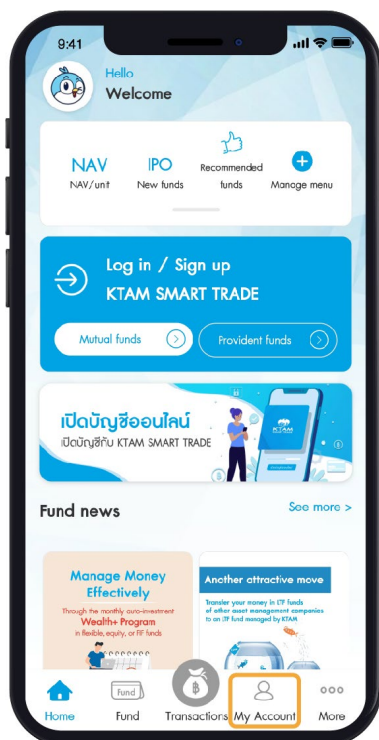
5. Data successfully updated.



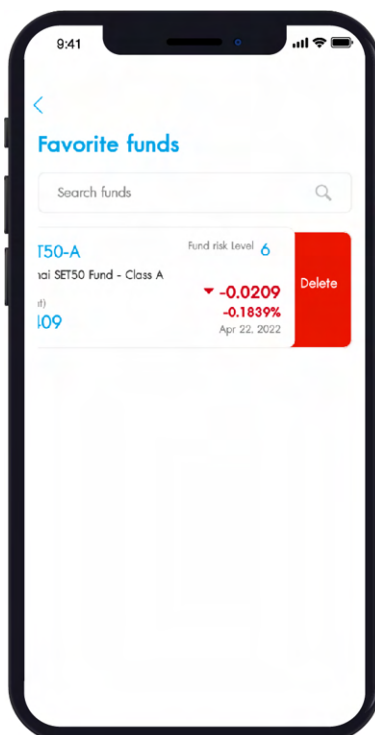
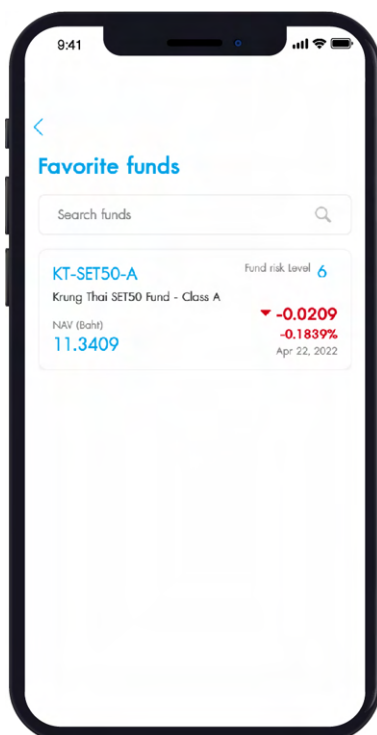
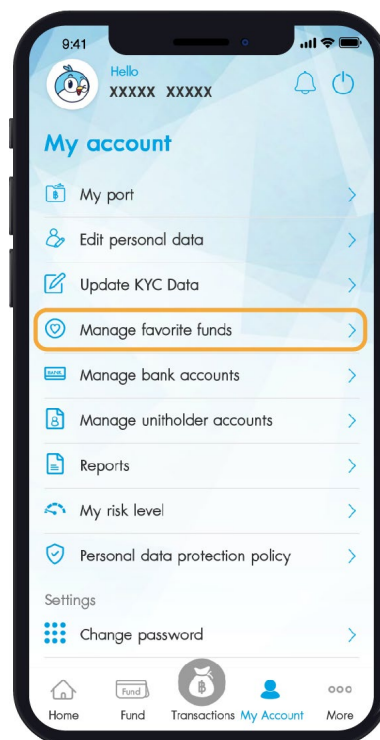
The screenshot shows a 'Notification' dialog box. At the top, there's a back arrow and the KTAM logo. Below the logo, the text 'Confirm PIN code' is displayed. The dialog box has a title 'Notification' and a message 'Save Data Completed'. Below the message is a blue 'OK' button. The background is dimmed, showing the same numeric keypad as in the previous screenshot.

9.4. Manage favorite funds

1. Tap My Account



2. Tap Manage Favorite Funds



3. To unselect a favorite fund

3.1. Select the fund

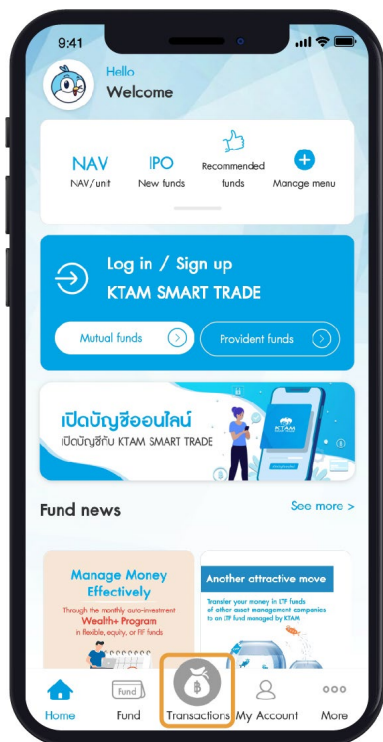
3.2. Swipe left to reveal a red tab that says

Delete

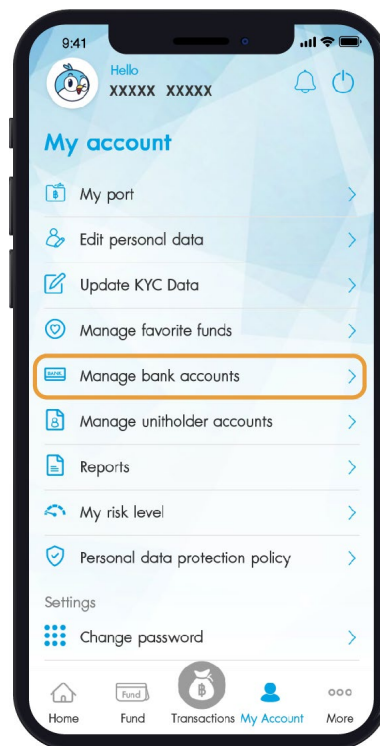
3.3. Tap that red delete tab. A notification will pop-up to ask for confirmation to unselect this fund from your favorites.

9.5. Manage bank accounts

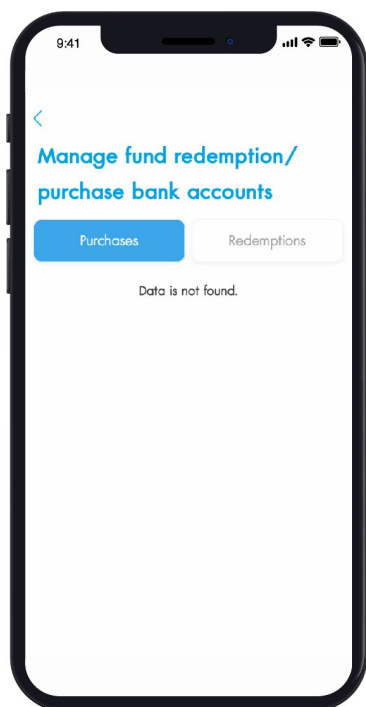
1. Tap My Account



2. Tap Manage Bank Accounts



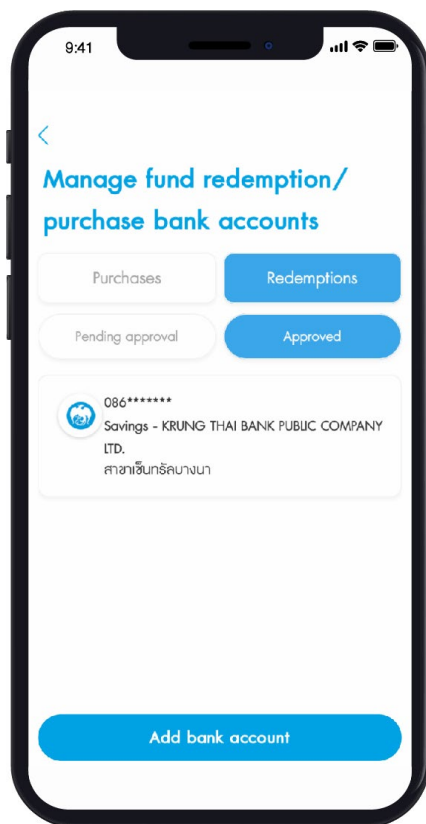
2.1. Manage bank account for purchases



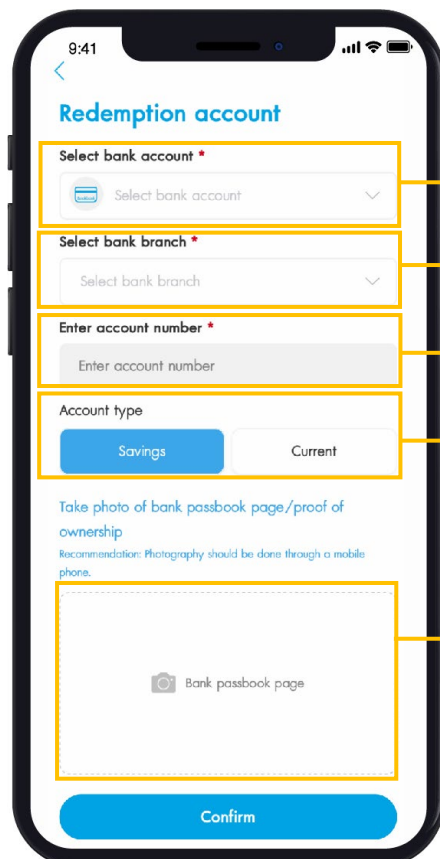
To arrange bank account for auto-debit or pay via KTC credit card (only for RMF/SSF funds), please contact KTAM at 02 686 6100

2.2. Manage bank account for purchases

2.2.1. Add bank account



1. Tap Add Bank Account



2. Select bank account to add

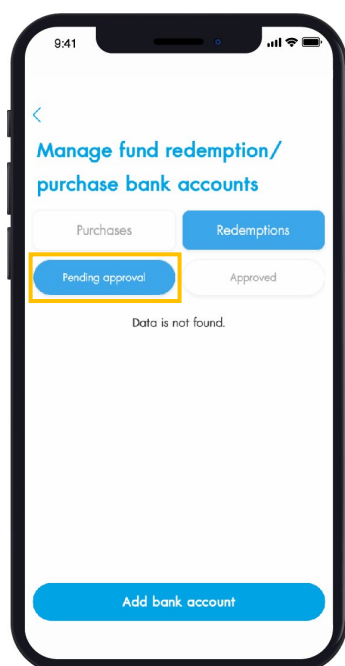
3. Select bank

4. Fill in bank account number

5. Select type of account

6. Take or upload a photo of your bank passbook. Then tap Confirm

7. After successfully entered, please allow KTAM another 1-2 days to approve the setup.

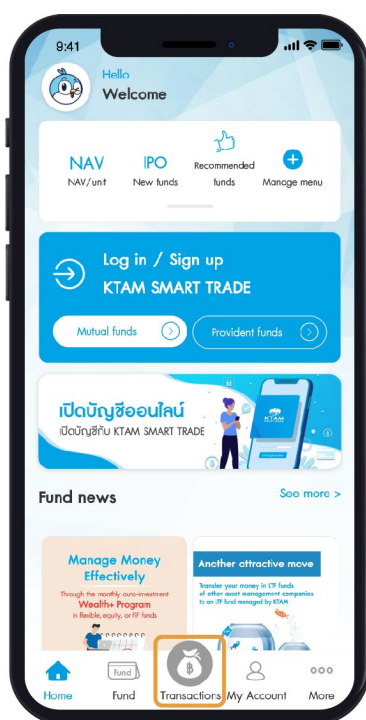


8. You may display the bank accounts pending addition by tapping Pending Approval.

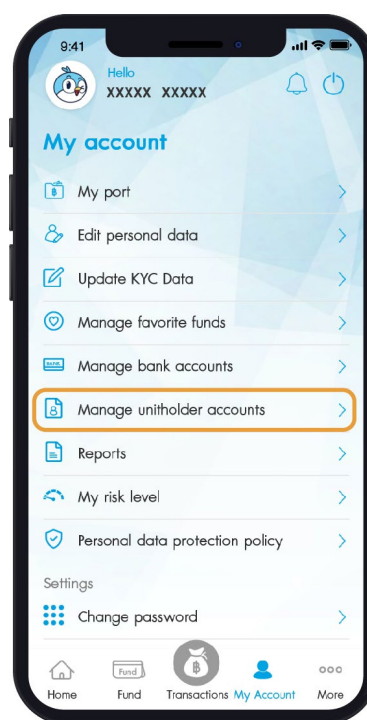
9.6. Manage unitholder accounts

If you have several unitholder accounts tied to the KTAM Smart Trade app, you may pick one as the main unitholder account. Alternatively, you may also unselect some unitholder accounts from the app.

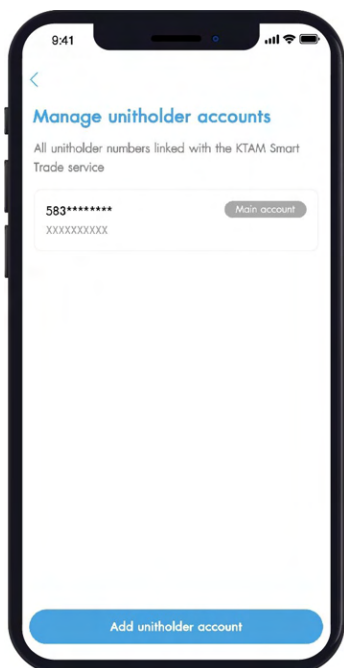
1. Tap My Account



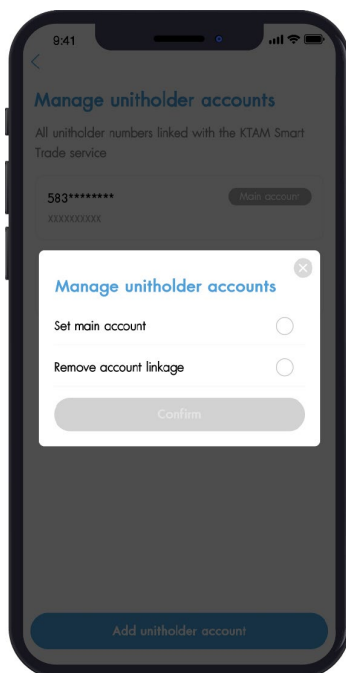
2. Tap Manage Unitholder Accounts



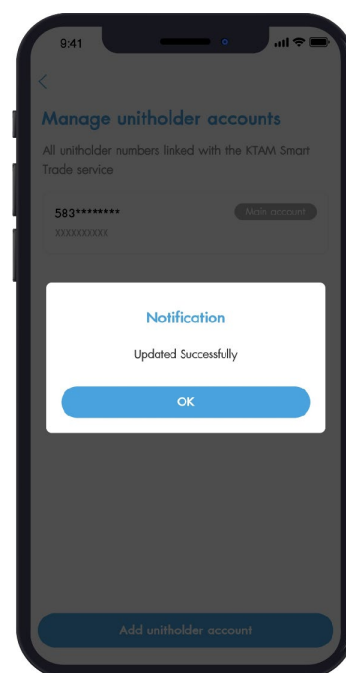
3. Select unitholder account to manage



4. Tap to tick this unitholder account as the main one, or to unselect it from your app. Then tap Confirm

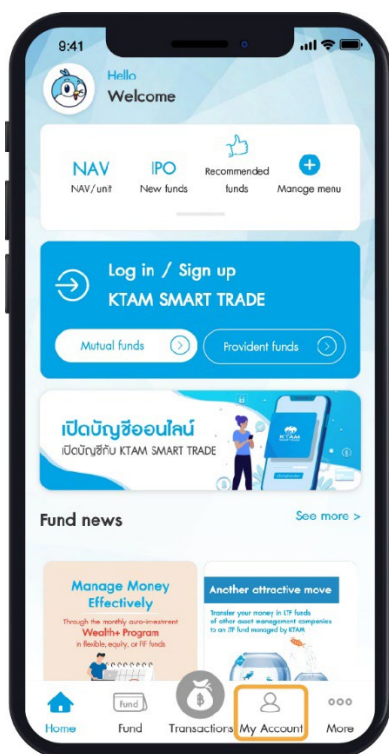


5. Changes successfully made.

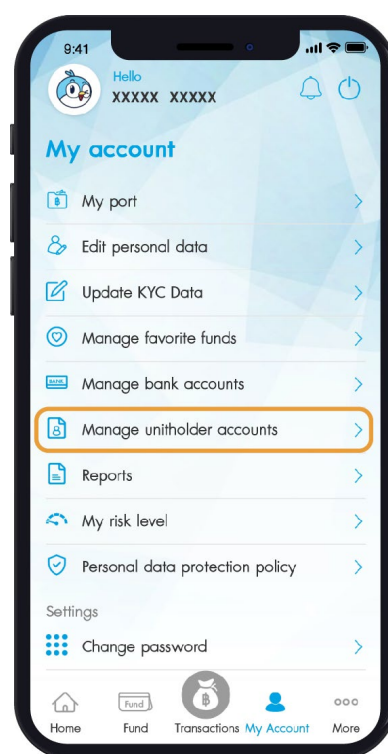


To add more than 1 unitholder account to your KTAM Smart Trade app.

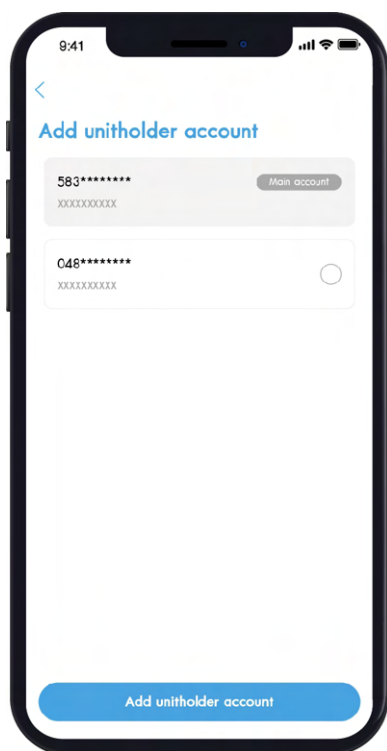
1. Tap My Account



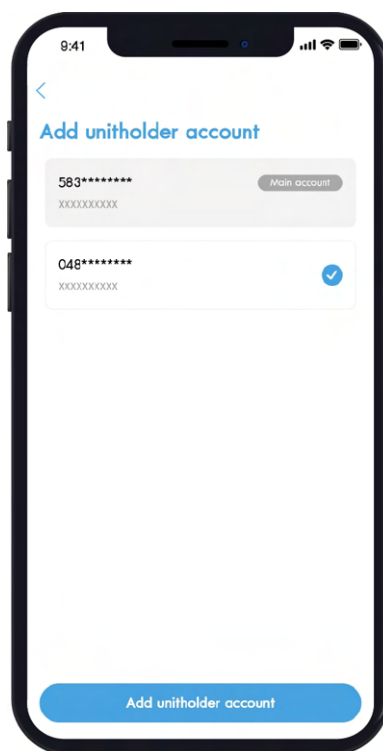
2. Tap Manage Unitholder Accounts



3. Tap Add Unitholder Account

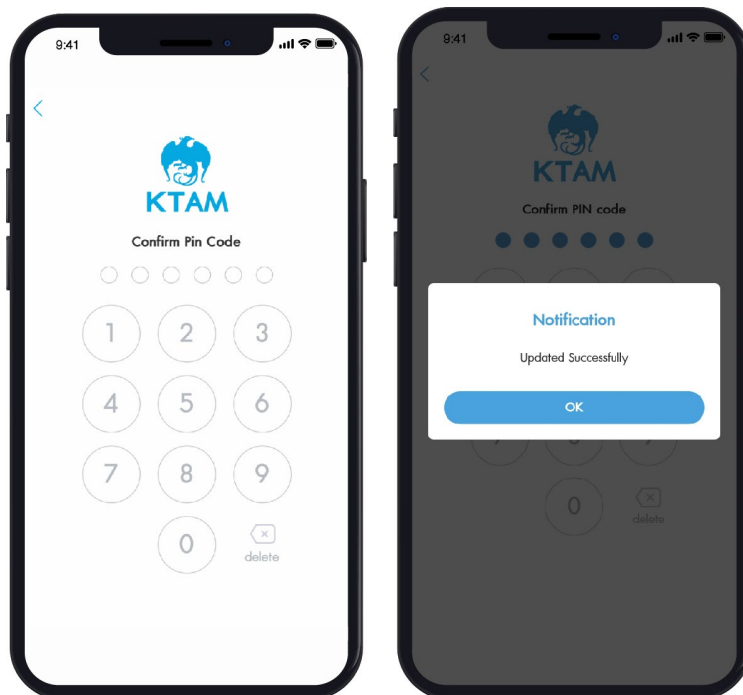


4. Tap to tick unitholder account you wish to link into your KTAM Smart Trade app



5. Verify using the 6-digit OTP code sent to your mobile phone

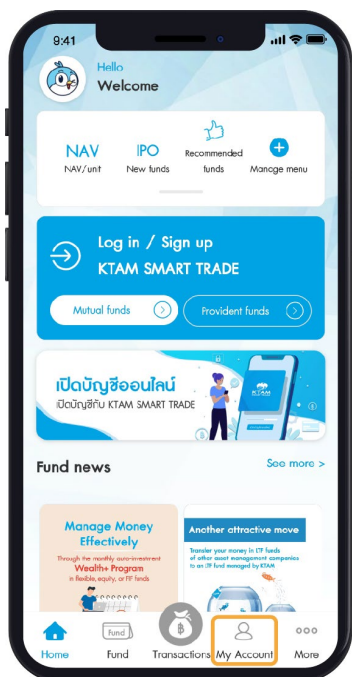
6. Changes successfully made



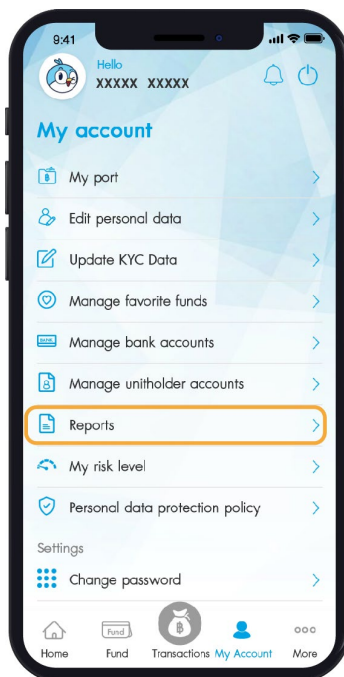
9.7. Reports

You can retrieve your account Statement here. You may also request a tax certificate or obtain a dividend income report online.

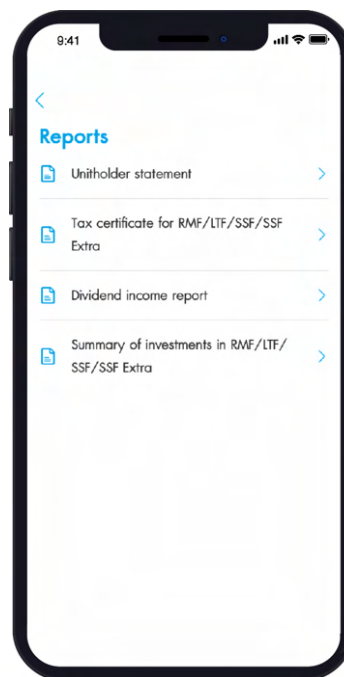
1. Tap My Account



2. Tap Reports

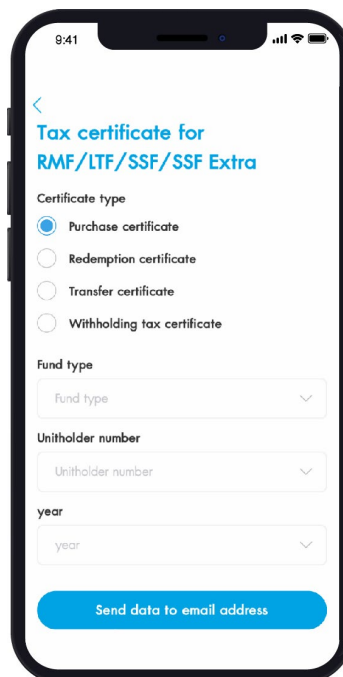
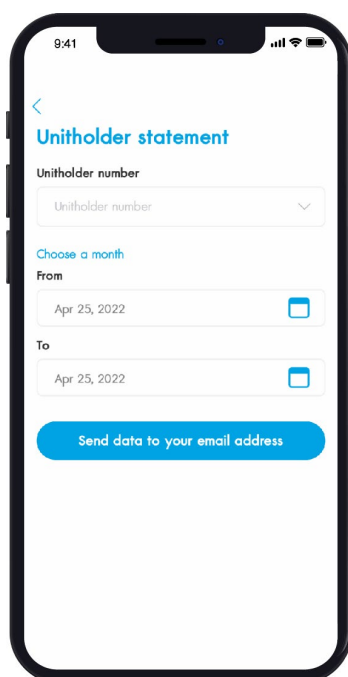


3. Select report desired

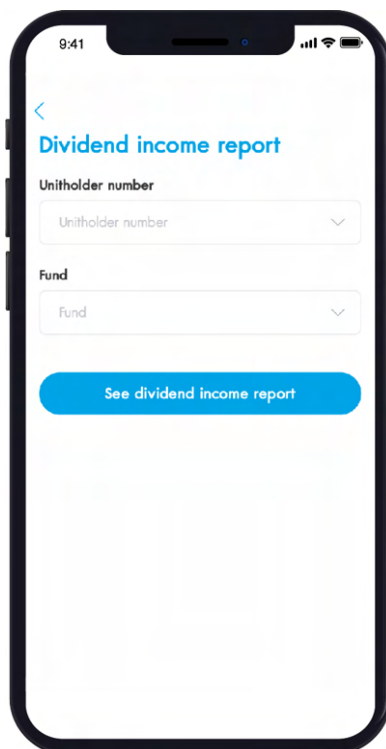


9.7.1. Unitholder statement

9.7.2. Tax certificate for RMF/LTF/SSF/SSF Extra

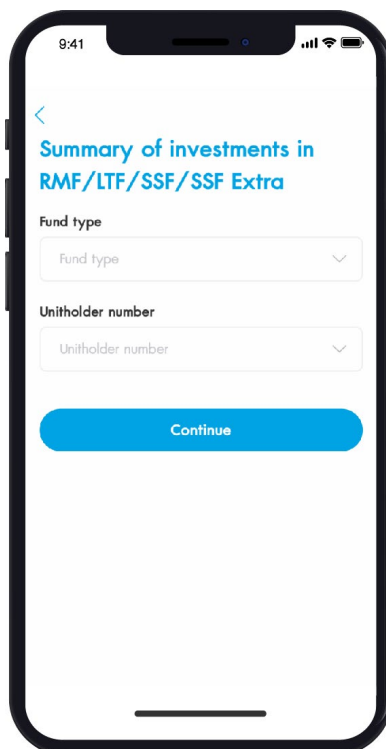


9.7.3. Dividend income report



A mobile app screen titled "Dividend income report". At the top, there is a back arrow and the title. Below the title, there are two dropdown menus: "Unitholder number" and "Fund". Both dropdowns have a placeholder text "Unitholder number" and "Fund" respectively, and a downward arrow icon. Below these dropdowns is a blue button with the text "See dividend income report".

9.7.4. Summary of investments in RMF/LTF/SSF/SSF Extra

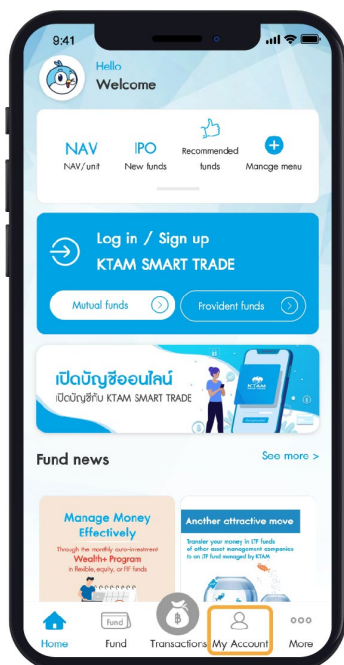


A mobile app screen titled "Summary of investments in RMF/LTF/SSF/SSF Extra". At the top, there is a back arrow and the title. Below the title, there are two dropdown menus: "Fund type" and "Unitholder number". The "Fund type" dropdown has a placeholder text "Fund type" and a downward arrow icon. The "Unitholder number" dropdown has a placeholder text "Unitholder number" and a downward arrow icon. Below these dropdowns is a blue button with the text "Continue".

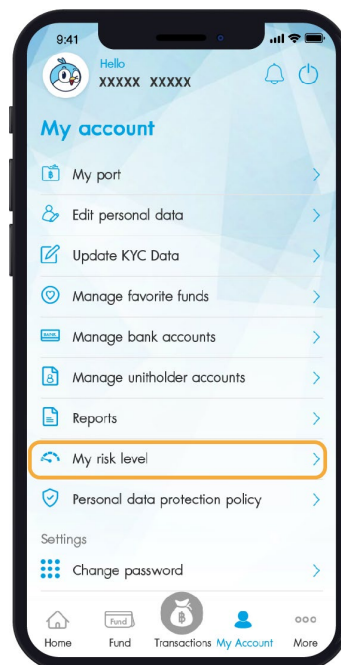
9.8. My risk level

You can take a Suitability Test or review this risk assessment to determine your investment risk tolerance level and keep the profile updated.

1. Tap My Account



2. Tap My Risk Level

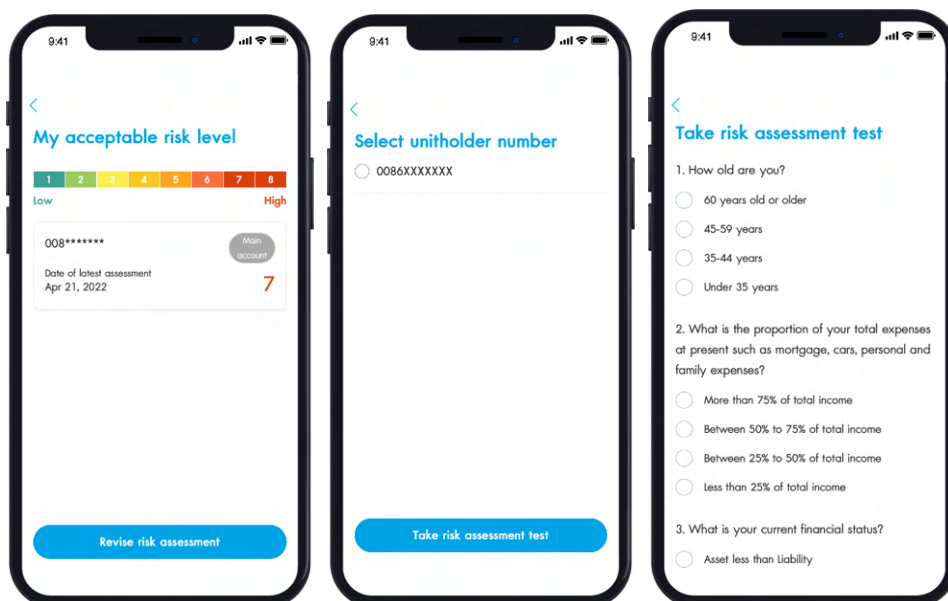


3. Tap Review Risk Assessment

4. Select unitholder number where the risk assessment needs to be reviewed. Then tap Take Risk Assessment Test.

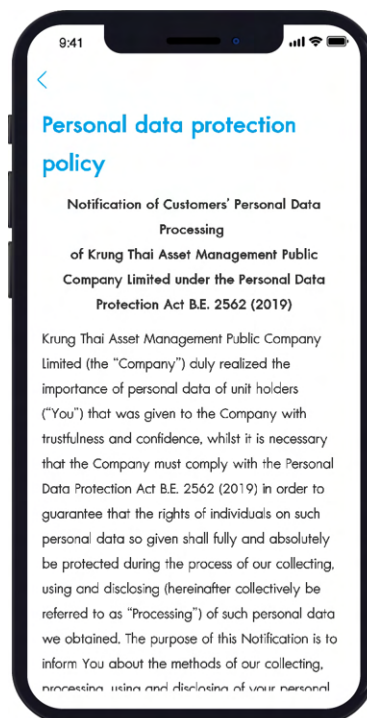
5. Complete the test. Then tap Confirm.

6. The update is complete.



9.9. Personal data protection policy

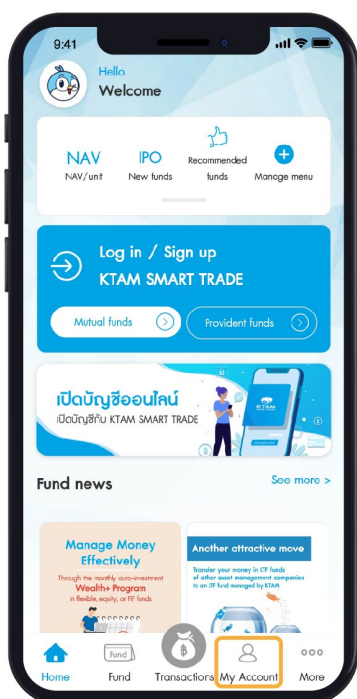
Display the personal data protection policy



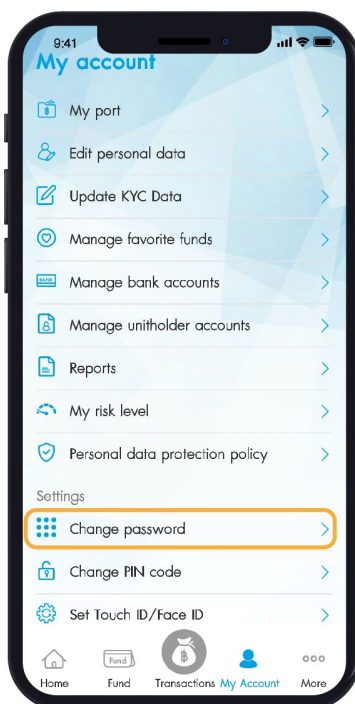
9.10. Settings

9.10.1 Change password

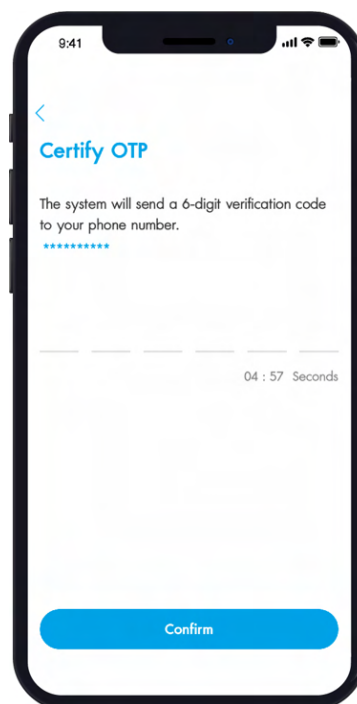
1. Tap menu My Account

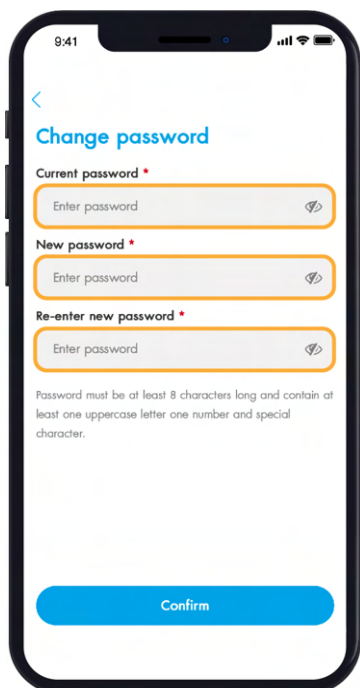


2. Select Menu Change Password



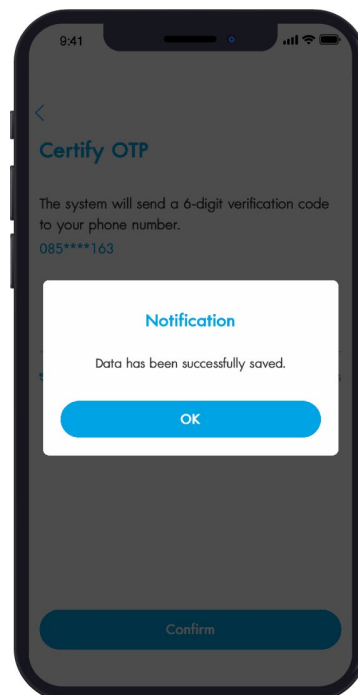
6. Enter the 6-digit OTP received by SMS





3. Enter current password
4. Enter new password
5. Confirm new password again

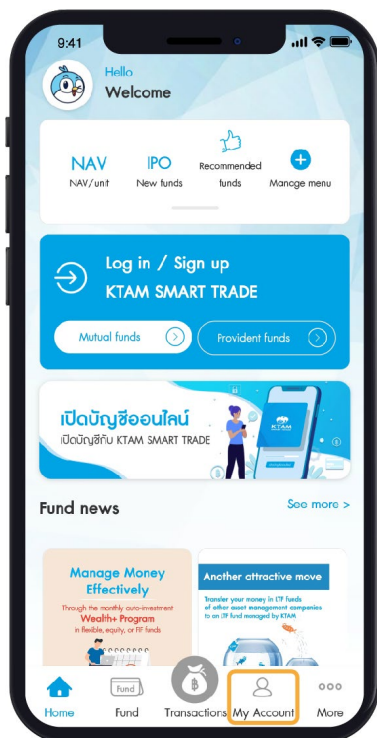
Note : Password must be at least 8 characters long and contain at least one uppercase letter and one number



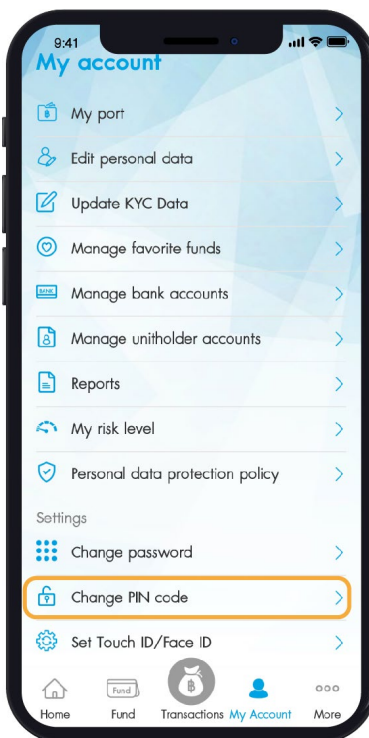
7. Successful record system

9.10.2. Change Pin Code

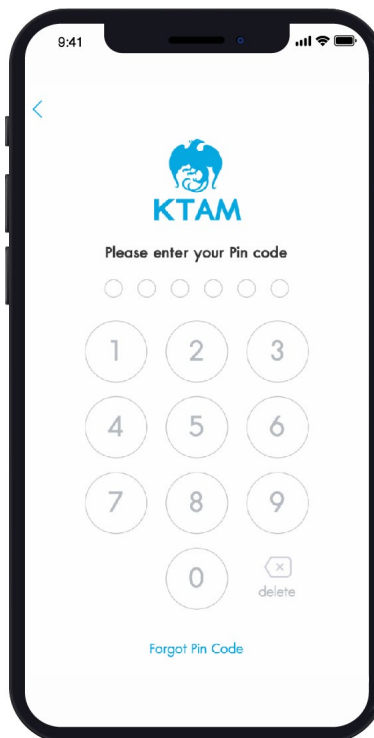
1. Tap menu My Account



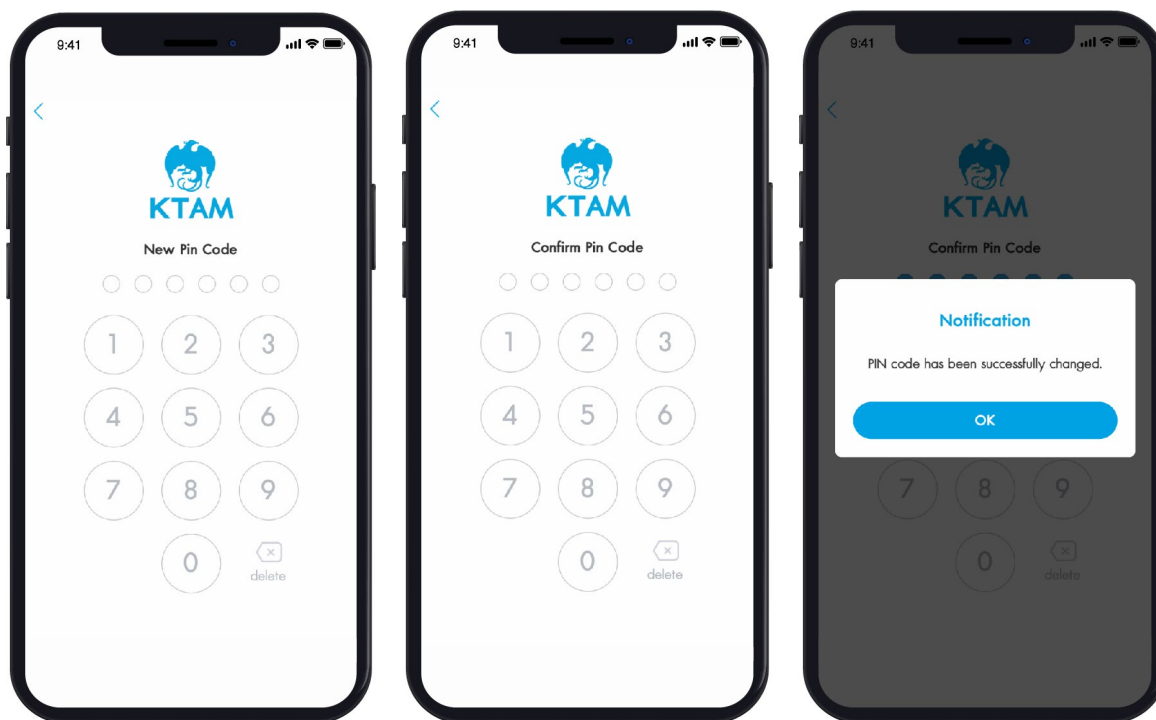
2. Select Menu Change Pin Code



3. Enter the current Pin Code



4. Enter the current New Pin Code 5. Enter the current New Pin Code Again 6. Successful record system

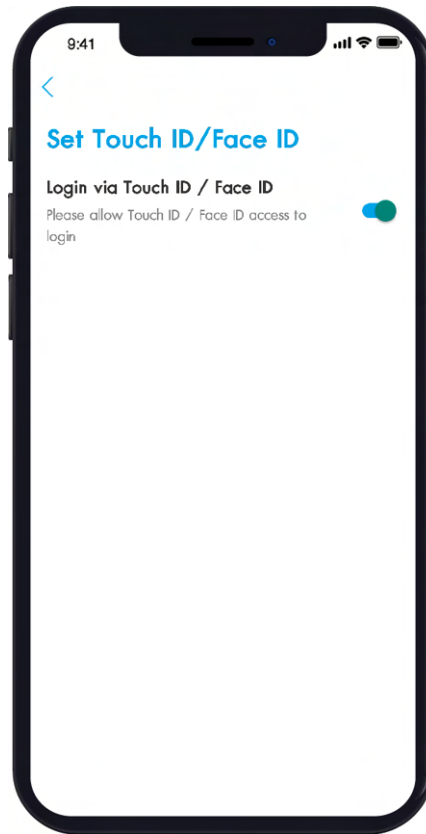


Note : For security purposes, when selecting a Pin Code, please avoid

- Codes which can be easily guessed, such as your birthdate
- Consecutive numbers to form the 6-digit
- Patterns of duplicate numbers, such as 111222

9.10.3. Set Touch ID/Face ID

Set to allow the Touch ID / Face ID feature to be used with the KTAM Smart Trade app, using the on/off button on the right.

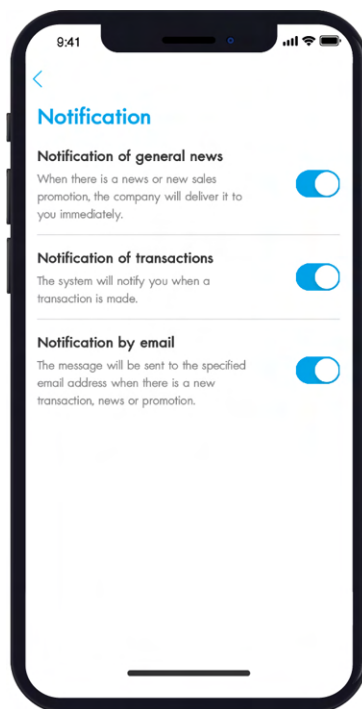


10. More

10.1. Settings

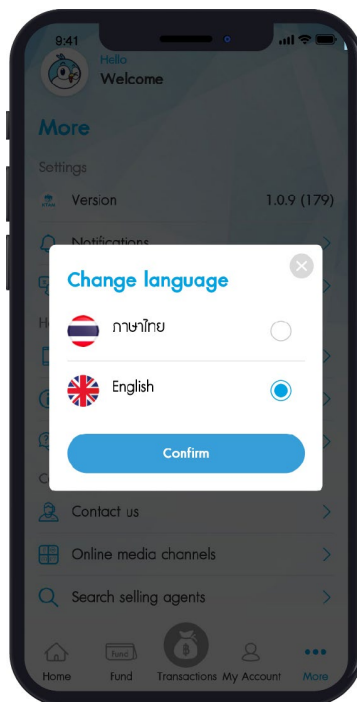
10.1.1. Notification

You may set to allow / disallow notifications of general news about funds, by using the on/off button on the right.



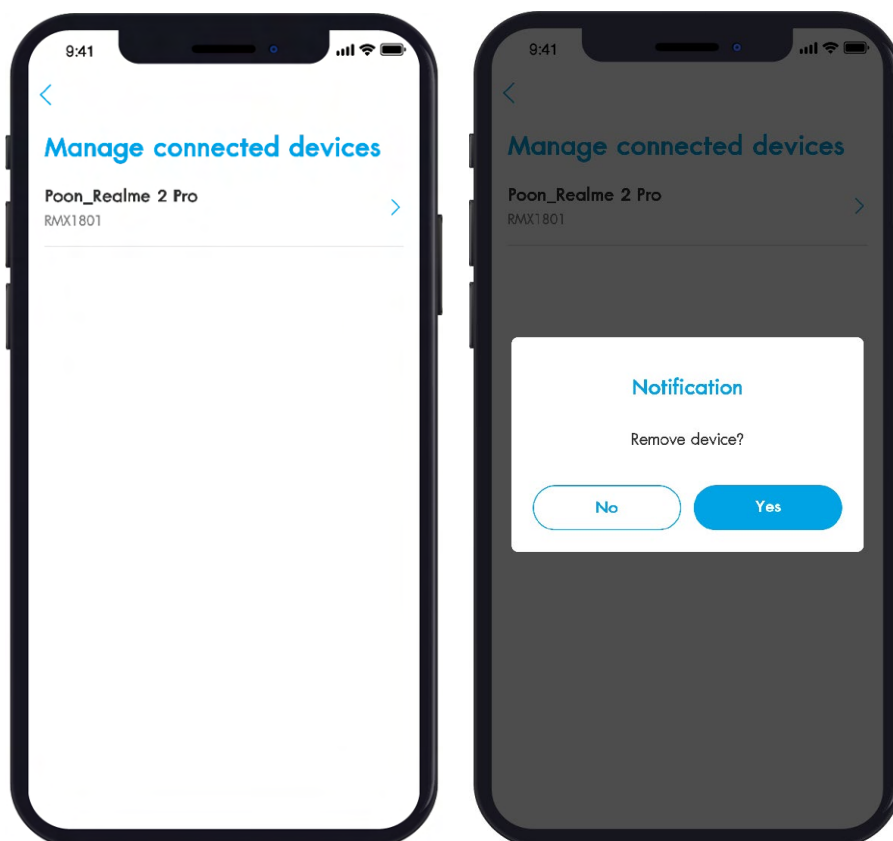
10.1.2. Change language

You may select from 2 languages : Thai or English



10.1.3 Manage connected devices

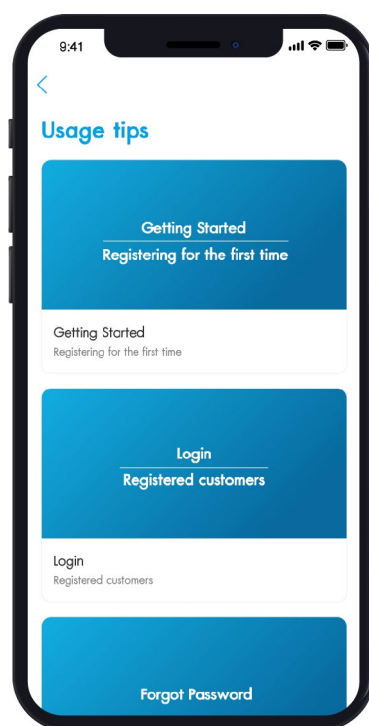
You can also manage the devices being used with the KTAM Smart Trade by adding or removing devices



10.2 Help

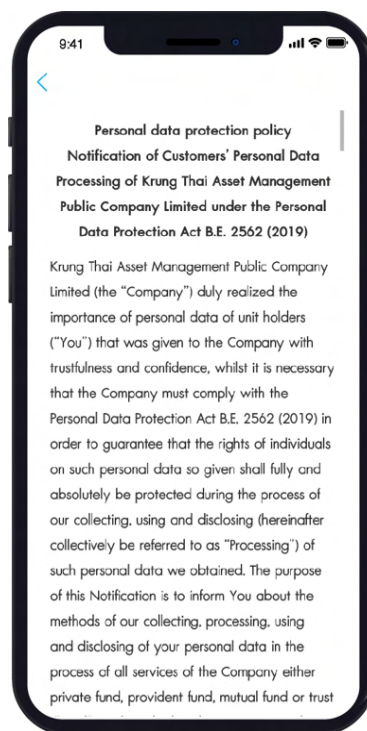
10.2.1. Usage tips

This menu provides useful tips to help you use the app.



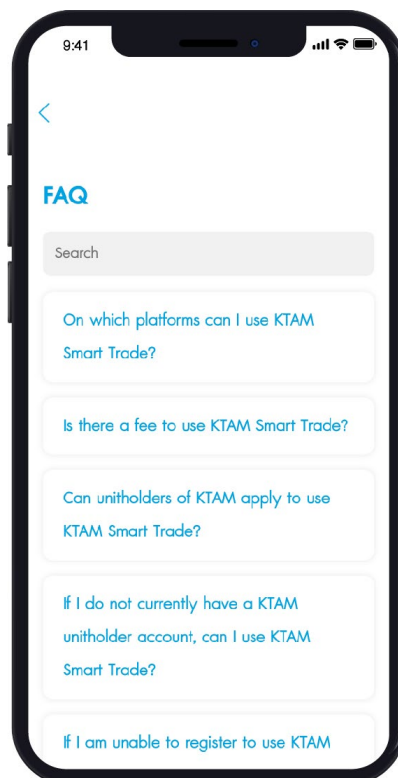
10.2.2. Terms of usage

See terms and conditions for using the KTAM Smart Trade app.



10.2.3. FAQ

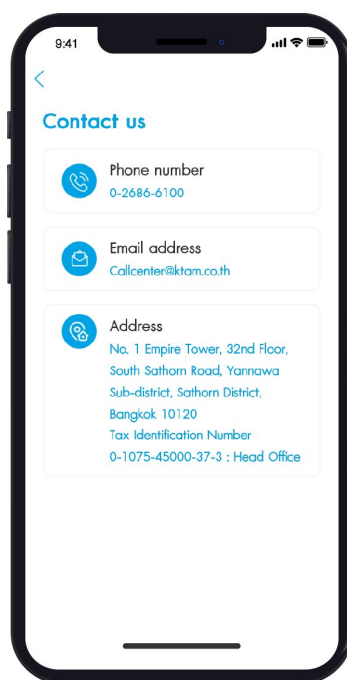
See frequently asked questions on how to use the KTAM Smart Trade app.



10.3. Contact channels

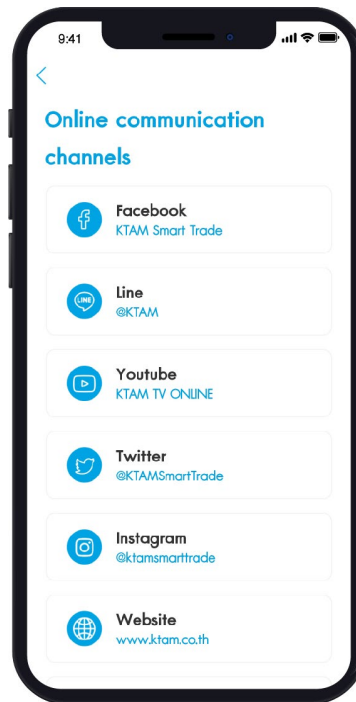
10.3.1. Contact us

This lists many ways you can contact KTAM.



10.3.2. Online media channels

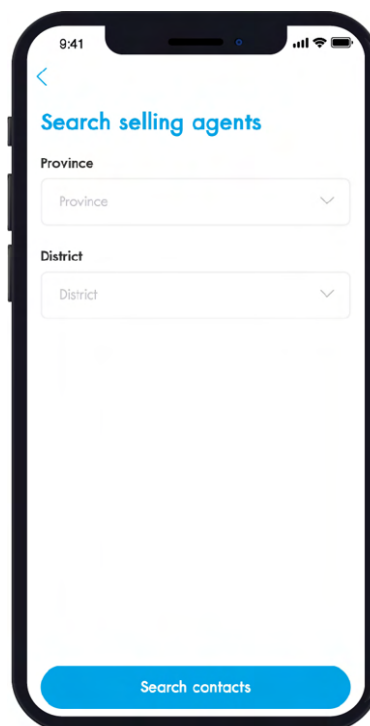
A list of all the various social media channels where you can contact or learn about KTAM.



10.3.3. Search selling agents

Obtain a list of selling agents approved by KTAM.

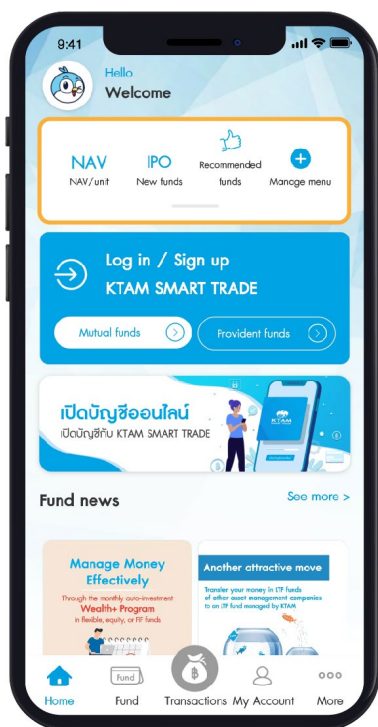
1. Select province and district
2. Tap Search



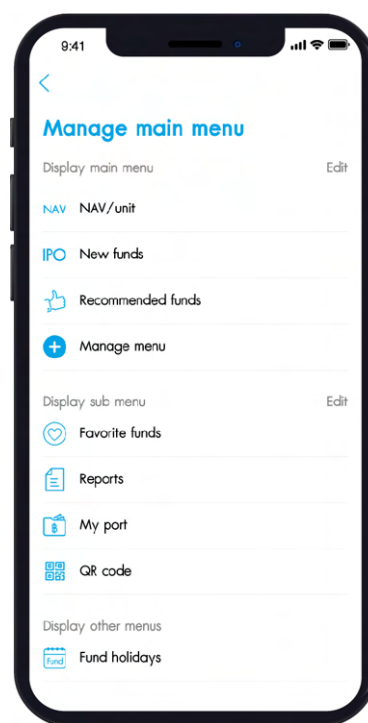
11. Shortcut menu


Setting your shortcuts

1. Tap the  icon



2. Tap Edit



3. A list of 1-3 current shortcuts being used are displayed. To rearrange the sequence they appear, tap  and drag each shortcut to the desired place. Once finished, tap Save.

